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APPLICATION NUMBER: 60/466,638

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of Luciano Adorini, *et al.*

Attorney

Docket No. BXG-008-1For: GEMINI VITAMIN D<sub>3</sub> COMPOUNDS AND METHODS OF USE  
THEREOFCommissioner For Patents  
Box Provisional Patent Application  
Washington, DC 20231

## CERTIFICATION UNDER 37 CFR 1.10

Date of Deposit: April 30, 2003Mailing Label Number: EV 244 883 680 US

I hereby certify that this Cover Sheet for Filing Provisional Application (37 C.F.R. §1.51(c)(i)) and the documents referred to as attached therein are being deposited with the United States Postal Service on the date indicated above in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR 1.10 and addressed to the Commissioner for Patents, Box Provisional Patent Application, Washington, D.C. 20231.

Peter C. Lauro, Esq.

Name of Person Mailing Paper

Signature of Person Mailing Paper

## COVER SHEET FOR FILING PROVISIONAL PATENT APPLICATION

Dear Sir:

The accompanying application, entitled Gemini Vitamin D<sub>3</sub> Compounds and Methods of Use Thereof, is a provisional patent application under 37 C.F.R. §1.51(c) and §1.53(c).

1. ☒ The name(s) and address(es) of the inventor(s) of this application is/are as follows:

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04/30/03

2. ☒ The following documents are enclosed:

- ☒ 74 page(s) of specification
- ☒ 12 page(s) of claims
- ☒ 1 page(s) of drawings (Figure 1)
- ☒ 1 page of abstract

3. ☒ The fee for filing this provisional application, as set forth in 37 CFR 1.16(k), is \$160.00.

- a. ☐ A check for this filing fee is enclosed.
- b. ☒ Charge the filing fee to Deposit Account No. 12-0080. (A duplicate copy of this sheet is enclosed.)
- c. ☐ The filing fee is not being paid at this time.

4. ☒ Please charge any fee deficiencies associated with this filing to Deposit Account No. 12-0080. A duplicate copy of this sheet is enclosed.5. ☒ Please address all future communications to: **Customer Number: 000959** whose address is:

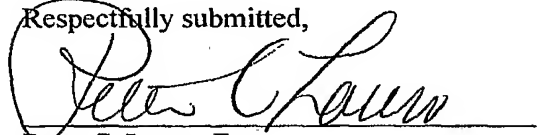
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April 30, 2003  
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Respectfully submitted,

  
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**GEMINI VITAMIN D<sub>3</sub> COMPOUNDS AND METHODS OF USE THEREOF****Background of the Invention**

5 The importance of vitamin D (cholecalciferol) in the biological systems of higher animals has been recognized since its discovery by Mellanby in 1920 (Mellanby, E. (1921) *Spec. Rep. Ser. Med. Res. Council* (GB) SRS 61:4). It was in the interval of 1920-1930 that vitamin D officially became classified as a "vitamin" that was essential for the normal development of the skeleton and maintenance of calcium and phosphorous homeostasis.

10 Studies involving the metabolism of vitamin D<sub>3</sub> were initiated with the discovery and chemical characterization of the plasma metabolite, 25-hydroxyvitamin D<sub>3</sub> [25(OH)D<sub>3</sub>] (Blunt, J.W. *et al.* (1968) *Biochemistry* 6:3317-3322) and the hormonally active form, 1 $\alpha$ ,25(OH)<sub>2</sub>D<sub>3</sub> (Myrtle, J.F. *et al.* (1970) *J. Biol. Chem.* 245:1190-1196; Norman, A.W. *et al.* (1971) *Science* 173:51-54; Lawson, D.E.M. *et al.* (1971) *Nature* 15 230:228-230; Holick, M.F. (1971) *Proc. Natl. Acad. Sci. USA* 68:803-804). The formulation of the concept of a vitamin D endocrine system was dependent both upon appreciation of the key role of the kidney in producing 1 $\alpha$ , 25(OH)<sub>2</sub>D<sub>3</sub> in a carefully regulated fashion (Fraser, D.R. and Kodicek, E (1970) *Nature* 288:764-766; Wong, R.G. *et al.* (1972) *J. Clin. Invest.* 51:1287-1291), and the discovery of a nuclear receptor for 20 1 $\alpha$ ,25(OH)<sub>2</sub>D<sub>3</sub> (VD<sub>3</sub>R) in the intestine (Haussler, M.R. *et al.* (1969) *Exp. Cell Res.* 58:234-242; Tsai, H.C. and Norman, A.W. (1972) *J. Biol. Chem.* 248:5967-5975).

The operation of the vitamin D endocrine system depends on the following: first, on the presence of cytochrome P450 enzymes in the liver (Bergman, T. and Postlind, H. (1991) *Biochem. J.* 276:427-432; Ohyama, Y and Okuda, K. (1991) *J. Biol. Chem.* 25 266:8690-8695) and kidney (Henry, H.L. and Norman, A.W. (1974) *J. Biol. Chem.* 249:7529-7535; Gray, R.W. and Ghazarian, J.G. (1989) *Biochem. J.* 259:561-568), and in a variety of other tissues to effect the conversion of vitamin D<sub>3</sub> into biologically active metabolites such as 1 $\alpha$ , 25(OH)<sub>2</sub>D<sub>3</sub> and 24R,25(OH)<sub>2</sub>D<sub>3</sub>; second, on the existence of the plasma vitamin D binding protein (DBP) to effect the selective transport and 30 delivery of these hydrophobic molecules to the various tissue components of the vitamin D endocrine system (Van Baelen, H. *et al.* (1988) *Ann NY Acad. Sci.* 538:60-68; Cooke, N.E. and Haddad, J.G. (1989) *Endocr. Rev.* 10:294-307; Bikle, D.D. *et al.* (1986) *J. Clin. Endocrinol. Metab.* 63:954-959); and third, upon the existence of stereoselective receptors in a wide variety of target tissues that interact with the agonist 1 $\alpha$ ,25(OH)<sub>2</sub>D<sub>3</sub> 35 to generate the requisite specific biological responses for this secosteroid hormone (Pike, J.W. (1991) *Annu. Rev. Nutr.* 11:189-216). To date, there is evidence that nuclear



receptors for  $1\alpha,25(\text{OH})_2\text{D}_3$  ( $\text{VD}_3\text{R}$ ) exist in more than 30 tissues and cancer cell lines (Reichel, H. and Norman, A.W. (1989) *Annu. Rev. Med.* 40:71-78).

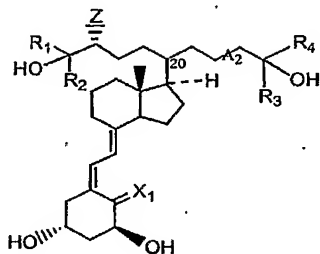
Vitamin  $\text{D}_3$  and its hormonally active forms are well-known regulators of calcium and phosphorous homeostasis. These compounds are known to stimulate, at  
 5 least one of, intestinal absorption of calcium and phosphate, mobilization of bone mineral, and retention of calcium in the kidneys. Furthermore, the discovery of the presence of specific vitamin D receptors in more than 30 tissues has led to the identification of vitamin  $\text{D}_3$  as a pluripotent regulator outside its classical role in calcium/bone homeostasis. A paracrine role for  $1\alpha,25(\text{OH})_2\text{D}_3$  has been suggested by  
 10 the combined presence of enzymes capable of oxidizing vitamin  $\text{D}_3$  into its active forms, e.g., 25-OHD- $1\alpha$ -hydroxylase, and specific receptors in several tissues such as bone, keratinocytes, placenta, and immune cells. Moreover, vitamin  $\text{D}_3$  hormone and active metabolites have been found to be capable of regulating cell proliferation and differentiation of both normal and malignant cells (Reichel, H. *et al.* (1989) *Ann. Rev.*  
 15 *Med.* 40: 71-78).

Given the activities of vitamin  $\text{D}_3$  and its metabolites, much attention has focused on the development of synthetic analogs of these compounds. A large number of these analogs involve structural modifications in the A ring, B ring, C/D rings, and, primarily, the side chain (Bouillon, R. *et al.* , *Endocrine Reviews* 16(2):201-204).  
 20 Although a vast majority of the vitamin  $\text{D}_3$  analogs developed to date involve structural modifications in the side chain, a few studies have reported the biological profile of A-ring diastereomers (Norman, A.W. *et al.* *J. Biol. Chem.* 268 (27): 20022-20030). Furthermore, biological esterification of steroids has been studied (Hochberg, R.B., (1998) *Endocr Rev.* 19(3): 331-348), and esters of vitamin  $\text{D}_3$  are known (WO  
 25 97/11053).

Moreover, despite much effort in developing synthetic analogs, clinical applications of vitamin D and its structural analogs have been limited by the undesired side effects elicited by these compounds after administration to a subject for known indications/applications of vitamin D compounds.

**Summary of the Invention**

The invention pertains, at least in part to a vitamin D<sub>3</sub> compound of the formula (I):



(I)

wherein:

X<sub>1</sub> is H<sub>2</sub> or CH<sub>2</sub>;

A<sub>2</sub> is a single, a double or a triple bond;

10 R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub> and R<sub>4</sub> are each independently C<sub>1</sub>-C<sub>4</sub> alkyl, hydroxyalkyl, or fluoroalkyl;

Z is -OH, -SH, or -NH<sub>2</sub>; and

the configuration at C<sub>20</sub> is R or S, and pharmaceutically acceptable esters, salts, and prodrugs thereof.

15 The invention also pertains, at least in part, to methods for treating a subject for a vitamin D<sub>3</sub> associated state, by administering to the subject an effective amount of a vitamin D<sub>3</sub> compound of formula (I) or otherwise described herein.

The invention also provides a pharmaceutical composition, comprising an effective amount a vitamin D<sub>3</sub> compound of formula (I) or otherwise described herein  
20 and a pharmaceutically acceptable carrier.

In a further embodiment, the invention also pertains, at least in part, to a method of ameliorating a deregulation of calcium and phosphate metabolism. The method includes administering to a subject a therapeutically effective amount of a vitamin D<sub>3</sub> compound of formula (I) or otherwise described herein, so as to ameliorate the  
25 deregulation of the calcium and phosphate metabolism.

In a further embodiment, the invention also pertains to a method of modulating the expression of an immunoglobulin-like transcript 3 (ILT3) surface molecule in a cell. The method includes contacting the cell with a compound of the invention in an amount effective to modulate the expression of an immunoglobulin-like transcript 3 (ILT3)  
30 surface molecule in the cell.

In another embodiment, the invention also pertains to a method of treating an ILT3-associated disorder in a subject. The method includes administering to the subject a compound of the invention in an amount effective to modulate the expression of an ILT3 surface molecule.

5 In another embodiment, the invention includes a method of inducing immunological tolerance in a subject, by administering to the subject a compound of the invention in an amount effective to modulate the expression of an ILT3 surface molecule.

10 In yet another embodiment, the invention pertains, at least in part, to a method of inhibiting transplant rejection in a subject. The method includes administering to the subject a compound of the invention in an amount effective to modulate the expression of an ILT3 surface molecule.

15 In another embodiment, the invention pertains, at least in part, to a packaged formulation which includes a pharmaceutical composition comprising a compound of the invention and a pharmaceutically-acceptable carrier packaged with instructions for use in the treatment of an ILT3-associated disorder.

20 The invention also pertains, at least in part, to a method for modulating immunosuppressive activity by an antigen-presenting cell, by contacting an antigen-presenting cell with a compound of the invention in an amount effective to modulate ILT3 surface molecule expression.

The invention also pertains, at least in part, to a packaged formulation which includes a pharmaceutical composition comprising a compound of the invention and instructions for use in the treatment of a vitamin D<sub>3</sub> associated associated state.

## 25 **Brief Description of the Drawings:**

Figure 1 is a graph depicting the modulation (upregulation) of expression of ILT3 on the cell surface of monocyte-derived immature dendritic cells with various compounds.

## 30 **Detailed Description of the Invention**

### 1. DEFINITIONS

35 Before further description of the present invention, and in order that the invention may be more readily understood, certain terms are first defined and collected here for convenience.

The term "administration" or "administering" includes routes of introducing the vitamin D<sub>3</sub> compound(s) to a subject to perform their intended function. Examples of routes of administration which can be used include injection (subcutaneous, intravenous, parenterally, intraperitoneally, intrathecal), oral, inhalation, rectal and transdermal. The pharmaceutical preparations are, of course, given by forms suitable for each administration route. For example, these preparations are administered in tablets or capsule form, by injection, inhalation, eye lotion, ointment, suppository, etc. administration by injection, infusion or inhalation; topical by lotion or ointment; and rectal by suppositories. Oral administration is preferred. The injection can be bolus or can be continuous infusion. Depending on the route of administration, the vitamin D<sub>3</sub> compound can be coated with or disposed in a selected material to protect it from natural conditions which may detrimentally effect its ability to perform its intended function. The vitamin D<sub>3</sub> compound can be administered alone, or in conjunction with either another agent as described above or with a pharmaceutically-acceptable carrier, or both. The vitamin D<sub>3</sub> compound can be administered prior to the administration of the other agent, simultaneously with the agent, or after the administration of the agent. Furthermore, the vitamin D<sub>3</sub> compound can also be administered in a proform which is converted into its active metabolite, or more active metabolite *in vivo*.

The term "alkyl" refers to the radical of saturated aliphatic groups, including straight-chain alkyl groups, branched-chain alkyl groups, cycloalkyl (alicyclic) groups, alkyl substituted cycloalkyl groups, and cycloalkyl substituted alkyl groups. The term alkyl further includes alkyl groups, which can further include oxygen, nitrogen, sulfur or phosphorous atoms replacing one or more carbons of the hydrocarbon backbone, *e.g.*, oxygen, nitrogen, sulfur or phosphorous atoms. In preferred embodiments, a straight chain or branched chain alkyl has 30 or fewer carbon atoms in its backbone (*e.g.*, C<sub>1</sub>-C<sub>30</sub> for straight chain, C<sub>3</sub>-C<sub>30</sub> for branched chain), preferably 26 or fewer, and more preferably 20 or fewer. Likewise, preferred cycloalkyls have from 3-10 carbon atoms in their ring structure, and more preferably have 3, 4, 5, 6 or 7 carbons in the ring structure.

Moreover, the term alkyl as used throughout the specification and claims is intended to include both "unsubstituted alkyls" and "substituted alkyls," the latter of which refers to alkyl moieties having substituents replacing a hydrogen on one or more carbons of the hydrocarbon backbone. Such substituents can include, for example, halogen, hydroxyl, alkylcarbonyloxy, arylcarbonyloxy, alkoxycarbonyloxy, aryloxy carbonyloxy, carboxylate, alkylcarbonyl, alkoxycarbonyl, aminocarbonyl, alkylthiocarbonyl, alkoxyl, phosphate, phosphonate, phosphinato, cyano, amino (including alkyl amino, dialkylamino, arylamino, diarylamino, and alkylarylamino),

acylamino (including alkylcarbonylamino, arylcarbonylamino, carbamoyl and ureido),  
amidino, imino, sulfhydryl, alkylthio, arylthio, thiocarboxylate, sulfates, sulfonato,  
sulfamoyl, sulfonamido, nitro, trifluoromethyl, cyano, azido, heterocyclyl, alkylaryl, or  
an aromatic or heteroaromatic moiety. It will be understood by those skilled in the art  
5 that the moieties substituted on the hydrocarbon chain can themselves be substituted, if  
appropriate. Cycloalkyls can be further substituted, *e.g.*, with the substituents described  
above. An "alkylaryl" moiety is an alkyl substituted with an aryl (*e.g.*, phenylmethyl  
(benzyl)). The term "alkyl" also includes unsaturated aliphatic groups analogous in  
length and possible substitution to the alkyls described above, but that contain at least  
10 one double or triple bond respectively.

Unless the number of carbons is otherwise specified, "lower alkyl" as used  
herein means an alkyl group, as defined above, but having from one to ten carbons,  
more preferably from one to six, and most preferably from one to four carbon atoms in  
its backbone structure, which may be straight or branched-chain. Examples of lower  
15 alkyl groups include methyl, ethyl, *n*-propyl, *i*-propyl, *tert*-butyl, hexyl, heptyl, octyl and  
so forth. In preferred embodiment, the term "lower alkyl" includes a straight chain alkyl  
having 4 or fewer carbon atoms in its backbone, *e.g.*, C<sub>1</sub>-C<sub>4</sub> alkyl.

The terms "alkoxyalkyl," "polyaminoalkyl" and "thioalkoxyalkyl" refer to alkyl  
groups, as described above, which further include oxygen, nitrogen or sulfur atoms  
20 replacing one or more carbons of the hydrocarbon backbone, *e.g.*, oxygen, nitrogen or  
sulfur atoms.

The terms "alkenyl" and "alkynyl" refer to unsaturated aliphatic groups  
analogous in length and possible substitution to the alkyls described above, but that  
contain at least one double or triple bond, respectively. For example, the invention  
25 contemplates cyano and propargyl groups.

The term "antigen" includes a substance which elicits an immune response. The  
antigens of the invention to which tolerance is induced may or may not be exogenously  
derived relative to the host. For example, the method of the invention may be used to  
induce tolerance to an "autoantigen." An autoantigen is a normal constituent of the  
30 body that reacts with an autoantibody. The invention also includes inducing tolerance to  
an "alloantigen." Alloantigen refers to an antigen found only in some members of a  
species, for example the blood group substances. An allograft is a graft to a genetically  
different member of the same species. Allografts are rejected by virtue of the  
immunological response of T lymphocytes to histocompatibility antigens. The method  
35 of the invention also provides for inducing tolerance to a "xenoantigen." Xenoantigens  
are substances that cause an immune reaction due to differences between different

species. Thus, a xenograft is a graft from a member of one species to a member of a different species. Xenografts are usually rejected within a few days by antibodies and cytotoxic T lymphocytes to histocompatibility antigens.

The language "antigen-presenting cell" or "APC" includes a cell that is able to  
5 present an antigen to, for example, a T helper cell. Antigen-presenting cells include B lymphocytes, accessory cells or non-lymphocytic cells, such as dendritic cells, Langerhans cells, and mononuclear phagocytes that help in the induction of an immune response by presenting antigen to helper T lymphocytes. The antigen-presenting cell of the present invention is preferably of myeloid origin, and includes, but is not limited to,  
10 dendritic cells, macrophages, monocytes. APCs of the present invention may be isolated from the bone marrow, blood, thymus, epidermis, liver, fetal liver, or the spleen.

The terms "antineoplastic agent" and "antiproliferative agent" are used interchangeably herein and includes agents that have the functional property of inhibiting the proliferation of a vitamin D<sub>3</sub>-responsive cells, *e.g.*, inhibit the  
15 development or progression of a neoplasm having such a characteristic, particularly a hematopoietic neoplasm.

The term "aryl" as used herein, refers to the radical of aryl groups, including 5- and 6-membered single-ring aromatic groups that may include from zero to four heteroatoms, for example, benzene, pyrrole, furan, thiophene, imidazole, benzoxazole,  
20 benzothiazole, triazole, tetrazole, pyrazole, pyridine, pyrazine, pyridazine and pyrimidine, and the like. Aryl groups also include polycyclic fused aromatic groups such as naphthyl, quinolyl, indolyl, and the like. Those aryl groups having heteroatoms in the ring structure may also be referred to as "aryl heterocycles," "heteroaryls" or "heteroaromatics." The aromatic ring can be substituted at one or more ring positions  
25 with such substituents as described above, as for example, halogen, hydroxyl, alkoxy, alkylcarbonyloxy, arylcarbonyloxy, alkoxycarbonyloxy, aryloxy, carboxylate, alkylcarbonyl, alkoxycarbonyl, aminocarbonyl, alkylthiocarbonyl, phosphate, phosphonato, phosphinato, cyano, amino (including alkyl amino, dialkylamino, arylamino, diarylamino, and alkylarylamino), acylamino (including  
30 alkylcarbonylamino, arylcarbonylamino, carbamoyl and ureido), amidino, imino, sulfhydryl, alkylthio, arylthio, thiocarboxylate, sulfates, sulfonato, sulfamoyl, sulfonamido, nitro, trifluoromethyl, cyano, azido, heterocyclyl, alkylaryl, or an aromatic or heteroaromatic moiety. Aryl groups can also be fused or bridged with alicyclic or heterocyclic rings which are not aromatic so as to form a polycycle (*e.g.*, tetralin).

35 The language "autoimmune disease" or "autoimmune disorder" refers to the condition where the immune system attacks the host's own tissue(s). In an autoimmune

disease, the immune tolerance system of the patient fails to recognize self antigens and, as a consequence of this loss of tolerance, brings the force of the immune system to bear on tissues which express the antigen. Autoimmune disorders include, but are not limited to, type 1 insulin-dependent diabetes mellitus, adult respiratory distress syndrome, inflammatory bowel disease, dermatitis, meningitis, thrombotic thrombocytopenic purpura, Sjogren's syndrome, encephalitis, uveitic, leukocyte adhesion deficiency, rheumatoid arthritis, rheumatic fever, Reiter's syndrome, psoriatic arthritis, progressive systemic sclerosis, primary biliary cirrhosis, pemphigus, pemphigoid, necrotizing vasculitis, myasthenia gravis, multiple sclerosis, lupus erythematosus, polymyositis, sarcoidosis, granulomatosis, vasculitis, pernicious anemia, CNS inflammatory disorder, antigen-antibody complex mediated diseases, autoimmune haemolytic anemia, Hashimoto's thyroiditis, Graves disease, habitual spontaneous abortions, Reynard's syndrome, glomerulonephritis, dermatomyositis, chronic active hepatitis, celiac disease, autoimmune complications of AIDS, atrophic gastritis, ankylosing spondylitis and Addison's disease.

The language "biological activities" of vitamin D<sub>3</sub> includes all activities elicited by vitamin D<sub>3</sub> compounds in a responsive cell. It includes genomic and non-genomic activities elicited by these compounds (Gniadecki R. and Calverley M.J. (1998) *Pharmacology & Toxicology* 82: 173-176; Bouillon, R. *et al.* (1995) *Endocrinology Reviews* 16(2):206-207; Norman A.W. *et al.* (1992) *J. Steroid Biochem Mol. Biol* 41:231-240; Baran D.T. *et al.* (1991) *J. Bone Miner Res.* 6:1269-1275; Caffrey J.M. and Farach-Carson M.C. (1989) *J. Biol. Chem.* 264:20265-20274; Nemere I. *et al.* (1984) *Endocrinology* 115:1476-1483).

The language "bone metabolism" includes direct or indirect effects in the formation or degeneration of bone structures, *e.g.*, bone formation, bone resorption, *etc.*, which may ultimately affect the concentrations in serum of calcium and phosphate. This term is also intended to include effects of compounds of the invention in bone cells, *e.g.*, osteoclasts and osteoblasts, that may in turn result in bone formation and degeneration.

The language "calcium and phosphate homeostasis" refers to the careful balance of calcium and phosphate concentrations, intracellularly and extracellularly, triggered by fluctuations in the calcium and phosphate concentration in a cell, a tissue, an organ or a system. Fluctuations in calcium levels that result from direct or indirect responses to compounds of the invention are intended to be included by these terms.

The term "carcinoma" is art recognized and refers to malignancies of epithelial or endocrine tissues including respiratory system carcinomas, gastrointestinal system carcinomas, genitourinary system carcinomas, testicular carcinomas, breast carcinomas,

prostatic carcinomas, endocrine system carcinomas, and melanomas. Exemplary carcinomas include those forming from tissue of the cervix, lung, prostate, breast, head and neck, colon and ovary. The term also includes carcinosarcomas, *e.g.*, which include malignant tumors composed of carcinomatous and sarcomatous tissues. An

5 "adenocarcinoma" refers to a carcinoma derived from glandular tissue or in which the tumor cells form recognizable glandular structures.

The term "chiral" refers to molecules which have the property of non-superimposability of the mirror image partner, while the term "achiral" refers to molecules which are superimposable on their mirror image partner.

10 The term "diastereomers" refers to stereoisomers with two or more centers of dissymmetry and whose molecules are not mirror images of one another.

The term "effective amount" includes an amount effective, at dosages and for periods of time necessary, to achieve the desired result, *e.g.*, sufficient treat a vitamin D<sub>3</sub> associated state or to modulate ILT3 expression in a cell. An effective amount of  
15 vitamin D<sub>3</sub> compound may vary according to factors such as the disease state, age, and weight of the subject, and the ability of the vitamin D<sub>3</sub> compound to elicit a desired response in the subject. Dosage regimens may be adjusted to provide the optimum therapeutic response. An effective amount is also one in which any toxic or detrimental effects (*e.g.*, side effects) of the angiogenesis inhibitor compound are outweighed by the  
20 therapeutically beneficial effects.

A therapeutically effective amount of vitamin D<sub>3</sub> compound (*i.e.*, an effective dosage) may range from about 0.001 to 30 µg/kg body weight, preferably about 0.01 to 25 µg/kg body weight, more preferably about 0.1 to 20 µg/kg body weight, and even more preferably about 1 to 10 µg/kg, 2 to 9 µg/kg, 3 to 8 µg/kg, 4 to 7 µg/kg, or 5 to 6  
25 µg/kg body weight. The skilled artisan will appreciate that certain factors may influence the dosage required to effectively treat a subject, including but not limited to the severity of the disease or disorder, previous treatments, the general health and/or age of the subject, and other diseases present. Moreover, treatment of a subject with a therapeutically effective amount of a vitamin D<sub>3</sub> compound can include a single  
30 treatment or, preferably, can include a series of treatments. In one example, a subject is treated with a vitamin D<sub>3</sub> compound in the range of between about 0.1 to 20 µg/kg body weight, one time per week for between about 1 to 10 weeks, preferably between 2 to 8 weeks, more preferably between about 3 to 7 weeks, and even more preferably for about 4, 5, or 6 weeks. It will also be appreciated that the effective dosage of a vitamin D<sub>3</sub>  
35 compound used for treatment may increase or decrease over the course of a particular treatment.



The term "enantiomers" refers to two stereoisomers of a compound which are non-superimposable mirror images of one another. An equimolar mixture of two enantiomers is called a "racemic mixture" or a "racemate."

The language "genomic" activities or effects of vitamin D<sub>3</sub> is intended to include those activities mediated by the nuclear receptor for 1 $\alpha$ , 25(OH)<sub>2</sub>D<sub>3</sub> (VD<sub>3</sub>R), *e.g.*, transcriptional activation of target genes.

The term "halogen" designates -F, -Cl, -Br or -I.

The term "haloalkyl" is intended to include alkyl groups as defined above that are mono-, di- or polysubstituted by halogen, *e.g.*, fluoromethyl and trifluoromethyl.

10 The term "hydroxyl" means -OH.

The term "heteroatom" as used herein means an atom of any element other than carbon or hydrogen. Preferred heteroatoms are nitrogen, oxygen, sulfur and phosphorus.

The term "homeostasis" is art-recognized to mean maintenance of static, or constant, conditions in an internal environment.

15 The language "hormone secretion" is art-recognized and includes activities of vitamin D<sub>3</sub> compounds that control the transcription and processing responsible for secretion of a given hormone *e.g.*, a parathyroid hormone (PTH) of a vitamin D<sub>3</sub> responsive cell (Bouillon, R. *et al.* (1995) *Endocrine Reviews* 16(2):235-237).

The language "hypercalcemia" or "hypercalcemic activity" is intended to have its accepted clinical meaning, namely, increases in calcium serum levels that are manifested in a subject by the following side effects, depression of central and peripheral nervous system, muscular weakness, constipation, abdominal pain, lack of appetite and, depressed relaxation of the heart during diastole. Symptomatic manifestations of hypercalcemia are triggered by a stimulation of at least one of the following activities, intestinal calcium transport, bone calcium metabolism and osteocalcin synthesis (reviewed in Bouillon, R. *et al.* (1995) *Endocrinology Reviews* 16(2): 200-257).

25 The terms "hyperproliferative" and "neoplastic" are used interchangeably, and include those cells having the capacity for autonomous growth, i.e., an abnormal state or condition characterized by rapidly proliferating cell growth. Hyperproliferative and neoplastic disease states may be categorized as pathologic, i.e., characterizing or constituting a disease state, or may be categorized as non-pathologic, i.e., a deviation from normal but not associated with a disease state. The term is meant to include all types of cancerous growths or oncogenic processes, metastatic tissues or malignantly transformed cells, tissues, or organs, irrespective of histopathologic type or stage of invasiveness. "Pathologic hyperproliferative" cells occur in disease states characterized

35

by malignant tumor growth. Examples of non-pathologic hyperproliferative cells include proliferation of cells associated with wound repair.

An "ILT3-associated disorder" includes a disease, disorder or condition which is associated with an ILT3 molecule. ILT3 associated disorders include disorders in which

5 ILT3 activity is aberrant or in which a non-ILT3 activity that would benefit from modulation of an ILT3 activity is aberrant. In one embodiment, the ILT3-associated disorder is an immune disorder, *e.g.*, an autoimmune disorder, such as type 1 insulin-dependent diabetes mellitus, adult respiratory distress syndrome, inflammatory bowel

10 disease, dermatitis, meningitis, thrombotic thrombocytopenic purpura, Sjogren's syndrome, encephalitis, uveitis, leukocyte adhesion deficiency, rheumatoid arthritis, rheumatic fever, Reiter's syndrome, psoriatic arthritis, progressive systemic sclerosis, primary biliary cirrhosis, pemphigus, pemphigoid, necrotizing vasculitis, myasthenia

15 gravis, multiple sclerosis, lupus erythematosus, polymyositis, sarcoidosis, granulomatosis, vasculitis, pernicious anemia, CNS inflammatory disorder, antigen-antibody complex mediated diseases, autoimmune haemolytic anemia, Hashimoto's thyroiditis, Graves disease, habitual spontaneous abortions, Reynard's syndrome, glomerulonephritis, dermatomyositis, chronic active hepatitis, celiac disease, autoimmune complications of AIDS, atrophic gastritis, ankylosing spondylitis and

20 Addison's disease; or transplant rejection, such as GVHD. In certain embodiments of the invention, the ILT3 associated disorder is an immune disorders, such as transplant rejections, graft versus host disease and autoimmune disorders.

The language "immunoglobulin-like transcript 3" or "ILT3" refers to a cell surface molecule of the immunoglobulin superfamily, which is expressed by antigen-presenting cells (APCs) such as monocytes, macrophages and dendritic cells. ILT3 is a

25 member of the immunoglobulin-like transcript (ILT) family and displays a long cytoplasmic tail containing putative immunoreceptor tyrosine-based inhibitory motifs (ITIMs). ILT3 has been shown to behave as an inhibitory receptor when cross-linked to a stimulatory receptor. A cytoplasmic component of the ILT3-mediated signaling pathway is the SH2-containing phosphatase SHP-1, which becomes associated with

30 ILT3 upon cross-linking. ILT3 is also internalized and ILT3 ligands are efficiently presented to specific T cells (see, *e.g.*, Cella, M. *et al.* (1997) *J. Exp. Med.* 185:1743). The determination of whether the candidate vitamin D<sub>3</sub> compound modulates the expression of the ILT3 surface molecule can be accomplished, for example, by comparison of ILT3 surface molecule expression to a control, by measuring mRNA

35 expression, or by measuring protein expression.

The term "immune response" includes T and/or B cell responses, *e.g.*, cellular and/or humoral immune responses. The claimed methods can be used to reduce both primary and secondary immune responses. The immune response of a subject can be determined by, for example, assaying antibody production, immune cell proliferation, the release of cytokines, the expression of cell surface markers, cytotoxicity, and the like.

The terms "immunological tolerance" or "tolerance to an antigen" or "immune tolerance" include unresponsiveness to an antigen without the induction of a prolonged generalized immune deficiency. Consequently, according to the invention, a tolerant host is capable of reacting to antigens other than the tolerizing antigen. Tolerance represents an induced depression in the response of a subject that, had it not been subjected to the tolerance-inducing procedure, would be competent to mount an immune response to that antigen. In one embodiment of the invention, immunological tolerance is induced in an antigen-presenting cell, *e.g.*, an antigen-presenting cell derived from the myeloid or lymphoid lineage, dendritic cells, monocytes and macrophages.

The language "immunosuppressive activity" refers to the process of inhibiting a normal immune response. Included in this response is when T and/or B clones of lymphocytes are depleted in size or suppressed in their reactivity, expansion or differentiation. Immunosuppressive activity may be in the form of inhibiting or blocking an immune response already in progress or may involve preventing the induction of an immune response. The functions of activated T cells may be inhibited by suppressing immune cell responses or by inducing specific tolerance, or both. Immunosuppression of T cell responses is generally an active, non-antigen-specific, process that requires continuous exposure of the T cells to the suppressive agent. Tolerance, which involves inducing non-responsiveness or anergy in T cells, is distinguishable from immunosuppression in that it is generally antigen-specific and persists after exposure to the tolerizing agent has ceased. Operationally, tolerance can be demonstrated by the lack of a T cell response upon re-exposure to specific antigen in the absence of the tolerizing agent.

The language "improved biological properties" refers to any activity inherent in a compound of the invention that enhances its effectiveness *in vivo*. In a preferred embodiment, this term refers to any qualitative or quantitative improved therapeutic property of a vitamin D<sub>3</sub> compound, such as reduced toxicity, *e.g.*, reduced hypercalcemic activity.

The language "inhibiting the growth" of the neoplasm includes the slowing, interrupting, arresting or stopping its growth and metastases and does not necessarily indicate a total elimination of the neoplastic growth.

The phrase "inhibition of an immune response" is intended to include decreases  
 5 in T cell proliferation and activity, *e.g.*, a decrease in IL<sub>2</sub>, interferon- $\gamma$ , GM-CSF synthesis and secretion (Lemire, J. M. (1992) *J. Cell Biochemistry* 49:26-31, Lemire, J. M. *et al.* (1994) *Endocrinology* 135 (6): 2813-2821; Bouillon, R. *et al.* (1995) *Endocrine Review* 16 (2):231-32).

The term "isomers" or "stereoisomers" refers to compounds which have identical  
 10 chemical constitution, but differ with regard to the arrangement of the atoms or groups in space.

The term "leukemia" is intended to have its clinical meaning, namely, a neoplastic disease in which white corpuscle maturation is arrested at a primitive stage of cell development. The disease is characterized by an increased number of leukemic  
 15 blast cells in the bone marrow, and by varying degrees of failure to produce normal hematopoietic cells. The condition may be either acute or chronic. Leukemias are further typically categorized as being either lymphocytic *i.e.*, being characterized by cells which have properties in common with normal lymphocytes, or myelocytic (or myelogenous), *i.e.*, characterized by cells having some characteristics of normal  
 20 granulocytic cells. Acute lymphocytic leukemia ("ALL") arises in lymphoid tissue, and ordinarily first manifests its presence in bone marrow. Acute myelocytic leukemia ("AML") arises from bone marrow hematopoietic stem cells or their progeny. The term acute myelocytic leukemia subsumes several subtypes of leukemia: myeloblastic leukemia, promyelocytic leukemia, and myelomonocytic leukemia. In addition,  
 25 leukemias with erythroid or megakaryocytic properties are considered myelogenous leukemias as well.

The term "leukemic cancer" refers to all cancers or neoplasias of the hemopoietic and immune systems (blood and lymphatic system). The acute and chronic leukemias, together with the other types of tumors of the blood, bone marrow cells (myelomas), and  
 30 lymph tissue (lymphomas), cause about 10% of all cancer deaths and about 50% of all cancer deaths in children and adults less than 30 years old. Chronic myelogenous leukemia (CML), also known as chronic granulocytic leukemia (CGL), is a neoplastic disorder of the hematopoietic stem cell. The term "leukemia" is art recognized and refers to a progressive, malignant disease of the blood-forming organs, marked by  
 35 distorted proliferation and development of leukocytes and their precursors in the blood and bone marrow.

The term "modulate" refers to increases or decreases in the activity of a cell in response to exposure to a compound of the invention, *e.g.*, the inhibition of proliferation and/or induction of differentiation of at least a sub-population of cells in an animal such that a desired end result is achieved, *e.g.*, a therapeutic result. In preferred  
5 embodiments, this phrase is intended to include hyperactive conditions that result in pathological disorders.

The common medical meaning of the term "neoplasia" refers to "new cell growth" that results as a loss of responsiveness to normal growth controls, *e.g.* to neoplastic cell growth. A "hyperplasia" refers to cells undergoing an abnormally high  
10 rate of growth. However, as used herein, the terms neoplasia and hyperplasia can be used interchangeably, as their context will reveal, referring to generally to cells experiencing abnormal cell growth rates. Neoplasias and hyperplasias include "tumors," which may be either benign, premalignant or malignant.

The language "non-genomic" vitamin D<sub>3</sub> activities include cellular (*e.g.*, calcium  
15 transport across a tissue) and subcellular activities (*e.g.*, membrane calcium transport opening of voltage-gated calcium channels, changes in intracellular second messengers) elicited by vitamin D<sub>3</sub> compounds in a responsive cell. Electrophysiological and biochemical techniques for detecting these activities are known in the art. An example of a particular well-studied non-genomic activity is the rapid hormonal stimulation of  
20 intestinal calcium mobilization, termed "transcaltachia" (Nemere I. *et al.* (1984) *Endocrinology* 115:1476-1483; Lieberherr M. *et al.* (1989) *J. Biol. Chem.* 264:20403-20406; Wali R.K. *et al.* (1992) *Endocrinology* 131:1125-1133; Wali R.K. *et al.* (1992) *Am. J. Physiol.* 262:G945-G953; Wali R.K. *et al.* (1990) *J. Clin. Invest.* 85:1296-1303; Bolt M.J.G. *et al.* (1993) *Biochem. J.* 292:271-276). Detailed descriptions of  
25 experimental transcaltachia are provided in Norman, A.W. (1993) *Endocrinology* 268(27):20022-20030; Yoshimoto, Y. and Norman, A.W. (1986) *Endocrinology* 118:2300-2304. Changes in calcium activity and second messenger systems are well known in the art and are extensively reviewed in Bouillion, R. *et al.* (1995) *Endocrinology Review* 16(2): 200-257; the description of which is incorporated  
30 herein by reference.

The phrases "parenteral administration" and "administered parenterally" as used herein means modes of administration other than enteral and topical administration, usually by injection, and includes, without limitation, intravenous, intramuscular, intraarterial, intrathecal, intracapsular, intraorbital, intracardiac, intradermal,  
35 intraperitoneal, transtracheal, subcutaneous, subcuticular, intraarticular, subcapsular, subarachnoid, intraspinal and intrasternal injection and infusion.

The terms "polycyclyl" or "polycyclic radical" refer to the radical of two or more cyclic rings (e.g., cycloalkyls, cycloalkenyls, cycloalkynyls, aryls and/or heterocyclyls) in which two or more carbons are common to two adjoining rings, e.g., the rings are "fused rings". Rings that are joined through non-adjacent atoms are termed "bridged" rings. Each of the rings of the polycycle can be substituted with such substituents as described above, as for example, halogen, hydroxyl, alkylcarbonyloxy, arylcarbonyloxy, alkoxy carbonyloxy, aryloxy carbonyloxy, carboxylate, alkylcarbonyl, alkoxy carbonyl, aminocarbonyl, alkylthiocarbonyl, alkoxy, phosphate, phosphonato, phosphinato, cyano, amino (including alkyl amino, dialkylamino, arylamino, diarylamino, and alkylaryl amino), acylamino (including alkylcarbonylamino, arylcarbonylamino, carbamoyl and ureido), amidino, imino, sulfhydryl, alkylthio, arylthio, thiocarboxylate, sulfates, sulfonato, sulfamoyl, sulfonamido, nitro, trifluoromethyl, cyano, azido, heterocyclyl, alkyl, alkylaryl, or an aromatic or heteroaromatic moiety.

The term "prodrug" includes compounds with moieties which can be metabolized *in vivo*. Generally, the prodrugs are metabolized *in vivo* by esterases or by other mechanisms to active drugs. Examples of prodrugs and their uses are well known in the art (See, e.g., Berge *et al.* (1977) "Pharmaceutical Salts", *J. Pharm. Sci.* 66:1-19). The prodrugs can be prepared *in situ* during the final isolation and purification of the compounds, or by separately reacting the purified compound in its free acid form or hydroxyl with a suitable esterifying agent. Hydroxyl groups can be converted into esters *via* treatment with a carboxylic acid. Examples of prodrug moieties include substituted and unsubstituted, branch or unbranched lower alkyl ester moieties, (e.g., propionic acid esters), lower alkenyl esters, di-lower alkyl-amino lower-alkyl esters (e.g., dimethylaminoethyl ester), acylamino lower alkyl esters (e.g., acetyloxymethyl ester), acyloxy lower alkyl esters (e.g., pivaloyloxymethyl ester), aryl esters (phenyl ester), aryl-lower alkyl esters (e.g., benzyl ester), substituted (e.g., with methyl, halo, or methoxy substituents) aryl and aryl-lower alkyl esters, amides, lower-alkyl amides, di-lower alkyl amides, and hydroxy amides. Preferred prodrug moieties are propionic acid esters and acyl esters. Prodrugs which are converted to active forms through other mechanisms *in vivo* are also included.

The language "a prophylactically effective anti-neoplastic amount" of a compound refers to an amount of a vitamin D<sub>3</sub> compound of the formula (I) or otherwise described herein which is effective, upon single or multiple dose administration to the patient, in preventing or delaying the occurrence of the onset of a neoplastic disease state.

The term "psoriasis" is intended to have its medical meaning, namely, a disease which afflicts primarily the skin and produces raised, thickened, scaling, nonscarring lesions. The lesions are usually sharply demarcated erythematous papules covered with overlapping shiny scales. The scales are typically silvery or slightly opalescent.

- 5 Involvement of the nails frequently occurs resulting in pitting, separation of the nail, thickening and discoloration. Psoriasis is sometimes associated with arthritis, and it may be crippling.

- The language "reduced toxicity" is intended to include a reduction in any undesired side effect elicited by a vitamin D<sub>3</sub> compound when administered *in vivo*, e.g.,  
10 a reduction in the hypercalcemic activity.

The term "sarcoma" is art recognized and refers to malignant tumors of mesenchymal derivation.

The term "sulfhydryl" or "thiol" means -SH.

- The term "subject" includes organisms which are capable of suffering from a  
15 vitamin D<sub>3</sub> associated state or who could otherwise benefit from the administration of a vitamin D<sub>3</sub> compound of the invention, such as human and non-human animals. Preferred human animals include human patients suffering from or prone to suffering from a vitamin D<sub>3</sub> associated state, as described herein. The term "non-human animals" of the invention includes all vertebrates, e.g., , mammals, e.g., rodents, e.g., mice, and  
20 non-mammals, such as non-human primates, sheep, dog, cow, chickens, amphibians, reptiles, etc.

- The phrases "systemic administration," "administered systemically", "peripheral administration" and "administered peripherally" as used herein mean the administration of a vitamin D<sub>3</sub> compound(s), drug or other material, such that it enters the patient's  
25 system and, thus, is subject to metabolism and other like processes, for example, subcutaneous administration.

- The language "therapeutically effective anti-neoplastic amount" of a vitamin D<sub>3</sub> compound of the invention refers to an amount of an agent which is effective, upon single or multiple dose administration to the patient, in inhibiting the growth of a  
30 neoplastic vitamin D<sub>3</sub>-responsive cells, or in prolonging the survivability of the patient with such neoplastic cells beyond that expected in the absence of such treatment.

- The language "transplant rejection" refers to an immune reaction directed against a transplanted organ(s) from other human donors (allografts) or from other species such as sheep, pigs, or non-human primates (xenografts). Therefore, the method of the  
35 invention is useful for preventing an immune reaction to transplanted organs from other human donors (allografts) or from other species (xenografts). Such tissues for

transplantation include, but are not limited to, heart, liver, kidney, lung, pancreas, pancreatic islets, bone marrow, brain tissue, cornea, bone, intestine, skin, and hematopoietic cells. Also included within this definition is "graft versus host disease" of "GVHD," which is a condition where the graft cells mount an immune response  
5 against the host. Therefore, the method of the invention is useful in preventing graft versus host disease in cases of mismatched bone marrow or lymphoid tissue transplanted for the treatment of acute leukemia, aplastic anemia, and enzyme or immune deficiencies, for example. The term "transplant rejection" also includes disease symptoms characterized by loss of organ function. For example, kidney rejection would  
10 be characterized by a rising creatine level in blood. Heart rejection is characterized by an endomyocardial biopsy, while pancreas rejection is characterized by rising blood glucose levels. Liver rejection is characterized by the levels of transaminases of liver origin and bilirubin levels in blood. Intestine rejection is determined by biopsy, while lung rejection is determined by measurement of blood oxygenation.

15 The term "VDR" is intended to include members of the type II class of steroid/thyroid superfamily of receptors (Stunnenberg, H.G. (1993) *Bio Essays* 15(5):309-15), which are able to bind and transactivate through the vitamin D response element (VDRE) in the absence of a ligand (Damm *et al.* (1989) *Nature* 339:593-97; Sap *et al.* *Nature* 343:177-180).

20 The term "VDRE" refers to DNA sequences composed of half-sites arranged as direct repeats. It is known in the art that type II receptors do not bind to their respective binding site as homodimers but require an auxiliary factor, RXR (*e.g.* RXR $\alpha$ , RXR $\beta$ , RXR $\gamma$ ) for high affinity binding Yu *et al.* (1991) *Cell* 67:1251-1266; Bugge *et al.* (1992) *EMBO J.* 11:1409-1418; Kliewer *et al.* (1992) *Nature* 355:446-449; Leid *et al.* (1992) *EMBO J.* 11:1419-1435; Zhang *et al.* (1992) *Nature* 355:441-446).  
25

The language "vitamin D<sub>3</sub> associated state" is a state which can be prevented, treated or otherwise ameliorated by administration of one or more compounds of the invention. Vitamin D<sub>3</sub> associated states include ILT3-associated disorders, disorders characterized by an aberrant activity of a vitamin D<sub>3</sub>-responsive cell, disorders  
30 characterized by a deregulation of calcium and phosphate metabolism, and other disorders or states described herein.

The term "vitamin D<sub>3</sub>-responsive cell" includes any cell which is capable of responding to a vitamin D<sub>3</sub> compound having the formula I or otherwise described herein, or is associated with disorders involving an aberrant activity of  
35 hyperproliferative skin cells, parathyroid cells, neoplastic cells, immune cells, and bone cells. These cells can respond to vitamin D<sub>3</sub> activation by triggering genomic and/or

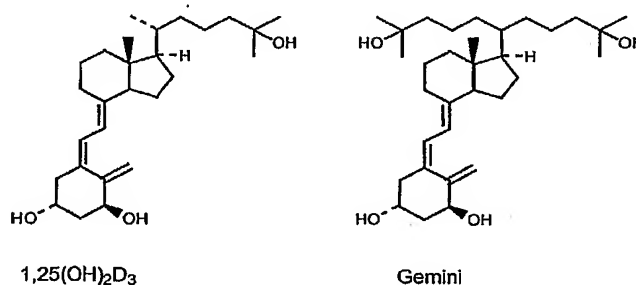


non-genomic responses that ultimately result in the modulation of cell proliferation, differentiation survival, and/or other cellular activities such as hormone secretion. In a preferred embodiment, the ultimate responses of a cell are inhibition of cell proliferation and/or induction of differentiation-specific genes. Exemplary vitamin D<sub>3</sub> responsive  
 5 cells include immune cells, bone cells, neuronal cells, endocrine cells, neoplastic cells, epidermal cells, endodermal cells, smooth muscle cells, among others.

With respect to the nomenclature of a chiral center, terms "d" and "l" configuration are as defined by the IUPAC Recommendations. As to the use of the terms, diastereomer, racemate, epimer and enantiomer will be used in their normal  
 10 context to describe the stereochemistry of preparations.

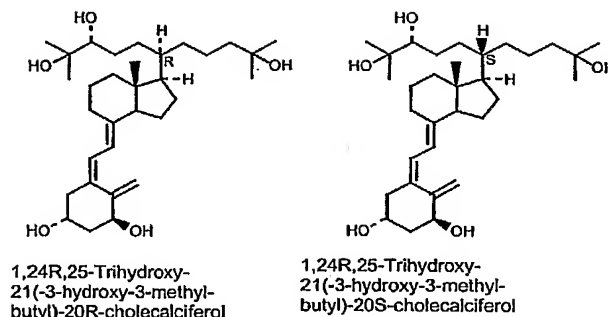
## 2. GEMINAL VITAMIN D<sub>3</sub> COMPOUNDS

In the structure of 1,25-dihydroxy vitamin D<sub>3</sub> gemini analogs, two full side chains are attached at the C-20 position. Gemini exerts a full spectrum of 1,25(OH)<sub>2</sub>D<sub>3</sub>  
 15 biological activities such as binding to the specific nuclear receptor VDR, suppression of the increased parathyroid hormone levels in 5,6-nephrectomized rats, suppression of INF-γ release in MLR cells, stimulation of HL-60 leukemia cell differentiation and inhibition of solid tumor cell proliferation (Uskokovic, M.R. *et al.*, " Synthesis and preliminary evaluation of the biological properties of a 1α,25-dihydroxyvitamin D<sub>3</sub>  
 20 analogue with two side-chains." *Vitamin D: Chemistry, Biology and Clinical Applications of the Steroid Hormone*; Norman, A.W., *et al.*, Eds.; University of California: Riverside, 1997; pp 19-21; Norman *et al.*, *J. Med. Chem.* 2000, Vol. 43, 2719-2730.



Both *in vivo* and in cellular cultures, 1,25-(OH)<sub>2</sub>D<sub>3</sub> undergoes a cascade of metabolic modifications initiated by the influence of 24R-hydroxylase enzyme. First 24R-hydroxy metabolite is formed, which is oxydized to 24-keto intermediate, and then 23S-hydroxylation and fragmentation produce the fully inactive calcitroic acid.

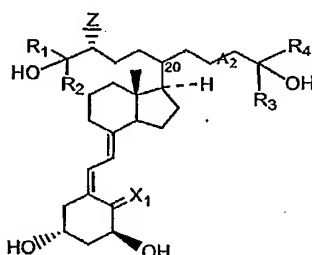
Unexpectedly, metabolism of gemini in bone cells produces a single 24R-hydroxy metabolite, without affecting the other side chain. There are two possible  
 30 structures for this metabolite that differ in the configuration at C-20, 20R and 20S.



These two 20-epimeric-24R-hydroxy gemini analogs have been prepared by stereoselective synthesis and tested in comparison to 1,25(OH)<sub>2</sub>D<sub>3</sub> and gemini for VDR-binding, HL-60 cell differentiation, maximum tolerated dose in mice, and inhibition of INF-γ release in MLR. (See Examples 1-8 below.)

Thus, in one aspect, the invention provides vitamin D<sub>3</sub> compounds of the formula (I):

10



(I)

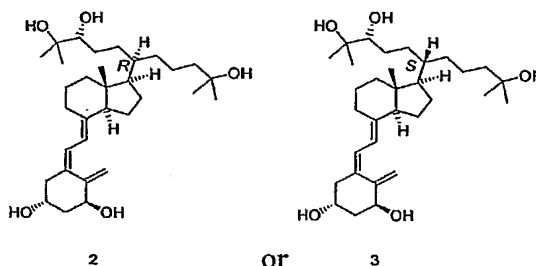
wherein:

- X<sub>1</sub> is H<sub>2</sub> or CH<sub>2</sub>;
- A<sub>2</sub> is a single, a double or a triple bond;
- 15 R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub> and R<sub>4</sub> are each independently C<sub>1</sub>-C<sub>4</sub> alkyl, hydroxyalkyl, or fluoroalkyl;
- Z is -OH, -SH, or -NH<sub>2</sub>; and
- the configuration at C<sub>20</sub> is R or S, and pharmaceutically acceptable esters, salts, and prodrugs thereof. Compounds of this formula may be referred to as "geminal
- 20 vitamin D<sub>3</sub>" compounds due to the presence of two alkyl chains at C<sub>20</sub>.

In a further embodiment, X<sub>1</sub> is CH<sub>2</sub>. In another embodiment, A<sub>2</sub> is a single bond. In another, R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are each independently methyl or ethyl. In a further embodiment, Z is -OH. In another, X<sub>1</sub> is CH<sub>2</sub>; A<sub>2</sub> is a single bond; R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are each independently methyl or ethyl; and Z is -OH. In an even further embodiment,

25 R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are each methyl.

In a further embodiment, the vitamin D<sub>3</sub> compound of the invention is:



The structures of some of the compounds of the invention include asymmetric carbon atoms. Accordingly, the isomers arising from such asymmetry (*e.g.*, all enantiomers and diastereomers) are included within the scope of this invention, unless indicated otherwise. Such isomers can be obtained in substantially pure form by classical separation techniques and/or by stereochemically controlled synthesis.

Naturally occurring or synthetic isomers can be separated in several ways known in the art. Methods for separating a racemic mixture of two enantiomers include chromatography using a chiral stationary phase (see, *e.g.*, "Chiral Liquid Chromatography," W.J. Lough, Ed. Chapman and Hall, New York (1989)). Enantiomers can also be separated by classical resolution techniques. For example, formation of diastereomeric salts and fractional crystallization can be used to separate enantiomers. For the separation of enantiomers of carboxylic acids, the diastereomeric salts can be formed by addition of enantiomerically pure chiral bases such as brucine, quinine, ephedrine, strychnine, and the like. Alternatively, diastereomeric esters can be formed with enantiomerically pure chiral alcohols such as menthol, followed by separation of the diastereomeric esters and hydrolysis to yield the free, enantiomerically enriched carboxylic acid. For separation of the optical isomers of amino compounds, addition of chiral carboxylic or sulfonic acids, such as camphorsulfonic acid, tartaric acid, mandelic acid, or lactic acid can result in formation of the diastereomeric salts.

### 3. USES OF THE VITAMIN D<sub>3</sub> COMPOUNDS OF THE INVENTION

In another embodiment, the invention also provides methods for treating a subject for a vitamin D<sub>3</sub> associated state, by administering to the subject an effective amount of a vitamin D<sub>3</sub> compound of formula (I) or otherwise described herein. Vitamin D<sub>3</sub> associated states include disorders involving an aberrant activity of a vitamin D<sub>3</sub>-responsive cell, *e.g.*, neoplastic cells, hyperproliferative skin cells, parathyroid cells, immune cells and bone cells, among others. Vitamin D<sub>3</sub> associated states also include ILT3-associated disorders.

A. Hyperproliferative Conditions

In another aspect, the present invention provides a method of treating a subject for a disorder characterized by aberrant activity of a vitamin D<sub>3</sub>-responsive cell. The method involves administering to the subject an effective amount of a pharmaceutical composition of a vitamin D<sub>3</sub> compound of formula I or otherwise described herein such that the activity of the cell is modulated.

In certain embodiments, the cells to be treated are hyperproliferative cells. As described in greater detail below, the vitamin D<sub>3</sub> compounds of the invention can be used to inhibit the proliferation of a variety of hyperplastic and neoplastic tissues. In accordance with the present invention, vitamin D<sub>3</sub> compounds of the invention can be used in the treatment of both pathologic and non-pathologic proliferative conditions characterized by unwanted growth of vitamin D<sub>3</sub>-responsive cells, *e.g.*, hyperproliferative skin cells, immune cells, and tissue having transformed cells, *e.g.*, such as carcinomas, sarcomas and leukemias. In other embodiments, the cells to be treated are aberrant secretory cells, *e.g.*, parathyroid cells, immune cells.

In current methods, the use of vitamin D<sub>3</sub> compounds of in treating hyperproliferative conditions has been limited because of their hypercalcemic effects. Thus, vitamin D<sub>3</sub> compounds of the invention can provide a less toxic alternative to current methods of treatment.

In one embodiment, this invention features a method for inhibiting the proliferation and/or inducing the differentiation of a hyperproliferative skin cell, *e.g.*, an epidermal or an epithelial cell, *e.g.* a keratinocytes, by contacting the cells with a vitamin D<sub>3</sub> compound of the invention. In general, the method includes a step of contacting a pathological or non-pathological hyperproliferative cell with an effective amount of such vitamin D<sub>3</sub> compound to promote the differentiation of the hyperproliferative cells. The present method can be performed on cells in culture, *e.g.* *in vitro* or *ex vivo*, or can be performed on cells present in an animal subject, *e.g.*, as part of an *in vivo* therapeutic protocol. The therapeutic regimen can be carried out on a human or any other animal subject.

The vitamin D<sub>3</sub> compounds of the present invention can be used to treat a hyperproliferative skin disorder. Exemplary disorders include, but are not limited to, psoriasis, basal cell carcinoma, keratinization disorders and keratosis. Additional examples of these disorders include eczema; lupus associated skin lesions; psoriatic arthritis; rheumatoid arthritis that involves hyperproliferation and inflammation of epithelial-related cells lining the joint capsule; dermatitides such as seborrheic dermatitis

and solar dermatitis; keratoses such as seborrheic keratosis, senile keratosis, actinic keratosis, photo-induced keratosis, and keratosis follicularis; acne vulgaris; keloids and prophylaxis against keloid formation; nevi; warts including verruca, condyloma or condyloma acuminatum, and human papilloma viral (HPV) infections such as venereal warts; leukoplakia; lichen planus; and keratitis.

In an illustrative example, vitamin D<sub>3</sub> compounds of the invention can be used to inhibit the hyperproliferation of keratinocytes in treating diseases such as psoriasis by administering an effective amount of these compounds to a subject in need of treatment. The term "psoriasis" is intended to have its medical meaning, namely, a disease which afflicts primarily the skin and produces raised, thickened, scaling, nonscarring lesions. The lesions are usually sharply demarcated erythematous papules covered with overlapping shiny scales. The scales are typically silvery or slightly opalescent. Involvement of the nails frequently occurs resulting in pitting, separation of the nail, thickening and discoloration. Psoriasis is sometimes associated with arthritis, and it may be crippling. Hyperproliferation of keratinocytes is a key feature of psoriatic epidermal hyperplasia along with epidermal inflammation and reduced differentiation of keratinocytes. Multiple mechanisms have been invoked to explain the keratinocyte hyperproliferation that characterizes psoriasis. Disordered cellular immunity has also been implicated in the pathogenesis of psoriasis.

#### B. Neoplasia

The invention also features methods for inhibiting the proliferation and/or reversing the transformed phenotype of vitamin D<sub>3</sub>-responsive hyperproliferative cells by contacting the cells with a vitamin D<sub>3</sub> compound of formula (I) or otherwise described herein. In general, the method includes a step of contacting pathological or non-pathological hyperproliferative cells with an effective amount of a vitamin D<sub>3</sub> compound of the invention for promoting the differentiation of the hyperproliferative cells. The present method can be performed on cells in culture, *e.g.*, *in vitro* or *ex vivo*, or can be performed on cells present in an animal subject, *e.g.*, as part of an *in vivo* therapeutic protocol. The therapeutic regimen can be carried out on a human or other subject.

The vitamin D<sub>3</sub> compounds of formula I or otherwise described herein can be tested initially *in vitro* for their inhibitory effects in the proliferation of neoplastic cells. Examples of cell lines that can be used are transformed cells, *e.g.*, the human promyeloid leukemia cell line HL-60, and the human myeloid leukemia U-937 cell line (Abe E. *et al.* (1981) *Proc. Natl. Acad. Sci. USA* 78:4990-4994; Song L.N. and Cheng T.

(1992) *Biochem Pharmacol* 43:2292-2295; Zhou J.Y. *et al.* (1989) *Blood* 74:82-93; U.S. Pat. Nos. 5,401,733, U.S. 5,087,619). Alternatively, the antitumoral effects of vitamin D<sub>3</sub> compounds of the invention can be tested *in vivo* using various animal models known in the art and summarized in Bouillon, R. *et al.* (1995) *Endocrine Reviews* 16(2):233  
 5 (Table E), which is incorporated by reference herein. For example, SL mice are routinely used in the art to test vitamin D<sub>3</sub> compounds of the invention as models for MI myeloid leukemia (Honma *et al.* (1983) *Cell Biol.* 80:201-204; Kasukabe T. *et al.* (1987) *Cancer Res.* 47:567-572); breast cancer studies can be performed in, for example, nude mice models for human MX1 (ER) (Abe J. *et al.* (1991) *Endocrinology* 129:832-837;  
 10 other cancers, *e.g.*, colon cancer, melanoma osteosarcoma, can be characterized in, for example, nude mice models as describe in (Eisman J. A. *et al.* (1987) *Cancer Res.* 47:21-25; Kawaura A. *et al.* (1990) *Cancer Lett* 55:149-152; Belleli A. (1992) *Carcinogenesis* 13:2293-2298; Tsuchiya H. *et al.* (1993) *J. Orthopaed Res.* 11:122-130).

The subject method may also be used to inhibit the proliferation of  
 15 hyperplastic/neoplastic cells of hematopoietic origin, *e.g.*, arising from myeloid, lymphoid or erythroid lineages, or precursor cells thereof. For instance, the present invention contemplates the treatment of various myeloid disorders including, but not limited to, acute promyeloid leukemia (APML), acute myelogenous leukemia (AML) and chronic myelogenous leukemia (CML) (reviewed in Vaickus, L. (1991) *Crit Rev. in*  
 20 *Oncol./Hematol.* 11:267-97). Lymphoid malignancies which may be treated by the subject method include, but are not limited to acute lymphoblastic leukemia (ALL) which includes B-lineage ALL and T-lineage ALL, chronic lymphocytic leukemia (CLL), prolymphocytic leukemia (PLL), hairy cell leukemia (HLL) and Waldenstrom's macroglobulinemia (WM). Additional forms of malignant lymphomas contemplated by  
 25 the treatment method of the present invention include, but are not limited to non-Hodgkin lymphoma and variants thereof, peripheral T cell lymphomas, adult T cell leukemia/lymphoma (ATL), cutaneous T-cell lymphoma (CTCL), large granular lymphocytic leukemia (LGF) and Hodgkin's disease.

In certain embodiments, the vitamin D<sub>3</sub> compounds of the invention can be used  
 30 in combinatorial therapy with conventional cancer chemotherapeutics. Conventional treatment regimens for leukemia and for other tumors include radiation, drugs, or a combination of both. In addition to radiation, the following drugs, usually in combinations with each other, are often used to treat acute leukemias: vincristine, prednisone, methotrexate, mercaptopurine, cyclophosphamide, and cytarabine. In  
 35 chronic leukemia, for example, busulfan, melphalan, and chlorambucil can be used in combination. All of the conventional anti-cancer drugs are highly toxic and tend to

make patients quite ill while undergoing treatment. Vigorous therapy is based on the premise that unless every leukemic cell is destroyed, the residual cells will multiply and cause a relapse.

5 The subject method can also be useful in treating malignancies of the various organ systems, such as affecting lung, breast, lymphoid, gastrointestinal, and genito-urinary tract as well as adenocarcinomas which include malignancies such as most colon cancers, renal-cell carcinoma, prostate cancer and/or testicular tumors, non-small cell carcinoma of the lung, cancer of the small intestine and cancer of the esophagus.

10 According to the general paradigm of vitamin D<sub>3</sub> involvement in differentiation of transformed cells, exemplary solid tumors that can be treated according to the method of the present invention include vitamin D<sub>3</sub>-responsive phenotypes of sarcomas and carcinomas such as, but not limited to: fibrosarcoma, myxosarcoma, liposarcoma, chondrosarcoma, osteogenic sarcoma, chordoma, angiosarcoma, endotheliosarcoma, lymphangiosarcoma, lymphangioendotheliosarcoma, synovioma, mesothelioma,  
15 Ewing's tumor, leiomyosarcoma, rhabdomyosarcoma, colon carcinoma, pancreatic cancer, breast cancer, ovarian cancer, prostate cancer, squamous cell carcinoma, basal cell carcinoma, adenocarcinoma, sweat gland carcinoma, sebaceous gland carcinoma, papillary carcinoma, papillary adenocarcinomas, cystadenocarcinoma, medullary carcinoma, bronchogenic carcinoma, renal cell carcinoma, hepatoma, bile duct  
20 carcinoma, choriocarcinoma, seminoma, embryonal carcinoma, Wilms' tumor, cervical cancer, testicular tumor, lung carcinoma, small cell lung carcinoma, bladder carcinoma, epithelial carcinoma, glioma, astrocytoma, medulloblastoma, craniopharyngioma, ependymoma, pinealoma, hemangioblastoma, acoustic neuroma, oligodendroglioma, meningioma, melanoma, neuroblastoma, and retinoblastoma.

25 Determination of a therapeutically effective anti-neoplastic amount or a prophylactically effective anti-neoplastic amount of the vitamin D<sub>3</sub> compound of the invention, can be readily made by the physician or veterinarian (the "attending clinician"), as one skilled in the art, by the use of known techniques and by observing results obtained under analogous circumstances. The dosages may be varied depending  
30 upon the requirements of the patient in the judgment of the attending clinician, the severity of the condition being treated and the particular compound being employed. In determining the therapeutically effective antineoplastic amount or dose, and the prophylactically effective antineoplastic amount or dose, a number of factors are considered by the attending clinician, including, but not limited to: the specific  
35 hyperplastic/neoplastic cell involved; pharmacodynamic characteristics of the particular agent and its mode and route of administration; the disorder time course of treatment;

the species of mammal; its size, age, and general health; the specific disease involved; the degree of or involvement or the severity of the disease; the response of the individual patient; the particular compound administered; the mode of administration; the bioavailability characteristics of the preparation administered; the dose regimen  
5 selected; the kind of concurrent treatment (i.e., the interaction of the vitamin D<sub>3</sub> compounds of the invention with other co-administered therapeutics); and other relevant circumstances. U.S. Patent 5,427,916, for example, describes method for predicting the effectiveness of antineoplastic therapy in individual patients, and illustrates certain methods which can be used in conjunction with the treatment protocols of the instant  
10 invention.

Treatment can be initiated with smaller dosages which are less than the optimum dose of the compound. Thereafter, the dosage should be increased by small increments until the optimum effect under the circumstances is reached. For convenience, the total daily dosage may be divided and administered in portions during the day if desired. A  
15 therapeutically effective antineoplastic amount and a prophylactically effective anti-neoplastic amount of a vitamin D<sub>3</sub> compound of the invention is expected to vary from, about 0.1 milligram per kilogram of body weight per day (mg/kg/day) to about 100 mg/kg/day.

Compounds which are determined to be effective for the prevention or treatment  
20 of tumors in animals, *e.g.*, dogs, rodents, may also be useful in treatment of tumors in humans. Those skilled in the art of treating tumor in humans will know, based upon the data obtained in animal studies, the dosage and route of administration of the compound to humans. In general, the dosage and route of administration in humans is expected to be similar to that in animals.

25 The identification of those patients who are in need of prophylactic treatment for hyperplastic/neoplastic disease states is well within the ability and knowledge of one skilled in the art. Certain of the methods for identification of patients which are at risk of developing neoplastic disease states which can be treated by the subject method are appreciated in the medical arts, such as family history of the development of a particular  
30 disease state and the presence of risk factors associated with the development of that disease state in the subject patient. A clinician skilled in the art can readily identify such candidate patients, by the use of, for example, clinical tests, physical examination and medical/family history.

35 C. Immunological Activity



Healthy individuals protect themselves against foreign invaders using many different mechanisms, including physical barriers, phagocytic cells in the blood and tissues, a class of immune cells known as lymphocytes, and various blood-born molecules. All of these mechanisms participate in defending individuals from a potentially hostile environment. Some of these defense mechanisms, known as natural or innate immunity, are present in an individual prior to exposure to infectious microbes or other foreign macromolecules, are not enhanced by such exposures, and do not discriminate among most foreign substances. Other defense mechanisms, known as acquired or specific immunity, are induced or stimulated by exposure of foreign substances, are exquisitely specific for distinct macromolecules, and increase in magnitude and defensive capabilities with each successive exposure to a particular macromolecule. Substances that induce a specific immune response are known as antigens (see, *e.g.*, Abbas, A. *et al.*, *Cellular and Molecular Immunology*, W.B. Saunders Company, Philadelphia, 1991; Silverstein, A.M. *A history of Immunology*, San Diego, Academic Press, 1989; Unanue A. *et al.*, *Textbook of Immunology*, 2<sup>nd</sup> ed. Williams and Wilkens, Baltimore, 1984).

One of the most remarkable properties of the immune system is its ability to distinguish between foreign antigens and self-antigens. Therefore, the lymphocytes in each individual are able to recognize and respond to many foreign antigens but are normally unresponsive to the potentially antigenic substances present in the individual. This immunological unresponsiveness is referred to as immune tolerance (see, *e.g.*, Burt RK *et al.* (2002) *Blood* 99:768; Coutinho, A. *et al.* (2001) *Immunol. Rev.* 182:89; Schwartz, RH (1990) *Science* 248:1349; Miller, J.F. *et al.* (1989) *Immunology Today* 10:53).

Self-tolerance is an acquired process that has to be learned by the lymphocytes of each individual. It occurs in part because lymphocytes pass through a stage in their development when an encounter with antigen presented by antigen-presenting cells (APCs) leads to their death or inactivation in a process known as positive and negative selection (see, *e.g.*, Debatin KM (2001) *Ann. Hematol.* 80 Suppl 3:B29; Abbas, A. (1991), *supra*). Thus, potentially self-recognizing lymphocytes come into contact with self-antigens at this stage of functional immaturity and are prevented from developing to a stage at which they would be able to respond to self-antigens. Autoimmunity arises when abnormalities in the induction or maintenance of self-tolerance occur that result in a loss of tolerance to a particular antigen(s) and a subsequent attack by the host's immune system on the host's tissues that express the antigen(s) (see, *e.g.*, Boyton RJ *et*

*al.* (2002) *Clin. Exp. Immunol.* 127:4; Hagiwara E. (2001) *Ryumachi* 41:888; Burt RK *et al.* (2992) *Blood* 99:768).

The ability of the immune system to distinguish between self and foreign antigens also plays a critical role in tissue transplantation. The success of a transplant depends on preventing the immune system of the host recipient from recognizing the transplant as foreign and, in some cases, preventing the graft from recognizing the host tissues as foreign. For example, when a host receives a bone marrow transplant, the transplanted bone marrow may recognize the new host as foreign, resulting in graft versus host disease (GVHD). Consequently, the survival of the host depends on preventing both the rejection of the donor marrow as well as rejection of the host by the graft immune reaction (see, *e.g.*, Waldmann H *et al.* (2001) *Int. Arch. Allergy Immunol.* 126:11).

Currently, deleterious immune reactions that result in autoimmune diseases and transplant rejections are prevented or treated using agents such as steroids, azathioprine, anti-T cell antibodies, and more recently, monoclonal antibodies to T cell subpopulations. Immunosuppressive drugs such as cyclosporin A (CsA), rapamycin, desoxyspergualine and FK-506 are also widely used.

Nonspecific immune suppression agents, such as steroids and antibodies to lymphocytes, put the host at increased risk for opportunistic infection and development of tumors. Moreover, many immunosuppressive drugs result in bone demineralization within the host (see, *e.g.*, Chhajed PN *et al.* (2002) *Indian J. Chest Dis. Allied* 44:31; Wijdicks EF (2001) *Liver Transpl.* 7:937; Karamchic J *et al.* (2001) *Med. Arh.* 55:243; U.S. Patent No. 5,597,563 issued to Beschoner, WE and U.S. Patent No. 6,071,897 issued to DeLuca HF *et al.*). Because of the major drawbacks associated with existing immunosuppressive modalities, there is a need for a new approach for treating immune disorders, *e.g.*, for inducing immune tolerance in a host.

Thus, in another aspect, the invention provides a method for modulating the activity of an immune cell by contacting the cell with a vitamin D<sub>3</sub> compound of formula I or otherwise described herein.

In one embodiment, the present invention provides a method for suppressing immune activity in an immune cell by contacting a pathological or non-pathological immune cell with an effective amount of a vitamin D<sub>3</sub> compound of the invention to thereby inhibit an immune response relative to the cell in the absence of the treatment. The present method can be performed on cells in culture, *e.g.*, *in vitro* or *ex vivo*, or can be performed on cells present in an animal subject, *e.g.*, as part of an *in vivo* therapeutic protocol. *In vivo* treatment can be carried out on a human or other animal subject.

The vitamin D<sub>3</sub> compounds of the invention can be tested initially *in vitro* for their inhibitory effects on T cell proliferation and secretory activity, as described in Reichel, H. *et al.*, (1987) *Proc. Natl. Acad. Sci. USA* 84:3385-3389; Lemire, J. M. *et al.* (1985) *J. Immunol* 34:2032-2035. Alternatively, the immunosuppressive effects can be  
5 tested *in vivo* using the various animal models known in the art and summarized by Bouillon, R. *et al.* (1995) *Endocrine Reviews* 16(2) 232 (Tables 6 and 7). For examples, animal models for autoimmune disorders, *e.g.*, lupus, thyroiditis, encephalitis, diabetes and nephritis are described in (Lemire J.M. (1992) *J. Cell Biochem.* 49:26-31; Koizumi T. *et al.* (1985) *Int. Arch. Allergy Appl. Immunol.* 77:396-404; Abe J. *et al.* (1990)  
10 *Calcium Regulation and Bone Metabolism* 146-151; Fournier C. *et al.* (1990) *Clin. Immunol Immunopathol.* 54:53-63; Lemire J.M. and Archer D.C. (1991) *J. Clin. Invest.* 87:1103-1107; Lemire, J. M. *et al.*, (1994) *Endocrinology* 135 (6):2818-2821; Inaba M. *et al.* (1992) *Metabolism* 41:631-635; Mathieu C. *et al.* (1992) *Diabetes* 41:1491-1495; Mathieu C. *et al.* (1994) *Diabetologia* 37:552-558; Lillevang S.T. *et al.* (1992) *Clin.*  
15 *Exp. Immunol.* 88:301-306, among others). Models for characterizing immunosuppressive activity during organ transplantation, *e.g.*, skin graft, cardiac graft, islet graft, are described in Jordan S.C. *et al.* (1988) v Herrath D (eds) *Molecular, Cellular and Clinical Endocrinology* 346-347; Veyron P. *et al.* (1993) *Transplant Immunol.* 1:72-76; Jordan S.C. (1988) v Herrath D (eds) *Molecular, Cellular and*  
20 *Clinical Endocrinology* 334-335; Lemire J.M. *et al.* (1992) *Transplantation* 54:762-763; Mathieu C. *et al.* (1994) *Transplant Proc.* 26:3128-3129).

After identifying certain test compounds as effective suppressors of an immune response *in vitro*, these compounds can be used *in vivo* as part of a therapeutic protocol. Accordingly, another embodiment provides a method of suppressing an immune  
25 response, comprising administering to a subject a pharmaceutical preparation of a vitamin D<sub>3</sub> compounds of the invention, so as to inhibit immune reactions such as graft rejection, autoimmune disorders and inflammation.

For example, the subject vitamin D<sub>3</sub> compound of the invention can be used to inhibit responses in clinical situations where it is desirable to downmodulate T cell  
30 responses. For example, in graft-versus-host disease, cases of transplantation, autoimmune diseases (including, for example, diabetes mellitus, arthritis (including rheumatoid arthritis, juvenile rheumatoid arthritis, osteoarthritis, psoriatic arthritis), multiple sclerosis, encephalomyelitis, diabetes, myasthenia gravis, systemic lupus erythematosus, autoimmune thyroiditis, dermatitis (including atopic dermatitis and  
35 eczematous dermatitis), psoriasis, Sjögren's Syndrome, including keratoconjunctivitis sicca secondary to Sjögren's Syndrome, alopecia areata, allergic responses due to

arthropod bite reactions, Crohn's disease, aphthous ulcer, iritis, conjunctivitis, keratoconjunctivitis, ulcerative colitis, asthma, allergic asthma, cutaneous lupus erythematosus, scleroderma, vaginitis, proctitis, drug eruptions, leprosy reversal reactions, erythema nodosum leprosum, autoimmune uveitis, allergic encephalomyelitis, acute necrotizing hemorrhagic encephalopathy, idiopathic bilateral progressive sensorineural hearing loss, aplastic anemia, pure red cell anemia, idiopathic thrombocytopenia, polychondritis, Wegener's granulomatosis, chronic active hepatitis, Stevens-Johnson syndrome, idiopathic sprue, lichen planus, Crohn's disease, Graves ophthalmopathy, sarcoidosis, primary biliary cirrhosis, uveitis posterior, and interstitial lung fibrosis). Downmodulation of immune activity will also be desirable in cases of allergy such as, atopic allergy.

In other embodiments, the present invention provides methods and compositions for treating immune disorders, such as, for example, autoimmune disorders and transplant rejections, such as graft versus host disease (GVHD). These embodiments of the invention are based on the discovery that vitamin D compounds of the invention are able to modulate the expression of immunoglobulin-like transcript 3 (ILT3) on cells, *e.g.*, antigen-presenting cells.

As described before, determination of a therapeutically effective immunosuppressive amount can be readily made by the attending clinician, as one skilled in the art, by the use of known techniques and by observing results obtained under analogous circumstances. Compounds which are determined to be effective in animals, *e.g.*, dogs, rodents, may be extrapolated accordingly to humans by those skilled in the art. Starting dose/regimen used in animals can be estimated based on prior studies. For example, doses of vitamin D<sub>3</sub> compounds of the invention to treat autoimmune disorders in rodents can be initially estimated in the range of 0.1 g/kg/day to 1 g/kg/day, administered orally or by injection.

Those skilled in the art will know based upon the data obtained in animal studies, the dosage and route of administration in humans is expected to be similar to that in animals. Exemplary dose ranges to be used in humans are from 0.25 to 10 µg/day, preferably 0.5 to 5 µg/day per adult (U.S. Pat. No. 4,341,774).

#### D. Calcium and Phosphate Homeostasis

The present invention also relates to a method of treating in a subject a disorder characterized by deregulation of calcium metabolism. This method comprises contacting a pathological or non-pathological vitamin D<sub>3</sub> responsive cell with an effective amount of a vitamin D<sub>3</sub> compound of the invention to thereby directly or

indirectly modulate calcium and phosphate homeostasis. Techniques for detecting calcium fluctuation *in vivo* or *in vitro* are known in the art.

Exemplary  $\text{Ca}^{++}$  homeostasis related assays include assays that focus on the intestine where intestinal  $^{45}\text{Ca}^{2+}$  absorption is determined either 1) *in vivo* (Hibberd K.A. and Norman A.W. (1969) *Biochem. Pharmacol.* 18:2347-2355; Hurwitz S. *et al.* (1967) *J. Nutr.* 91:319-323; Bickle D.D. *et al.* (1984) *Endocrinology* 114:260-267), or 2) *in vitro* with everted duodenal sacs (Schachter D. *et al.* (1961) *Am. J. Physiol* 200:1263-1271), or 3) on the genomic induction of calbindin-D<sub>28k</sub> in the chick or of calbindin-D<sub>9k</sub> in the rat (Thomasset M. *et al.* (1981) *FEBS Lett.* 127:13-16; Brehier A. and Thomasset M. (1990) *Endocrinology* 127:580-587). The bone-oriented assays include: 1) assessment of bone resorption as determined via the release of  $\text{Ca}^{2+}$  from bone *in vivo* (in animals fed a zero  $\text{Ca}^{2+}$  diet) (Hibberd K.A. and Norman A.W. (1969) *Biochem. Pharmacol.* 18:2347-2355; Hurwitz S. *et al.* (1967) *J. Nutr.* 91:319-323), or from bone explants *in vitro* (Bouillon R. *et al.* (1992) *J. Biol. Chem.* 267:3044-3051), 2) measurement of serum osteocalcin levels [osteocalcin is an osteoblast-specific protein that after its synthesis is largely incorporated into the bone matrix, but partially released into the circulation (or tissue culture medium) and thus represents a good marker of bone formation or turnover] (Bouillon R. *et al.* (1992) *Clin. Chem.* 38:2055-2060), or 3) bone ash content (Norman A.W. and Wong R.G. (1972) *J. Nutr.* 102:1709-1718). Only one kidney-oriented assay has been employed. In this assay, urinary  $\text{Ca}^{2+}$  excretion is determined (Hartenbower D.L. *et al.* (1977) Walter de Gruyter, Berlin pp 587-589); this assay is dependent upon elevations in the serum  $\text{Ca}^{2+}$  level and may reflect bone  $\text{Ca}^{2+}$  mobilizing activity more than renal effects. Finally, there is a "soft tissue calcification" assay that can be used to detect the consequences of administration of a compound of the invention. In this assay a rat is administered an intraperitoneal dose of  $^{45}\text{Ca}^{2+}$ , followed by seven daily relative high doses of a compound of the invention; in the event of onset of a severe hypercalcemia, soft tissue calcification can be assessed by determination of the  $^{45}\text{Ca}^{2+}$  level. In all these assays, vitamin D<sub>3</sub> compounds of the invention are administered to vitamin D-sufficient or -deficient animals, as a single dose or chronically (depending upon the assay protocol), at an appropriate time interval before the end point of the assay is quantified.

In certain embodiments, vitamin D<sub>3</sub> compounds of the invention can be used to modulate bone metabolism. The language "bone metabolism" is intended to include direct or indirect effects in the formation or degeneration of bone structures, *e.g.*, bone formation, bone resorption, etc., which may ultimately affect the concentrations in serum of calcium and phosphate. This term is also intended to include effects of vitamin

D<sub>3</sub> compounds in bone cells, e.g. osteoclasts and osteoblasts, that may in turn result in bone formation and degeneration. For example, it is known in the art, that vitamin D<sub>3</sub> compounds exert effects on the bone forming cells, the osteoblasts through genomic and non-genomic pathways (Walters M.R. *et al.* (1982) *J. Biol. Chem.* 257:7481-7484;  
 5 Jurutka P.W. *et al.* (1993) *Biochemistry* 32:8184-8192; Mellon W.S. and DeLuca H.F. (1980) *J. Biol. Chem.* 255:4081-4086). Similarly, vitamin D<sub>3</sub> compounds are known in the art to support different activities of bone resorbing osteoclasts such as the stimulation of differentiation of monocytes and mononuclear phagocytes into osteoclasts (Abe E. *et al.* (1988) *J. Bone Miner Res.* 3:635-645; Takahashi N. *et al.* (1988)  
 10 *Endocrinology* 123:1504-1510; Udagawa N. *et al.* (1990) *Proc. Natl. Acad. Sci. USA* 87:7260-7264). Accordingly, vitamin D<sub>3</sub> compounds of the invention that modulate the production of bone cells can influence bone formation and degeneration.

The present invention provides a method for modulating bone cell metabolism by contacting a pathological or a non-pathological bone cell with an effective amount of  
 15 a vitamin D<sub>3</sub> compound of the invention to thereby modulate bone formation and degeneration. The present method can be performed on cells in culture, *e.g.*, *in vitro* or *ex vivo*, or can be performed in cells present in an animal subject, *e.g.*, cells *in vivo*. Exemplary culture systems that can be used include osteoblast cell lines, *e.g.*, ROS 17/2.8 cell line, monocytes, bone marrow culture system (Suda T. *et al.* (1990) *Med.*  
 20 *Res. Rev.* 7:333-366; Suda T. *et al.* (1992) *J. Cell Biochem.* 49:53-58) among others. Selected compounds can be further tested *in vivo*, for example, animal models of osteopetrosis and in human disease (Shapira F. (1993) *Clin. Orthop.* 294:34-44).

In a preferred embodiment, a method for treating osteoporosis is provided, comprising administering to a subject a pharmaceutical preparation of a vitamin D<sub>3</sub>  
 25 compound of the invention to thereby ameliorate the condition relative to an untreated subject.

Vitamin D<sub>3</sub> compounds of the invention can be tested in ovariectomized animals, *e.g.*, dogs, rodents, to assess the changes in bone mass and bone formation rates in both normal and estrogen-deficient animals. Clinical trials can be conducted in humans by  
 30 attending clinicians to determine therapeutically effective amounts of the vitamin D<sub>3</sub> compounds of the invention in preventing and treating osteoporosis.

In other embodiments, therapeutic applications of the vitamin D<sub>3</sub> compounds of the invention include treatment of other diseases characterized by metabolic calcium and phosphate deficiencies. Exemplary of such diseases are the following: osteoporosis,  
 35 osteodystrophy, osteomalacia, rickets, osteitis fibrosa cystica, renal osteodystrophy, osteosclerosis, anti-convulsant treatment, osteopenia, fibrogenesis-imperfecta ossium,

- secondary hyperparathyroidism, hypoparathyroidism, hyperparathyroidism, cirrhosis, obstructive jaundice, drug induced metabolism, medullary carcinoma, chronic renal disease, hypophosphatemic VDDR, vitamin D-dependent rickets, sarcoidosis, glucocorticoid antagonism, malabsorption syndrome, steatorrhea, tropical sprue,
- 5 idiopathic hypercalcemia and milk fever.

#### E. Hormone Secretion

- In yet another aspect, the present invention provides a method for modulating hormone secretion of a vitamin D<sub>3</sub>- responsive cell, e.g., an endocrine cell. Hormone
- 10 secretion includes both genomic and non-genomic activities of vitamin D<sub>3</sub> compounds of the invention that control the transcription and processing responsible for secretion of a given hormone e.g., parathyroid hormone (PTH), calcitonin, insulin, prolactin (PRL) and TRH in a vitamin D<sub>3</sub> responsive cell (Bouillon, R. *et al.* (1995) *Endocrine Reviews* 16(2):235-237).

- 15 The present method can be performed on cells in culture, e.g. *in vitro* or *ex vivo*, or on cells present in an animal subject, e.g., *in vivo*. Vitamin D<sub>3</sub> compounds of the invention can be initially tested *in vitro* using primary cultures of parathyroid cells. Other systems that can be used include the testing by prolactin secretion in rat pituitary tumor cells, e.g., GH4C1 cell line (Wark J.D. and Tashjian Jr. A.H. (1982) *Endocrinology* 111:1755-1757; Wark J. D. and Tashjian Jr. A.H. (1983) *J. Biol. Chem.* 258:2118-2121; Wark J.D. and Gurtler V. (1986) *Biochem. J.* 233:513-518) and TRH secretion in GH4C1 cells. Alternatively, the effects of vitamin D<sub>3</sub> compounds of the invention can be characterized *in vivo* using animals models as described in Nko M. *et al.* (1982) *Miner Electrolyte Metab.* 5:67-75; Oberg F. *et al.* (1993) *J. Immunol.* 150:3487-3495; Bar-Shavit Z. *et al.* (1986) *Endocrinology* 118:679-686; Testa U. *et al.* (1993) *J. Immunol.* 150:2418-2430; Nakamaki T. *et al.* (1992) *Anticancer Res.* 12:1331-1337; Weinberg J.B. and Larrick J.W. (1987) *Blood* 70:994-1002; Chambaut-Guérin A.M. and Thomopoulos P. (1991) *Eur. Cytokine New.* 2:355; Yoshida M. *et al.* (1992) *Anticancer Res.* 12:1947-1952; Momparler R.L. *et al.* (1993) *Leukemia* 7:17-20; Eisman J.A. (1994) *Kanis JA (eds) Bone and Mineral Research* 2:45-76; Veyron P. *et al.* (1993) *Transplant Immunol.* 1:72-76; Gross M. *et al.* (1986) *J Bone Miner Res.* 1:457-467; Costa E.M. *et al.* (1985) *Endocrinology* 117:2203-2210; Koga M. *et al.* (1988) *Cancer Res.* 48:2734-2739; Franceschi R.T. *et al.* (1994) *J. Cell Physiol.* 123:401-409; Cross H.S. *et al.* (1993) *Naunyn Schmiedebergs Arch. Pharmacol.* 347:105-110; Zhao X. and
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- Feldman D. (1993) *Endocrinology* 132:1808-1814; Skowronski R.J. *et al.* (1993) *Endocrinology* 132:1952-1960; Henry H.L. and Norman A.W. (1975) *Biochem.*

- Biophys. Res. Commun.* 62:781-788; Weckslar W.R. *et al.* (1980) *Arch. Biochem. Biophys.* 201:95-103; Brumbaugh P.F. *et al.* (1975) *Am. J. Physiol.* 238:384-388; Oldham S.B. *et al.* (1979) *Endocrinology* 104:248-254; Chertow B.S. *et al.* (1975) *J. Clin. Invest.* 56:668-678; Canterbury J.M. *et al.* (1978) *J. Clin. Invest.* 61:1375-1383;
- 5 Quesad J.M. *et al.* (1992) *J. Clin. Endocrinol. Metab.* 75:494-501.

In certain embodiments, the vitamin D<sub>3</sub> compounds of the present invention can be used to inhibit parathyroid hormone (PTH) processing, *e.g.*, transcriptional, translational processing, and/or secretion of a parathyroid cell as part of a therapeutic protocol. Therapeutic methods using these compounds can be readily applied to all

10 diseases, involving direct or indirect effects of PTH activity, *e.g.*, primary or secondary responses.

Accordingly, therapeutic applications for the vitamin D<sub>3</sub> compounds of the invention include treating diseases such as secondary hyperparathyroidism of chronic renal failure (Slatopolsky E. *et al.* (1990) *Kidney Int.* 38:S41-S47; Brown A.J. *et al.*

15 (1989) *J. Clin. Invest.* 84:728-732). Determination of therapeutically affective amounts and dose regimen can be performed by the skilled artisan using the data described in the art.

#### F. Protection Against Neuronal Loss

20 In yet another aspect, the present invention provides a method of protecting against neuronal loss by contacting a vitamin D<sub>3</sub> responsive cell, *e.g.*, a neuronal cell, with a vitamin D<sub>3</sub> compound of the invention to prevent or retard neuron loss. The language "protecting against" is intended to include prevention, retardation, and/or termination of deterioration, impairment, or death of a neurons.

25 Neuron loss can be the result of any condition of a neuron in which its normal function is compromised. Neuron deterioration can be the result of any condition which compromises neuron function which is likely to lead to neuron loss. Neuron function can be compromised by, for example, altered biochemistry, physiology, or anatomy of a neuron. Deterioration of a neuron may include membrane, dendritic, or synaptic

30 changes which are detrimental to normal neuronal functioning. The cause of the neuron deterioration, impairment, and/or death may be unknown. Alternatively, it may be the result of age- and/or disease-related changes which occur in the nervous system of a subject.

When neuron loss is described herein as "age-related", it is intended to include

35 neuron loss resulting from known and unknown bodily changes of a subject which are associated with aging. When neuron loss is described herein as "disease-related", it is



intended to include neuron loss resulting from known and unknown bodily changes of a subject which are associated with disease. It should be understood, however, that these terms are not mutually exclusive and that, in fact, many conditions that result in the loss of neurons are both age- and disease-related.

5 Exemplary age-related diseases associated with neuron loss and changes in neuronal morphology include, for example, Alzheimer's Disease, Pick's Disease, Parkinson's Disease, Vascular Disease, Huntington's Disease, and Age-Associated Memory Impairment. In Alzheimer's Disease patients, neuron loss is most notable in the hippocampus, frontal, parietal, and anterior temporal cortices, amygdala, and the  
10 olfactory system. The most prominently affected zones of the hippocampus include the CA1 region, the subiculum, and the entorhinal cortex. Memory loss is considered the earliest and most representative cognitive change because the hippocampus is well known to play a crucial role in memory. Pick's Disease is characterized by severe neuronal degeneration in the neocortex of the frontal and anterior temporal lobes which  
15 is sometimes accompanied by death of neurons in the striatum. Parkinson's Disease can be identified by the loss of neurons in the substantia nigra and the locus ceruleus. Huntington's Disease is characterized by degeneration of the intrastriatal and cortical cholinergic neurons and GABA-ergic neurons. Parkinson's and Huntington's Diseases are usually associated with movement disorders, but often show cognitive impairment  
20 (memory loss) as well.

Age-Associated Memory Impairment (AAMI) is another age-associated disorder that is characterized by memory loss in healthy, elderly individuals in the later decades of life. Crook, T. *et al.* (1986) *Devel. Neuropsych.* 2(4):261-276. Presently, the neural basis for AAMI has not been precisely defined. However, neuron death with aging has  
25 been reported to occur in many species in brain regions implicated in memory, including cortex, hippocampus, amygdala, basal ganglia, cholinergic basal forebrain, locus ceruleus, raphe nuclei, and cerebellum. Crook, T. *et al.* (1986) *Devel. Neuropsych.* 2(4):261-276.

Vitamin D<sub>3</sub> compounds of the invention can protect against neuron loss by  
30 genomic or non-genomic mechanisms. Nuclear vitamin D<sub>3</sub> receptors are well known to exist in the periphery but have also been found in the brain, particularly in the hippocampus and neocortex. Non-genomic mechanisms may also prevent or retard neuron loss by regulating intraneuronal and/or peripheral calcium and phosphate levels. Furthermore, vitamin D<sub>3</sub> compounds of the invention may protect against neuronal loss  
35 by acting indirectly, *e.g.*, by modulating serum PTH levels. For example, a positive

correlation has been demonstrated between serum PTH levels and cognitive decline in Alzheimer's Disease.

The present method can be performed on cells in culture, e.g. *in vitro* or *ex vivo*, or on cells present in an animal subject, e.g., *in vivo*. Vitamin D<sub>3</sub> compounds of the invention can be initially tested *in vitro* using neurons from embryonic rodent pups (See 5 e.g. U.S. Patent No. 5,179,109-fetal rat tissue culture), or other mammalian (See e.g. U.S. Patent No. 5,089,517-fetal mouse tissue culture) or non-mammalian animal models. These culture systems have been used to characterize the protection of peripheral, as well as, central nervous system neurons in animal or tissue culture models 10 of ischemia, stroke, trauma, nerve crush, Alzheimer's Disease, Pick's Disease, and Parkinson's Disease, among others. Examples of *in vitro* systems to study the prevention of destruction of neocortical neurons include using *in vitro* cultures of fetal mouse neurons and glial cells previously exposed to various glutamate agonists, such as kainate, NMDA, and  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazoleprionate (AMPA). U.S. 15 Patent No. 5,089,517. See also U.S. Patent No. 5,170,109 (treatment of rat cortical/hippocampal neuron cultures with glutamate prior to treatment with neuroprotective compound); U.S. Patent Nos. 5,163,196 and 5,196,421 (neuroprotective excitatory amino acid receptor antagonists inhibit glycine, kainate, AMPA receptor binding in rats).

20 Alternatively, the effects of vitamin D<sub>3</sub> compounds of the invention can be characterized *in vivo* using animals models. Neuron deterioration in these model systems is often induced by experimental trauma or intervention (e.g. application of toxins, nerve crush, interruption of oxygen supply).

#### 25 G. Smooth Muscle Cells

In yet another aspect, the present invention provides a method of modulating the activity of a vascular smooth muscle cell by contacting a vitamin D<sub>3</sub>-responsive smooth muscle cell with a vitamin D<sub>3</sub> compound of the invention to activate or, preferably, inhibit the activity of the cell. The language "activity of a smooth muscle cell" is 30 intended to include any activity of a smooth muscle cell, such as proliferation, migration, adhesion and/or metabolism.

In certain embodiments, the vitamin D<sub>3</sub> compounds of the invention can be used to treat diseases and conditions associated with aberrant activity of a vitamin D<sub>3</sub>-responsive smooth muscle cell. For example, the present invention can be used in the 35 treatment of hyperproliferative vascular diseases, such as hypertension induced vascular remodeling, vascular restenosis and atherosclerosis. In other embodiments, the

compounds of the present invention can be used in treating disorders characterized by aberrant metabolism of a vitamin D<sub>3</sub>-responsive smooth muscle cell, *e.g.*, arterial hypertension.

The present method can be performed on cells in culture, *e.g. in vitro* or *ex vivo*,  
 5 or on cells present in an animal subject, *e.g., in vivo*. Vitamin D<sub>3</sub> compounds of the invention can be initially tested *in vitro* as described in Catellot *et al.* (1982), *J. Biol. Chem.* 257(19): 11256.

#### H. Suppression Of Renin Expression

10 The compounds of the present invention control blood pressure by the suppression of rennin expression and are useful as antihypertensive agents. Renin-angiotensin regulatory cascade plays a significant role in the regulation of blood pressure, electrolyte and volume homeostasis (Y.C. Li, Abstract, *DeLuca Symposium on Vitamin D<sub>3</sub>*, Tauc, New Mexico, June 15 - June 19, 2002, p. 18). Thus, the invention  
 15 provides a method of treating a subject for a vitamin D<sub>3</sub> associated state, wherein the vitamin D<sub>3</sub> associated state is a disorder characterized by an aberrant activity of a cell that expresses renin. The method includes administering to the subject an effective amount of a compound of formula I, such that renin expression by the cell is suppressed, and the subject is thereby treated for hypertension.

20

#### 4. PHARMACEUTICAL COMPOSITIONS

The invention also provides a pharmaceutical composition, comprising an effective amount a vitamin D<sub>3</sub> compound of formula (I) or otherwise described herein and a pharmaceutically acceptable carrier. In a further embodiment, the effective  
 25 amount is effective to treat a vitamin D<sub>3</sub> associated state, as described previously.

In an embodiment, the vitamin D<sub>3</sub> compound is administered to the subject using a pharmaceutically-acceptable formulation, *e.g.*, a pharmaceutically-acceptable formulation that provides sustained delivery of the vitamin D<sub>3</sub> compound to a subject for  
 30 at least 12 hours, 24 hours, 36 hours, 48 hours, one week, two weeks, three weeks, or four weeks after the pharmaceutically-acceptable formulation is administered to the subject.

In certain embodiments, these pharmaceutical compositions are suitable for topical or oral administration to a subject. In other embodiments, as described in detail below, the pharmaceutical compositions of the present invention may be specially  
 35 formulated for administration in solid or liquid form, including those adapted for the following: (1) oral administration, for example, drenches (aqueous or non-aqueous

solutions or suspensions), tablets, boluses, powders, granules, pastes; (2) parenteral administration, for example, by subcutaneous, intramuscular or intravenous injection as, for example, a sterile solution or suspension; (3) topical application, for example, as a cream, ointment or spray applied to the skin; (4) intravaginally or intrarectally, for example, as a pessary, cream or foam; or (5) aerosol, for example, as an aqueous aerosol, liposomal preparation or solid particles containing the compound.

In certain embodiments, the subject is a mammal, *e.g.*, a primate, *e.g.*, a human.

The methods of the invention further include administering to a subject a therapeutically effective amount of a vitamin D<sub>3</sub> compound in combination with another pharmaceutically active compound. Examples of pharmaceutically active compounds include compounds known to treat autoimmune disorders, *e.g.*, immunosuppressant agents such as cyclosporin A, rapamycin, desoxyspergualine, FK 506, steroids, azathioprine, anti-T cell antibodies and monoclonal antibodies to T cell subpopulations. Other pharmaceutically active compounds that may be used can be found in *Harrison's Principles of Internal Medicine*, Thirteenth Edition, Eds. T.R. Harrison *et al.* McGraw-Hill N.Y., NY; and the Physicians Desk Reference 50th Edition 1997, Oradell New Jersey, Medical Economics Co., the complete contents of which are expressly incorporated herein by reference. The angiogenesis inhibitor compound and the pharmaceutically active compound may be administered to the subject in the same pharmaceutical composition or in different pharmaceutical compositions (at the same time or at different times).

The phrase "pharmaceutically acceptable" refers to those vitamin D<sub>3</sub> compounds of the present invention, compositions containing such compounds, and/or dosage forms which are, within the scope of sound medical judgment, suitable for use in contact with the tissues of human beings and animals without excessive toxicity, irritation, allergic response, or other problem or complication, commensurate with a reasonable benefit/risk ratio.

The phrase "pharmaceutically-acceptable carrier" includes pharmaceutically-acceptable material, composition or vehicle, such as a liquid or solid filler, diluent, excipient, solvent or encapsulating material, involved in carrying or transporting the subject chemical from one organ, or portion of the body, to another organ, or portion of the body. Each carrier must be "acceptable" in the sense of being compatible with the other ingredients of the formulation and not injurious to the patient. Some examples of materials which can serve as pharmaceutically-acceptable carriers include: (1) sugars, such as lactose, glucose and sucrose; (2) starches, such as corn starch and potato starch; (3) cellulose, and its derivatives, such as sodium carboxymethyl cellulose, ethyl

cellulose and cellulose acetate; (4) powdered tragacanth; (5) malt; (6) gelatin; (7) talc; (8) excipients, such as cocoa butter and suppository waxes; (9) oils, such as peanut oil, cottonseed oil, safflower oil, sesame oil, olive oil, corn oil and soybean oil; (10) glycols, such as propylene glycol; (11) polyols, such as glycerin, sorbitol, mannitol and  
5 polyethylene glycol; (12) esters, such as ethyl oleate and ethyl laurate; (13) agar; (14) buffering agents, such as magnesium hydroxide and aluminum hydroxide; (15) alginic acid; (16) pyrogen-free water; (17) isotonic saline; (18) Ringer's solution; (19) ethyl alcohol; (20) phosphate buffer solutions; and (21) other non-toxic compatible substances employed in pharmaceutical formulations.

10 Wetting agents, emulsifiers and lubricants, such as sodium lauryl sulfate and magnesium stearate, as well as coloring agents, release agents, coating agents, sweetening, flavoring and perfuming agents, preservatives and antioxidants can also be present in the compositions.

Examples of pharmaceutically-acceptable antioxidants include: (1) water soluble  
15 antioxidants, such as ascorbic acid, cysteine hydrochloride, sodium bisulfate, sodium metabisulfite, sodium sulfite and the like; (2) oil-soluble antioxidants, such as ascorbyl palmitate, butylated hydroxyanisole (BHA), butylated hydroxytoluene (BHT), lecithin, propyl gallate, alpha-tocopherol, and the like; and (3) metal chelating agents, such as citric acid, ethylenediamine tetraacetic acid (EDTA), sorbitol, tartaric acid, phosphoric  
20 acid, and the like.

Compositions containing a vitamin D<sub>3</sub> compound(s) include those suitable for oral, nasal, topical (including buccal and sublingual), rectal, vaginal, aerosol and/or parenteral administration. The compositions may conveniently be presented in unit dosage form and may be prepared by any methods well known in the art of pharmacy.  
25 The amount of active ingredient which can be combined with a carrier material to produce a single dosage form will vary depending upon the host being treated, the particular mode of administration. The amount of active ingredient which can be combined with a carrier material to produce a single dosage form will generally be that amount of the compound which produces a therapeutic effect. Generally, out of one  
30 hundred per cent, this amount will range from about 1 per cent to about ninety-nine percent of active ingredient, preferably from about 5 per cent to about 70 per cent, most preferably from about 10 per cent to about 30 per cent.

Methods of preparing these compositions include the step of bringing into association a vitamin D<sub>3</sub> compound(s) with the carrier and, optionally, one or more  
35 accessory ingredients. In general, the formulations are prepared by uniformly and

intimately bringing into association a vitamin D<sub>3</sub> compound with liquid carriers, or finely divided solid carriers, or both, and then, if necessary, shaping the product.

Compositions of the invention suitable for oral administration may be in the form of capsules, cachets, pills, tablets, lozenges (using a flavored basis, usually sucrose and acacia or tragacanth), powders, granules, or as a solution or a suspension in an aqueous or non-aqueous liquid, or as an oil-in-water or water-in-oil liquid emulsion, or as an elixir or syrup, or as pastilles (using an inert base, such as gelatin and glycerin, or sucrose and acacia) and/or as mouth washes and the like, each containing a predetermined amount of a vitamin D<sub>3</sub> compound(s) as an active ingredient. A compound may also be administered as a bolus, electuary or paste.

In solid dosage forms of the invention for oral administration (capsules, tablets, pills, dragees, powders, granules and the like), the active ingredient is mixed with one or more pharmaceutically-acceptable carriers, such as sodium citrate or dicalcium phosphate, and/or any of the following: (1) fillers or extenders, such as starches, lactose, sucrose, glucose, mannitol, and/or silicic acid; (2) binders, such as, for example, carboxymethylcellulose, alginates, gelatin, polyvinyl pyrrolidone, sucrose and/or acacia; (3) humectants, such as glycerol; (4) disintegrating agents, such as agar-agar, calcium carbonate, potato or tapioca starch, alginic acid, certain silicates, and sodium carbonate; (5) solution retarding agents, such as paraffin; (6) absorption accelerators, such as quaternary ammonium compounds; (7) wetting agents, such as, for example, acetyl alcohol and glycerol monostearate; (8) absorbents, such as kaolin and bentonite clay; (9) lubricants, such as talc, calcium stearate, magnesium stearate, solid polyethylene glycols, sodium lauryl sulfate, and mixtures thereof; and (10) coloring agents. In the case of capsules, tablets and pills, the pharmaceutical compositions may also comprise buffering agents. Solid compositions of a similar type may also be employed as fillers in soft and hard-filled gelatin capsules using such excipients as lactose or milk sugars, as well as high molecular weight polyethylene glycols and the like.

A tablet may be made by compression or molding, optionally with one or more accessory ingredients. Compressed tablets may be prepared using binder (for example, gelatin or hydroxypropylmethyl cellulose), lubricant, inert diluent, preservative, disintegrant (for example, sodium starch glycolate or cross-linked sodium carboxymethyl cellulose), surface-active or dispersing agent. Molded tablets may be made by molding in a suitable machine a mixture of the powdered active ingredient moistened with an inert liquid diluent.

The tablets, and other solid dosage forms of the pharmaceutical compositions of the present invention, such as dragees, capsules, pills and granules, may optionally be

scored or prepared with coatings and shells, such as enteric coatings and other coatings well known in the pharmaceutical-formulating art. They may also be formulated so as to provide slow or controlled release of the active ingredient therein using, for example, hydroxypropylmethyl cellulose in varying proportions to provide the desired release  
5 profile, other polymer matrices, liposomes and/or microspheres. They may be sterilized by, for example, filtration through a bacteria-retaining filter, or by incorporating sterilizing agents in the form of sterile solid compositions which can be dissolved in sterile water, or some other sterile injectable medium immediately before use. These compositions may also optionally contain opacifying agents and may be of a  
10 composition that they release the active ingredient(s) only, or preferentially, in a certain portion of the gastrointestinal tract, optionally, in a delayed manner. Examples of embedding compositions which can be used include polymeric substances and waxes. The active ingredient can also be in micro-encapsulated form, if appropriate, with one or more of the above-described excipients.

15 Liquid dosage forms for oral administration of the vitamin D<sub>3</sub> compound(s) include pharmaceutically-acceptable emulsions, microemulsions, solutions, suspensions, syrups and elixirs. In addition to the active ingredient, the liquid dosage forms may contain inert diluents commonly used in the art, such as, for example, water or other solvents, solubilizing agents and emulsifiers, such as ethyl alcohol, isopropyl alcohol,  
20 ethyl carbonate, ethyl acetate, benzyl alcohol, benzyl benzoate, propylene glycol, 1,3-butylene glycol, oils (in particular, cottonseed, groundnut, corn, germ, olive, castor and sesame oils), glycerol, tetrahydrofuryl alcohol, polyethylene glycols and fatty acid esters of sorbitan, and mixtures thereof.

In addition to inert diluents, the oral compositions can include adjuvants such as  
25 wetting agents, emulsifying and suspending agents, sweetening, flavoring, coloring, perfuming and preservative agents.

Suspensions, in addition to the active vitamin D<sub>3</sub> compound(s) may contain suspending agents as, for example, ethoxylated isostearyl alcohols, polyoxyethylene sorbitol and sorbitan esters, microcrystalline cellulose, aluminum metahydroxide,  
30 bentonite, agar-agar and tragacanth, and mixtures thereof.

Pharmaceutical compositions of the invention for rectal or vaginal administration may be presented as a suppository, which may be prepared by mixing one or more vitamin D<sub>3</sub> compound(s) with one or more suitable nonirritating excipients or carriers comprising, for example, cocoa butter, polyethylene glycol, a suppository wax or a  
35 salicylate, and which is solid at room temperature, but liquid at body temperature and, therefore, will melt in the rectum or vaginal cavity and release the active agent.

Compositions of the present invention which are suitable for vaginal administration also include pessaries, tampons, creams, gels, pastes, foams or spray formulations containing such carriers as are known in the art to be appropriate.

Dosage forms for the topical or transdermal administration of a vitamin D<sub>3</sub> compound(s) include powders, sprays, ointments, pastes, creams, lotions, gels, solutions, patches and inhalants. The active vitamin D<sub>3</sub> compound(s) may be mixed under sterile conditions with a pharmaceutically-acceptable carrier, and with any preservatives, buffers, or propellants which may be required.

The ointments, pastes, creams and gels may contain, in addition to vitamin D<sub>3</sub> compound(s) of the present invention, excipients, such as animal and vegetable fats, oils, waxes, paraffins, starch, tragacanth, cellulose derivatives, polyethylene glycols, silicones, bentonites, silicic acid, talc and zinc oxide, or mixtures thereof.

Powders and sprays can contain, in addition to a vitamin D<sub>3</sub> compound(s), excipients such as lactose, talc, silicic acid, aluminum hydroxide, calcium silicates and polyamide powder, or mixtures of these substances. Sprays can additionally contain customary propellants, such as chlorofluorohydrocarbons and volatile unsubstituted hydrocarbons, such as butane and propane.

The vitamin D<sub>3</sub> compound(s) can be alternatively administered by aerosol. This is accomplished by preparing an aqueous aerosol, liposomal preparation or solid particles containing the compound. A nonaqueous (*e.g.*, fluorocarbon propellant) suspension could be used. Sonic nebulizers are preferred because they minimize exposing the agent to shear, which can result in degradation of the compound.

Ordinarily, an aqueous aerosol is made by formulating an aqueous solution or suspension of the agent together with conventional pharmaceutically-acceptable carriers and stabilizers. The carriers and stabilizers vary with the requirements of the particular compound, but typically include nonionic surfactants (Tweens, Pluronic, or polyethylene glycol), innocuous proteins like serum albumin, sorbitan esters, oleic acid, lecithin, amino acids such as glycine, buffers, salts, sugars or sugar alcohols. Aerosols generally are prepared from isotonic solutions.

Transdermal patches have the added advantage of providing controlled delivery of a vitamin D<sub>3</sub> compound(s) to the body. Such dosage forms can be made by dissolving or dispersing the agent in the proper medium. Absorption enhancers can also be used to increase the flux of the active ingredient across the skin. The rate of such flux can be controlled by either providing a rate controlling membrane or dispersing the active ingredient in a polymer matrix or gel.



Ophthalmic formulations, eye ointments, powders, solutions and the like, are also contemplated as being within the scope of this invention.

Pharmaceutical compositions of this invention suitable for parenteral administration comprise one or more vitamin D<sub>3</sub> compound(s) in combination with one  
5 or more pharmaceutically-acceptable sterile isotonic aqueous or nonaqueous solutions, dispersions, suspensions or emulsions, or sterile powders which may be reconstituted into sterile injectable solutions or dispersions just prior to use, which may contain antioxidants, buffers, bacteriostats, solutes which render the formulation isotonic with the blood of the intended recipient or suspending or thickening agents.

10 Examples of suitable aqueous and nonaqueous carriers which may be employed in the pharmaceutical compositions of the invention include water, ethanol, polyols (such as glycerol, propylene glycol, polyethylene glycol, and the like), and suitable mixtures thereof, vegetable oils, such as olive oil, and injectable organic esters, such as ethyl oleate. Proper fluidity can be maintained, for example, by the use of coating  
15 materials, such as lecithin, by the maintenance of the required particle size in the case of dispersions, and by the use of surfactants.

These compositions may also contain adjuvants such as preservatives, wetting agents, emulsifying agents and dispersing agents. Prevention of the action of microorganisms may be ensured by the inclusion of various antibacterial and antifungal  
20 agents, for example, paraben, chlorobutanol, phenol sorbic acid, and the like. It may also be desirable to include isotonic agents, such as sugars, sodium chloride, and the like into the compositions. In addition, prolonged absorption of the injectable pharmaceutical form may be brought about by the inclusion of agents which delay absorption such as aluminum monostearate and gelatin.

25 In some cases, in order to prolong the effect of a drug, it is desirable to slow the absorption of the drug from subcutaneous or intramuscular injection. This may be accomplished by the use of a liquid suspension of crystalline or amorphous material having poor water solubility. The rate of absorption of the drug then depends upon its rate of dissolution which, in turn, may depend upon crystal size and crystalline form.  
30 Alternatively, delayed absorption of a parenterally-administered drug form is accomplished by dissolving or suspending the drug in an oil vehicle.

Injectable depot forms are made by forming microencapsule matrices of vitamin D<sub>3</sub> compound(s) in biodegradable polymers such as polylactide-polyglycolide. Depending on the ratio of drug to polymer, and the nature of the particular polymer  
35 employed, the rate of drug release can be controlled. Examples of other biodegradable polymers include poly(orthoesters) and poly(anhydrides). Depot injectable formulations

are also prepared by entrapping the drug in liposomes or microemulsions which are compatible with body tissue.

When the vitamin D<sub>3</sub> compound(s) are administered as pharmaceuticals, to humans and animals, they can be given per se or as a pharmaceutical composition containing, for example, 0.1 to 99.5% (more preferably, 0.5 to 90%) of active ingredient in combination with a pharmaceutically-acceptable carrier.

Regardless of the route of administration selected, the vitamin D<sub>3</sub> compound(s), which may be used in a suitable hydrated form, and/or the pharmaceutical compositions of the present invention, are formulated into pharmaceutically-acceptable dosage forms by conventional methods known to those of skill in the art.

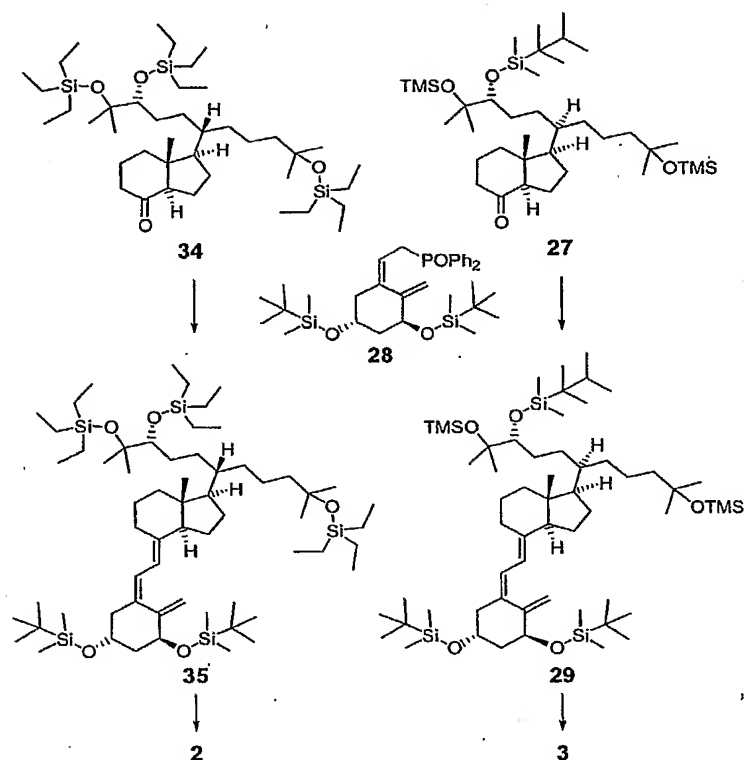
Actual dosage levels and time course of administration of the active ingredients in the pharmaceutical compositions of this invention may be varied so as to obtain an amount of the active ingredient which is effective to achieve the desired therapeutic response for a particular patient, composition, and mode of administration, without being toxic to the patient. An exemplary dose range is from 0.1 to 10 mg per day.

A preferred dose of the vitamin D<sub>3</sub> compound for the present invention is the maximum that a patient can tolerate and not develop serious hypercalcemia. Preferably, the vitamin D<sub>3</sub> compound of the present invention is administered at a concentration of about 0.001 µg to about 100 µg per kilogram of body weight, about 0.001 – about 10 µg/kg or about 0.001 µg – about 100 µg/kg of body weight. Ranges intermediate to the above-recited values are also intended to be part of the invention.

## 5. SYNTHESIS OF COMPOUNDS OF THE INVENTION

Compounds of the invention can be synthesized by methods described in this section, the examples, and the chemical literature.

For the synthesis of the geminal vitamin D<sub>3</sub> compounds, 2 and 3, the convergent and Wittig-Horner reaction using the Lythgoe phosphine oxide coupling protocol was used (Scheme 1). Two elaborated ketones 34 and 27 were each linked to the functionalized (2-cyclohexylethenyl)diphenylphosphine oxide 28. A single step removed all five silyl protecting groups in 35 and 29 and lead to the target compounds 2 and 3.

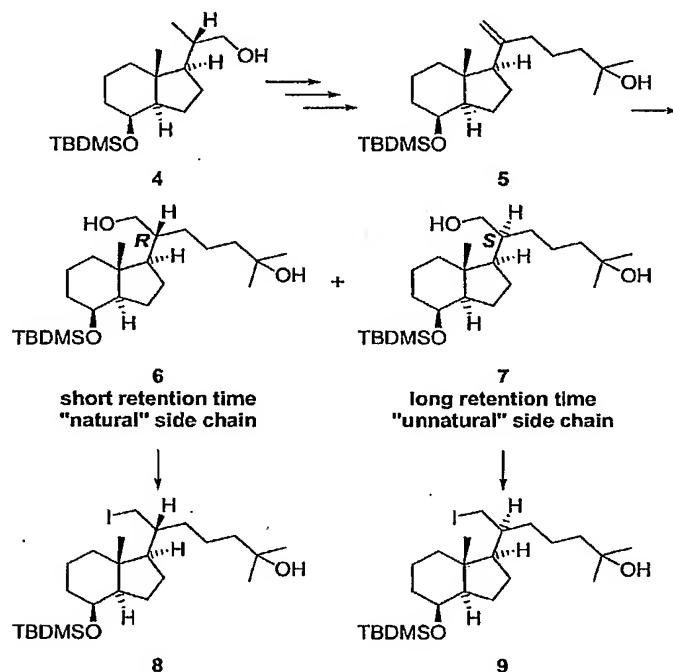


- The synthesis of the required ketones commenced with the 4-O-(TBDMS) Lythgoe diol 4 whose conversion to the alkenol 5 has already been described (Maehr, H. *et al.* Symposium on Vitamin D, Taos, New Mexico, June 15-19, 2002, *Abstract* p. 42.).
- 5 A subsequent hydroboration gave the epimeric pair 6 and 7 that was separated by chromatography and obtained in a ratio of 3/2.

## Scheme 1

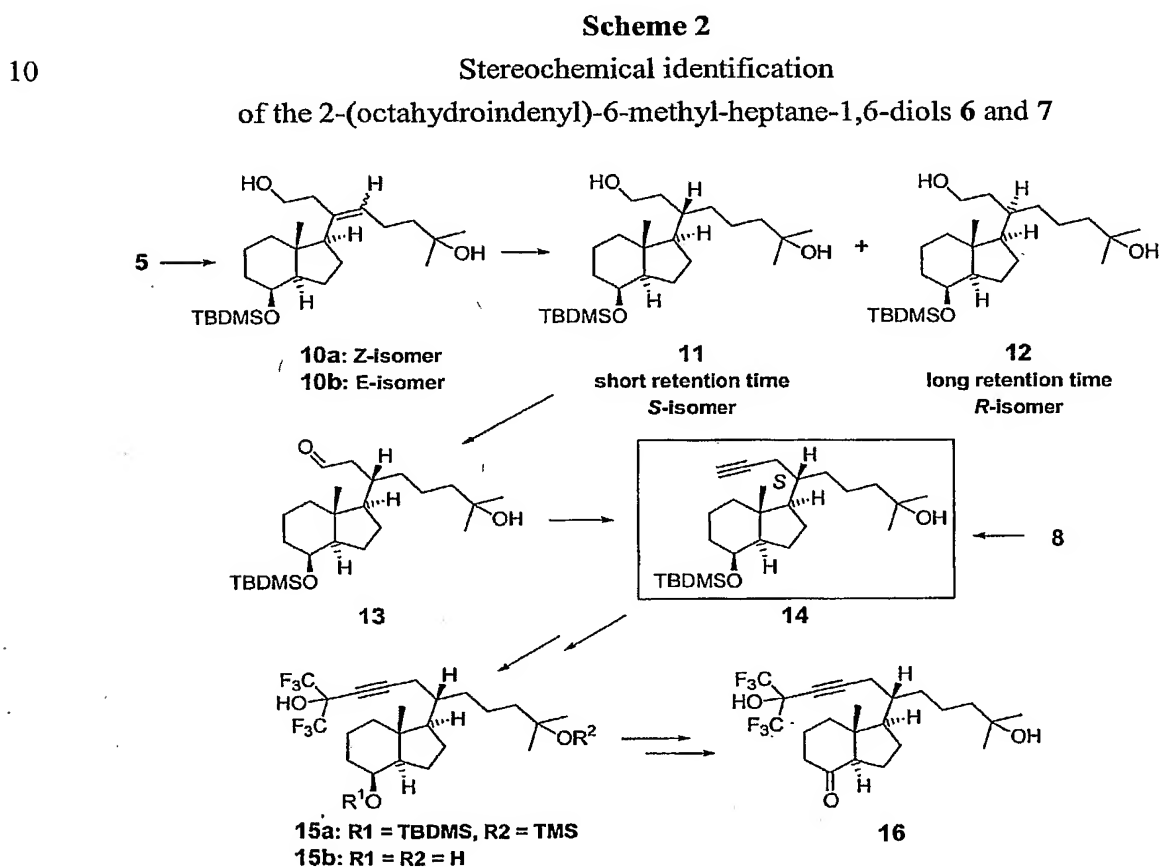
Synthesis of the two epimeric 2-(octahydroindenyl)-6-methyl-heptane-1,6-diols  
6 and 7

5



The diols **6** and **7** were then converted to the iodo alcohols **8** and **9** which served not only as key intermediates for the synthesis of **2** and **3**, but also for the stereochemical elucidation of the two hydroboration products from which they were derived. In pursuit of the latter task, the iodo alcohol **8**, derived from the diol with the shorter chromatographic retention time, was reacted with lithium acetylide to furnish an acetylene derivative that was identical with **14** and different from its 6(R) epimer. Both **14**, representing the 6(S) configuration, and the corresponding 6(R)-epimer, were previously synthesized. In this cascade toward **14**, alkeneol **5** was subjected to an ene-reaction with formaldehyde, the resulting mixture of alkenediols **10a** and **10b** was hydrogenated to furnish the epimeric diol pair **11** and **12** that also could be separated by chromatography. The isomer **11**, exhibiting the shorter chromatographic retention time than the epimer **12**, was oxidized to the aldehyde **13** and further converted to the acetylene **14**. Silylation of the tertiary hydroxyl group and a subsequent condensation with hexafluoroacetone gave **15a** (Maehr, H. *et al.* Symposium on Vitamin D, Taos, New Mexico, June 15-19, 2002, *Abstract* p. 42). The two protective silyl groups were

then removed to produce **15b**. This triol was oxidized to the ketone **16** whose configuration was determined by crystal analysis and shown to have the *S*-configuration at the stereocenter equivalent to C-20 in the vitamin D series. In view of the availability of the 6(*R*)-epimer of **14** via **12**, and the remarkably different <sup>1</sup>H NMR spectra of **14** and its 6(*R*)-epimer, the alkynol derived from **8** was identified as **14**. The hydroboration product **6** was regarded as the *R*-isomer with respect to the stereocenter in the side-chain assembly.



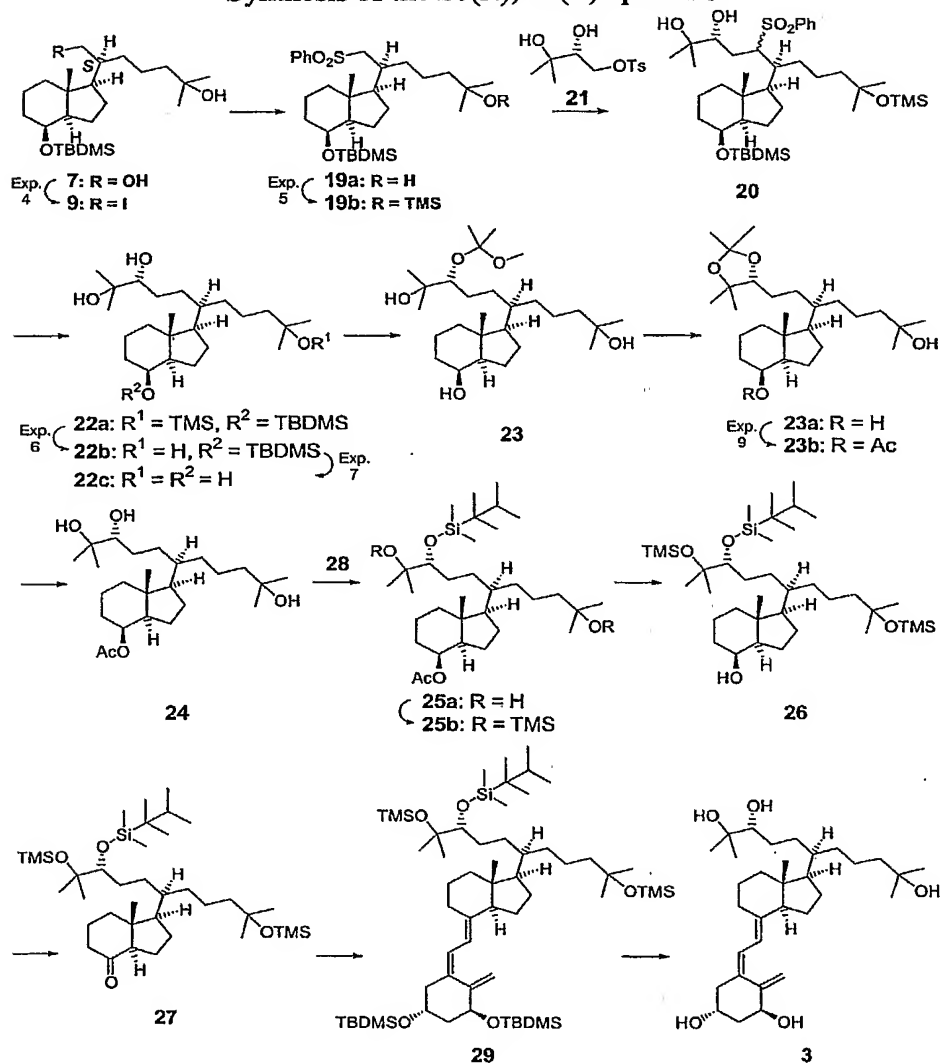
**15** Syntheses of 1,24(*R*),25-trihydroxy-21-(3-hydroxy-3-methyl-butyl)-20(*R*)-cholecalciferol (**3**)

The syntheses of **2** and **3** were achieved by two different routes. The sequence of step leading to **3** commenced with the conversion of diol **7** to the iodo alcohol **9**. This compound was treated sodium benzenesulfinate to give **19a**, then silylated with 1-(trimethylsilyl)imidazole (Scheme 3). For the remaining synthetic steps toward **3**, the intermittent protection of the vicinal diol by isopropylidination served for the

regiospecific blocking of the 4-hydroxy group as an O-acetyl derivative as outlined in Scheme 3 (Hatakeyama, S. *et al. Steroids* 200, 66, 267-276). Reacting **19b** with the 2-oxiranyl-2-propanol, prepared in situ from **21**, led to the diol **20** as an epimeric mixture which was subjected to reductive de-sulfonylation to give **22a** but also some **22b** which  
5 is the partial de-silylated **22a**.

Rather than completely de-silylating this mixture to obtain the tetraol **22c** directly, a selective removal of the trimethylsilyl group was performed which gave **22b** as a crystalline intermediate and hence the opportunity of additional purification and characterization. A subsequent treatment with fluorosilicic acid then produced the  
10 tetraol **22c**. Treatment of **22c** with acetone and 2,2-dimethoxypropane with pyridinium tosylate as catalyst gave a mixture of **23a** and a more polar material, the acetal **23**. A brief aqueous treatment of this mixture converted this more polar substance quantitatively to **23a**. A subsequent reaction with acetic anhydride in pyridine led to the O-acetyl compound **23b** and 80% aqueous acetic acid at 68 °C hydrolyzed the acetal  
15 moiety within 2.5 h to produce **24**. Selective O-silylation with hexyldimethylsilyl chloride proceeded regioselectively to **25a** and a following treatment with 1-(trimethylsilyl)imidazole gave **25b**. The 4-acetoxy group was removed by treatment with lithium aluminum hydride and the resulting alcohol **26** was oxidized to the ketone **27** using pyridinium dichromate. The standard coupling protocol (Lythgoe, B. *Chem. Soc. Rev.* 1981, 10, 449-475; Zhu, G.D. *et al. Chem. Rev.* 1995, 95 1877-1952; Dai, H. *et al. Synthesis* 1994, 1383), employing **28** as Wittig-Horner component (Baggiolini, E.G. *et al. J. Org. Chem.* 1986, 51, 3098), gave **29** and a single treatment with  
20 tetrabutylammonium fluoride furnished the target compound **3**.

**Scheme 3**  
**Synthesis of the 20(R), 24(R) epimer 3**



**5** Syntheses of 1,24(R),25-trihydroxy-21-(3-hydroxy-3-methyl-butyl)-20(S)-cholecalciferol (2)

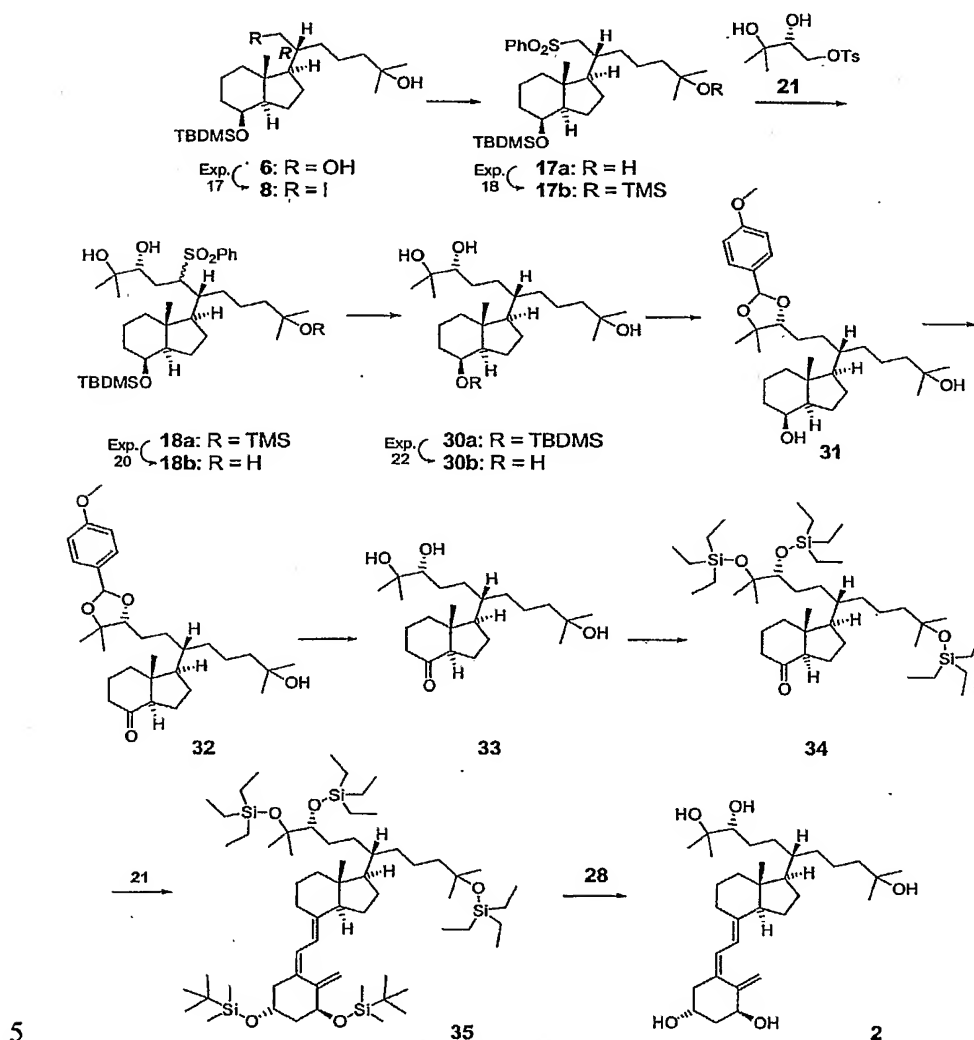
The conversion of diol **6** to **18a** via the iodo alcohol **8**, phenylsulfone **17a**, trimethylsilyl derivative **17b** and subsequent condensation of **17b** with **21**, was conducted in a fashion very similar to the corresponding steps described previously for the other epimer **22a**. The trimethylsilyl group in **18a**, however, was removed prior to reductive de-sulfonylation. Thus, the resulting **18b**, upon treatment with sodium amalgam, led to the triol **30a** directly and a subsequent reaction with fluorosilicic acid furnished the tetraol **30b**. A considerable synthetic improvement was realized when this

Attorney Docket No.: BXG-008-1

compound was treated with 4-methoxybenzylidene dimethylacetal and pyridinium tosylate to produce the oxolane 31 that was oxidized with pyridinium dichromate to ketone 32. It was shown that the 4-methoxybenzylidene acetal was sufficiently acid labile to permit its hydrolysis under conditions that do not compromise the *trans* ring-juncture of the 7a-methyl-octahydro-4-indenone system. Treatment with either 80% acetic acid or 1 N methanolic oxalic acid, the latter previously employed for the selective hydrolysis of the tertiary trimethylsilyl ether function in 22a, converted 32 the triol 33 that was treated with chlorotriethylsilane in N,N-dimethylformamide and imidazole to produce rapidly the disilyl intermediate. Further reaction of the second tertiary alcohol proceeded smoothly overnight leading to 34. Subsequent condensation with 28 gave 35. One deprotection step with tetrabutylammonium fluoride liberated all five protected hydroxyl groups and, after chromatographic purification, compound 2 was obtained.



**Scheme 4**  
**Synthesis of the 20(*S*), 24(*R*) epimer 2**



Chiral synthesis can result in products of high stereoisomer purity. However, in some cases, the stereoisomer purity of the product is not sufficiently high. The skilled artisan will appreciate that the separation methods described herein can be used to further enhance the stereoisomer purity of the vitamin D<sub>3</sub>-epimer obtained by chiral synthesis.

Any novel syntheses, described herein, of the compounds of the invention, and of intermediates thereof, are also intended to be included within the scope of the present invention.

## EXEMPLIFICATION OF THE INVENTION

The invention is further illustrated by the following examples which should in no way should be construed as being further limiting.

5

### Synthesis of Compounds of the Invention

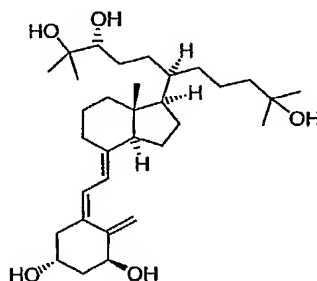
#### Experimental

All operations involving vitamin D<sub>3</sub> analogs were conducted in amber-colored glassware in a nitrogen atmosphere. Tetrahydrofuran was distilled from sodium-  
 10 benzophenone ketyl just prior to its use and solutions of solutes were dried with sodium sulfate. Melting points were determined on a Thomas-Hoover capillary apparatus and are uncorrected. Optical rotations were measured at 25 °C. <sup>1</sup>H NMR spectra were recorded at 400 MHz in CDCl<sub>3</sub> unless indicated otherwise. TLC was carried out on silica gel plates (Merck PF-254) with visualization under short-wavelength UV light or  
 15 by spraying the plates with 10% phosphomolybdic acid in methanol followed by heating. Flash chromatography was carried out on 40-65 μm mesh silica gel. Preparative HPLC was performed on a 5×50 cm column and 15-30 μm mesh silica gel at a flow rate of 100 mL/min.

20

### EXAMPLE 1

Synthesis of [1*R*,3*aR*,7*aR*, 4(*E*)]-6(*R*)-{4-[2(*Z*)-[3(*S*),5(*R*)-Dihydroxy-2-methylene-  
 25 cyclohexylidene]-ethylidene]-7*a*-methyl-octahydro-inden-1-yl]-2,10-dimethyl-undecane-2,3(*R*),10-triol (3).

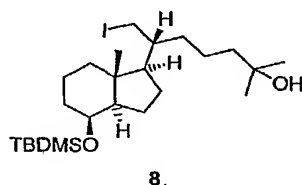


3

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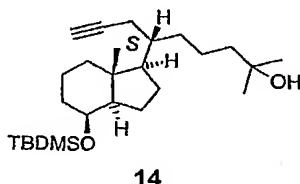


**[1*R*,3*aR*,4*S*,7*aR*]-6(*R*)-[4-(*tert*-Butyl-dimethyl-silanyloxy)-7*a*-methyl-octahydro-inden-1-yl]-7-iodo-2-methyl-heptan-2-ol (8)**



5 A stirred mixture of triphenylphosphine (0.333 g, 1.27 mmol) and imidazole (0.255 g, 3 mmol) in dichloromethane (3 mL) was cooled in an ice bath and iodine (0.305 g, 1.20 mmol) was added. This mixture was stirred for 10 min then a solution of 6 (0.4537 g, 1.10 mmol) in dichloromethane (3 mL) was added dropwise over a 10 min  
10 period. The mixture was stirred in the ice bath for 30 min then at ambient temperature for 2 ¾ h. TLC (1:1 ethyl acetate – hexane) confirmed absence of educt. A solution of sodium thiosulfate (0.1 g) in water (5 mL) was added, the mixture equilibrated and the organic phase washed with 0.1 N sulfuric acid (10 mL) containing a few drops of brine then with 1:1 water – brine (2×10 mL), once with brine (10 mL) then dried and  
15 evaporated. The residue was purified by flash chromatography using 1:9 ethyl acetate – hexane as mobile phase to furnish 8 as a colorless syrup, 0.5637 g, 98%: <sup>1</sup>H NMR δ - 0.005 (3H, s), 0.010 (3H, s), 0.89 (9H, s), 0.92 (3H, s), 1.23 (6H, s), 1.1-1.6 (16H, m), 1.68 (1H, m), 1.79 (2H, m), 1.84 (1H, m), 3.37(1H, dd, J = 4 and 10 Hz), 3.47 (1H, dd, J = 3 and 10 Hz), 4.00 (1H, m); LR-EI(+) m/z 522 (M), 465 (M-C<sub>4</sub>H<sub>9</sub>), 477 (M-C<sub>4</sub>H<sub>9</sub>-  
20 H<sub>2</sub>O); HR-EI(+): calcd for C<sub>24</sub>H<sub>47</sub>IO<sub>2</sub>Si: 522.2390, found: 522.2394.

**[1*R*,3*aR*,4*S*,7*aR*]-6(*S*)-[4-(*tert*-Butyl-dimethyl-silanyloxy)-7*a*-methyl-octahydro-inden-1-yl]-2-methyl-non-8-yn-2-ol (14)**

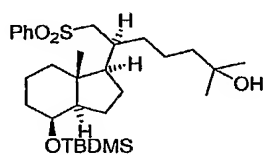


25 Lithium acetylide DMA complex (0.110 g, 1.19 mmol) was added to a solution of 8 (0.2018 g (0.386 mmol) in dimethyl sulfoxide (1.5 mL) and tetrahydrofuran (0.15 mL). The mixture was stirred overnight. TLC (1:4 ethyl acetate – hexane) showed a mixture of two spots traveling very close together (R<sub>f</sub> 0.52 and 0.46). Fractions at the  
30 beginning of the eluted band contained pure 5, which is the elimination product of 8, and

was produced as the major product. Fractions at the end of the elution band, however, were also homogeneous and gave the desired acetylene **14** upon evaporation. The NMR spectra of **14** and its 6-epimer derived from **12** which served for identification were previously reported.<sup>9</sup>

5

**[1*R*,3*aR*,4*S*,7*aR*]-7-Benzenesulfonyl-6(*S*)-[4-(*tert*-butyl-dimethyl-silanyloxy)-7*a*-methyl-octahydro-inden-1-yl]-2-methyl-heptan-2-ol (**19a**).**

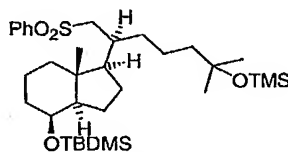


**19a**

10 A mixture of **9** (0.94 g, 1.8 mmol), sodium benzenesulfinate (2.18 g, 13 mmol) and *N,N*-dimethylformamide (31.8 g) was stirred at room temperature for 12 h, then in a 40 °C bath for ca.6 h until all educt was converted as shown by TLC (1:4 ethyl acetate – hexane). The solution was equilibrated with 1:1 ethyl acetate – hexane (120 mL) and 1:1 brine – water (45 mL). The organic layer was washed with water (4×25 mL) brine  
15 (10 mL), then dried and evaporated to leave a colorless oil, 1.0317 g. This material was flash-chromatographed using a stepwise gradient (1:9, 1:6, 1:3 ethyl acetate – hexane) to give a colorless oil, 0.930 g, 96%: 300 MHz <sup>1</sup>H NMR δ -0.02 (3H, s), 0.00 (3H, s), 0.87 (9H, s), 0.88 (3H, s), 1.12 (1H, m), 1.20 (6H, s), 1.2-1.8 (18H, m), 1.81 (1H, m), 3.09 (2H, m), 3.97 (1H, brs), 7.59 (3H, m), 7.91 2H, m).

20

**[1*R*,3*aR*,4*S*,7*aR*]-1-(1(*S*)-Benzenesulfonylmethyl-5-methyl-5-trimethylsilanyloxy-hexyl)-4-(*tert*-butyl-dimethyl-silanyloxy)-7*a*-methyl-octahydro-indene (**19b**).**



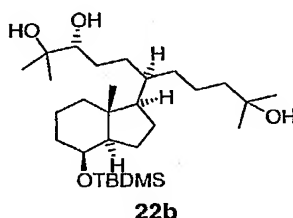
**19b**

25

1-(Trimethylsilyl)imidazole (1 mL) was added to a solution of **19a** (0.8 g) in cyclohexane (10 mL) and stirred overnight then flash-chromatographed using a stepwise gradient of hexane, 1:39 and 1:19 ethyl acetate – hexane. The elution was monitored by TLC (1:4 ethyl acetate – hexane) leading to **19b** as a colorless syrup, 0.7915 g: 300  
30 MHz <sup>1</sup>H NMR δ 0.00 (3H, s), 0.02 (3H, s), 0.12 (9H, s), 0.90 (12H, s, *t*-butyl+7*a*-Me),

1.16 (1H, m), 1.20 (6H, s), 1.2-1.6 (15H, m), 1.66-1.86 (3H, m), 3.10 (2H, m), 4.00 (1H, brs), 7.56-7.70 (3H, m), 7.93 (2H, m).

**[1*R*,3*aR*,4*S*,7*aR*]-6(*R*)-[4-(*tert*-Butyl-dimethyl-silanyloxy)-7*a*-methyl-octahydro-inden-1-yl]-2,10-dimethyl-undecane-2,3(*R*),10-triol (22b).**

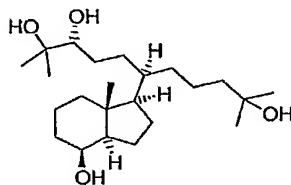


A solution of **19b** (0.7513 g, 1.23 mmol) and diol **21** (0.508 g, 1.85 mmol) in tetrahydrofuran (28 mL) was cooled to  $-35^{\circ}\text{C}$  then 2.5 M butyllithium in hexane (2.75 mL) was added dropwise. The temperature was allowed to rise to  $-20^{\circ}\text{C}$  and maintained at that temperature for 6 h or until the educt was consumed. Reaction progress was monitored by TLC (1:4 ethyl acetate – hexane) exhibiting the educt ( $R_f$  0.71) and the two epimeric diols **20** ( $R_f$  0.09 and 0.12). Toward the end of the reaction period the temperature was increased briefly to  $0^{\circ}\text{C}$ , lowered again to  $-10^{\circ}\text{C}$ , then saturated ammonium chloride (25 mL) was added followed by ethyl acetate (50 mL) and enough water to dissolve the precipitated salts. The resulting aqueous phase was extracted with ethyl acetate (15 mL). The combined extracts were washed with brine (15 mL), dried and evaporated. The resulting syrup was flash-chromatographed using a stepwise gradient of 1:9, 1:6, 1:4 and 1:1 ethyl acetate – hexane to give **20** as a colorless syrup, 0.8586 g. This material was dissolved in a mixture of tetrahydrofuran (30 mL) and methanol (18 mL), then 5% sodium amalgam (20 g) was added. The reductive desulfonylation was complete after stirring of the mixture for 14 h. Progress of the reaction was monitored by TLC (1:1 ethyl acetate – hexane) which showed the disappearance of the epimeric **20** ( $R_f$  0.63 and 0.74) and the generation of **22a** ( $R_f$  0.79) and the partially de-silylated analog **22b** ( $R_f$  0.16). The mixture was diluted with methanol (20 mL), stirred for 3 min, then ice (20 g) was added, stirred for 2 min and the supernatant decanted into a mixture containing saturated ammonium chloride (50 mL). The residue was repeatedly washed with small amounts of tetrahydrofuran that was also added to the salt solution, which was then equilibrated with ethyl acetate (80 mL). The aqueous layer was re-extracted once with ethyl acetate (20 mL), the combined extracts were washed with brine (10 mL) then dried and evaporated. The resulting colorless oil containing both **22a** and **22b** was dissolved in 10 mL of a 1 N oxalic acid solution in methanol

- (prepared from the dihydrate) effecting the selective hydrolysis of the trimethylsilyl ether within minutes. Calcium carbonate (1 g) was added and the suspension stirred overnight, then filtered. The solution was evaporated and the resulting residue flash-chromatographed using a stepwise gradient of 1:4, 1:2, 1:1 and 2:1 ethyl acetate –
- 5 hexane giving a residue of the triol **22b** that crystallized in very fine branching needles from acetonitrile, 0.45 g; mp 94-95 °C,  $[\alpha]_D + 44.1^\circ$  (methanol, c 0.37); 400 MHz  $^1\text{H}$  NMR  $\delta$  -0.005 (3H, s), 0.007 (3H, s), 0.89 (9H, s), 0.92 (3H, s), 1.15 (1H, m), 1.16 (3H, s), 1.21 (9H, s), 1.2-1.6 (19H, m), 1.67 (1H, m), 1.79 (2H, m), 1.90 (2H, m), 2.06 (1H, m), 3.31 (1H, brd,  $J = 10$  Hz), 4.00 (1H, brs), LR-ES(-)  $m/z$ : 533 (M+Cl), 497 (M-H);
- 10 HR-ES(+): Calcd for  $\text{C}_{29}\text{H}_{58}\text{O}_4\text{Si} + \text{Na}$ : 521.3996, found: 521.4003. Anal Calcd for  $\text{C}_{29}\text{H}_{58}\text{O}_4\text{Si}$ : C, 69.82, H, 11.72; found: C, 69.97; H, 11.65.

**[1R,3aR,4S,7aR]-6(R)-(4-Hydroxy-7a-methyl-octahydro-inden-1-yl)-2,10-dimethyl-undecane-2,3(R),10-triol (22c).**

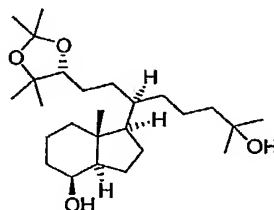
15

**22c**

- A stirred solution of the triol **22b** (0.4626 g, 0.927 mmol) in acetonitrile (10 mL) and dioxane (0.7 mL) was cooled to 10 °C and a fluorosilicic acid solution (2 mL) was added dropwise. The cooling bath was removed, the 2-phase system further diluted with
- 20 acetonitrile (2 mL) then stirred at room temperature for 3 ¼ h. The disappearance of educt was monitored by TLC (ethyl acetate). The mixture was equilibrated with water (10 mL) and ethyl acetate (30 mL). The aqueous phase was re-extracted with ethyl acetate (2×20 mL), the combined extracts were washed with water (5 mL) and brine (10 mL), then 1:1 brine – saturated sodium hydrogen carbonate solution and dried. The
- 25 residue was purified by flash-chromatography using a step-wise gradient from 1:1 to 2:1 ethyl acetate – hexane and neat ethyl acetate to give a residue that was taken up in 1:1 dichloromethane – hexane, filtered and evaporated to furnish amorphous solids, 0.3039 g (85%):  $[\alpha]_D + 42.6^\circ$  (methanol, c 0.48);  $^1\text{H}$  NMR (DMSO- $d_6$ ):  $\delta$  0.87 (3H, s), 0.97 (3H, s), 1.02 (3H, s), 1.04 (6H, s), 1.1-1.4 (18H, m), 1.5-1.8 (4H, m), 1.84 (1H, m), 2.99 (1H, dd,  $J = 6$  and 10 Hz), 3.87 (1H, brs), 4.02 (1H, s, OH), 4.05 (1H, s, OH), 4.16 (1H,
- 30 d, OH,  $J = 3.6$  Hz), 4.20 (1H, d, OH,  $J = 6.4$  Hz); LR-ES(+):  $m/z$  384 (M), 383 (M-H); HR-ES(+): Calcd for (M+Na) 407.3132, found: 407.3134.

Attorney Docket No.: BXG-008-1

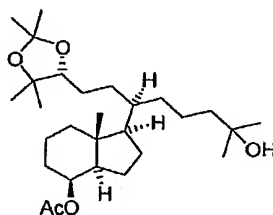
**[1*R*,3*aR*,4*S*,7*aR*]-1-{5-Hydroxy-5-methyl-1(*R*)-[2-(2,2,5,5-tetramethyl-  
[1,3]dioxolan-4(*R*)-yl)-ethyl]-hexyl}-7*a*-methyl-octahydro-inden-4-ol (23a)**



**23a**

- 5 A solution of the tetraol **22b** (0.2966 g, 0.771 mmol) and pyridinium tosylate (100 mg) in acetone (8 mL) and 2,2-dimethoxypropane (8 mL) was kept at room temperature for 12 h. TLC analysis (ethyl acetate) showed the absence of educt (*R<sub>f</sub>* 0.21) and two new spots with *R<sub>f</sub>* 0.82 and 0.71, the former the expected **23a** and the latter assumed to be the methylacetal **23**. The reaction mixture was diluted with water (5 mL) and stirred for 10 min. At that time only the spot with higher *R<sub>f</sub>* value was observed. The mixture was neutralized with sodium hydrogen carbonate (0.5 g) then equilibrated with ethyl acetate (50 mL) and brine (5 mL). The organic layer was washed with water (5 mL) and brine (5 mL) then dried and evaporated to leave a sticky residue (0.324 g) that was used directly in the next step: 300 MHz <sup>1</sup>H NMR: δ 0.94 (3H, s), 1.10 (3H, s), 1.20 (1H, m), 1.22 (6H, s), 1.25 (3H, s), 1.34 (3H, s), 1.41 (3H, s), 1.2-1.65 (20H, m), 1.78-1.86 (3H, m), 1.93 (1H, m), 3.62 (1H, dd, *J* = 4.6 and 8.3 Hz), 4.08 (1H, brs).
- 10
- 15

- [1*R*,3*aR*,4*S*,7*aR*]-Acetic acid 1-{5-hydroxy-5-methyl-1(*R*)-[2-(2,2,5,5-tetramethyl-  
[1,3]dioxolan-4(*R*)-yl)-ethyl]-hexyl}-7*a*-methyl-octahydro-inden-4-yl ester (23b).**
- 20



**23b**

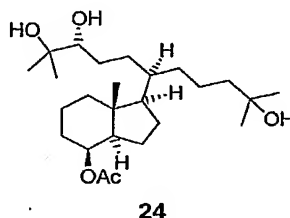
- The residue obtained above was dissolved in pyridine (6.9 g) and further diluted with acetic anhydride (3.41 g). The mixture was allowed to stand at room temperature for 24 h, then in a 35 °C bath for ca. 10 h until the educt was no longer detectable (TLC, ethyl acetate). The mixture was diluted with toluene and evaporated. The residue was
- 25



Attorney Docket No.: BXG-008-1

purified by flash chromatography (1:4 ethyl acetate – hexane) to give **23b** as colorless syrup, 0.3452 g, 97%:  $^1\text{H}$  NMR:  $\delta$  0.89 (3H, s), 1.10 (3H, s), 1.20 (1H, m), 1.22 (6H, s), 1.25 (3H, s), 1.33 (3H, s), 1.41 (3H, s), 1.25-1.6 (19H, m), 1.72 (1H, m), 1.82 (2H, m), 1.95 (1H, m), 2.05 (3H, s), 3.63 (1H, dd,  $J$  = 4.4 and 8.4 Hz), 5.15 (1H, brs); LR-FAB(+)  $m/z$  467 (M+H), 465 (M-H), 451 (M-Me).

**[1*R*,3*aR*,4*S*,7*aR*]-Acetic acid 1-[4(*R*),5-dihydroxy-1(*R*)-(4-hydroxy-4-methyl-pentyl)-5-methyl-hexyl]-7*a*-methyl-octahydro-inden-4-yl ester (**24**).**

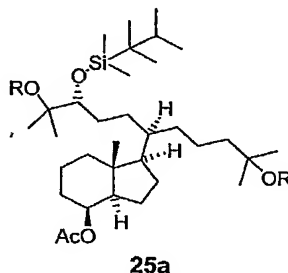


10

A solution of **23b** (0.334 g, 0.716 mmol) in 80 % acetic acid (2 mL) was kept in a 68 °C bath. TLC (ethyl acetate,  $R_f$  0.33) monitored the progress of the hydrolysis. The educt was no longer detectable after 2.5 h. The mixture was evaporated then co-evaporated with a small amount of toluene to leave a colorless film (0.303 g) that was used directly in the next step: 300 MHz  $^1\text{H}$  NMR:  $\delta$  0.89 (3H, s), 1.17 (3H, s), 1.22 (6H, s), 1.56 (3H, s), 1.1-1.6 (21H, m), 1.6-2.0 (5H, m), 2.04 (3H, s), 3.32 (1H, brd,  $J$  = 10 Hz), 5.15 (1H, brs).

**[1*R*,3*aR*,4*S*,7*aR*]-Acetic acid 1-[4(*R*)-[dimethyl-(1,1,2-trimethyl-propyl)-silanyloxy]-5-hydroxy-1(*R*)-(4-hydroxy-4-methyl-pentyl)-5-methyl-hexyl]-7*a*-methyl-octahydro-inden-4-yl ester (**25a**)**

20



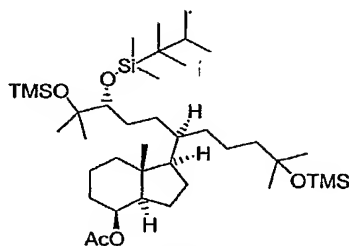
A solution of the triol **24** (0.30 g), imidazole (0.68 g, 10 mmol) and dimethylhexylsilyl chloride (1.34 g, 7.5 mmol) in *N,N*-dimethylformamide (6 g) was kept at room temperature. After 48 h 4-(*N,N*-dimethylamino)pyridine (15 mg) was added and the mixture stirred for an additional 24 h. Reaction progress was monitored by TLC (ethyl acetate; **24**,  $R_f$  0.83; **25a**,  $R_f$  0.38). The mixture was diluted with water

Attorney Docket No.: BXG-008-1

(2 mL), stirred for 10 min then distributed between ethyl acetate (45 mL) and water (20 mL). The aqueous layer was extracted once with ethyl acetate (10 mL). The combined organic phases were washed with water (4x12 mL) and brine (8 mL) then dried and evaporated. The residual oil was purified by flash-chromatography using a stepwise  
 5 gradient of 1:9 and 1:4 ethyl acetate – hexane to give **25a** as colorless syrup. A small amount of unreacted educt (80 mg) was eluted with ethyl acetate. The syrupy **25a** was used directly in the next step: 400 MHz  $^1\text{H}$  NMR:  $\delta$  0.13 (3H, s), 0.14 (3H, s), 0.87 (6H, s), 0.91 (9H, m), 1.10 (1H, m), 1.14 (3H, s), 1.15 (3H, s), 1.21 (6H, s), 1.1-1.6 (19H, m), 1.6-1.9 (5H, m), 1.94 (1H, brd,  $J = 12.8$  Hz), 2.05 (3H, s), 3.38 (1H, brs), 5.15 (1H, brs).

10

**[1*R*,3*aR*,4*S*,7*aR*]-Acetic acid 1-[4(*R*)-[dimethyl-(1,1,2-trimethyl-propyl)-silanyloxy]-5-methyl-1(*R*)-(4-methyl-4-trimethylsilanyloxy-pentyl)-5-trimethylsilanyloxy-hexyl]-7*a*-methyl-octahydro-inden-4-yl ester (**25b**).**

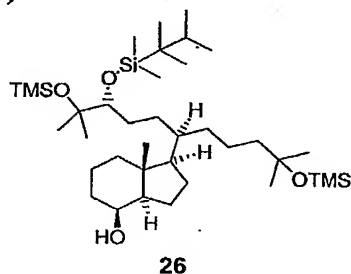


**25b**

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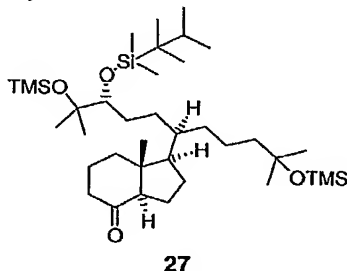
1-(Trimethylsilyl)imidazole (0.90 mL, 6.1 mmol) was added to a solution of **25a** (0.2929 mg) in cyclohexane (6 mL) and stirred for 12 h, then flash-chromatographed (1:79 ethyl acetate – hexane) to yield **25b** as colorless syrup (0.3372 g). The elution was monitored by TLC (1:4 ethyl acetate – hexane) leading to **19b** as a colorless syrup,  
 20 0.7915 g:  $^1\text{H}$  NMR  $\delta$ : 0.074 (3H, s), 0.096 (3H, s), 0.103 (9H, s), 0.106 (9H, s), 0.82 (1H, m), 0.83 (6H, s), 0.88 (9H, m), 1.32 (3H, s), 1.20 (9H, s), 1.15-1.6 (17H, m), 1.6-1.9 (5H, m), 1.97 (1H, brd,  $J = 12.8$  Hz), 2.05 (3H, s), 3.27 (1H, m), 5.15 (1H, brs); LR-FAB(+)  $m/z$ : 712 (M), 711 (M-H), 697 (M-Me), 653 (M-AcO), 627 (M-C<sub>6</sub>H<sub>13</sub>).

**[1*R*,3*aR*,4*S*,7*aR*]-1-[4(*R*)-[Dimethyl-(1,1,2-trimethyl-propyl)-silanyloxy]-5-methyl-1(*R*)-(4-methyl-4-trimethylsilanyloxy-pentyl)-5-trimethylsilanyloxy-hexyl]-7*a*-methyl-octahydro-inden-4-ol (26)**



- 5 A stirred solution of **25b** (0.335 mg, 0.47 mmol) in tetrahydrofuran (15 mL) was cooled in an ice-bath and a 1 M solution of lithium aluminum hydride in tetrahydrofuran (2 mL) was added dropwise. TLC (1:9 ethyl acetate – hexane) showed complete conversion **25b** (R<sub>f</sub> 0.61) to **26** (R<sub>f</sub> 0.29) after 1.5 h. A 2 M sodium hydroxide solution
- 10 (14 drops) was added, followed by water (0.5 mL) and ethyl acetate (30 mL). A small amount of Celite was added and, after stirring for 15 min, the liquid layer was filtered off. The solid residue was rinsed repeatedly with ethyl acetate and the combined liquid phases evaporated to leave a colorless syrup, that was taken up in hexane, filtered and evaporated to yield **26** (0.335 g) that was used without further purification: <sup>1</sup>H NMR δ:
- 15 0.075 (3H, s), 0.10 (21H, brs), 0.82 (1H, m), 0.84 (6H, s), 0.89 (6H, m), 0.93 (3H, s), 1.13 (3H, s), 1.20 (9H, s), 1.2-1.6 (16H, m), 1.6-1.7 (2H, m), 1.82 (3H, m), 1.95 (1H, brd, J = 12.4 Hz), 3.27 (1H, m), 4.08 (1H, brs); LR-FAB(+) m/z: 585 (M-C<sub>6</sub>H<sub>13</sub>), 481 (M-TMSO); HR-ES(+) m/z: Calcd for C<sub>37</sub>H<sub>78</sub>O<sub>4</sub>Si<sub>3</sub> + Na: 693.5100 found: 693.5100.

- 20 **[1*R*,3*aR*,7*aR*]-1-[4(*R*)-[Dimethyl-(1,1,2-trimethyl-propyl)-silanyloxy]-5-methyl-1(*R*)-(4-methyl-4-trimethylsilanyloxy-pentyl)-5-trimethylsilanyloxy-hexyl]-7*a*-methyl-octahydro-inden-4-one (27)**

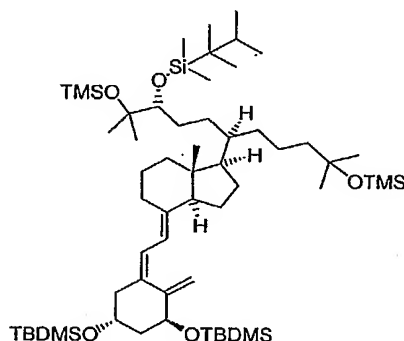


- 25 Celite (0.6 g) was added to a stirred solution of **26** (0.310g, 0.462 mmol) in dichloromethane (14 mL) followed by pyridinium dichromate (0.700 g, 1.86 mmol). The conversion of **26** (R<sub>f</sub> 0.54) to the ketone **27** (R<sub>f</sub> 0.76) was followed by TLC (1:4

Attorney Docket No.: BXG-008-1

ethyl acetate – hexane). The mixture was diluted with cyclohexane after 4.5 h then filtered through a layer of silica gel. Filtrate and ether washes were combined and evaporated. The residue was flash-chromatographed (1:39 ethyl acetate – hexane) to give 27 as a colorless syrup, 0.2988 g, 96.6%:  $^1\text{H}$  NMR  $\delta$ : 0.078 (3H, s), 0.097 (3H, s), 0.107 (18H, s), 0.64 (3H, s), 0.81 (1H, m), 0.84 (6H, s), 0.89 (6H, m), 1.134 (3H, s), 1.201 (3H, s), 1.207 (3H, s), 1.211 (3H, s), 1.3-1.6 (14H, m), 1.6-1.7 (3H, m), 1.88 (1H, m), 2.04 (2H, m), 2.2-2.32 (2H, m), 2.46 (1H, dd,  $J = 7.5$  and  $11.5$  Hz), 3.28 (1H, m); LR-FAB(+)  $m/z$ : 583 (M-C<sub>6</sub>H<sub>13</sub>), 479 (M-OTMS); HR-ES(+)  $m/z$ : Calcd for C<sub>37</sub>H<sub>76</sub>O<sub>4</sub>Si<sub>3</sub> + Na: 691.4943, found: 691.4949.

**[1*R*,3*aR*,7*aR*,4*E*]-4-{2(*Z*)-[3(*S*),5(*R*)-Bis-(tert-butyl-dimethyl-silanyloxy)-2-methylene-cyclohexylidene]-ethylidene}-7*a*-methyl-1-[5-methyl-1(*R*)-(4-methyl-4-trimethylsilanyloxy-pentyl)-4(*R*)-[dimethyl-(1,1,2-trimethyl-propyl)-silanyloxy]-5-trimethylsilanyloxy-hexyl]-octahydro-indene (29)**

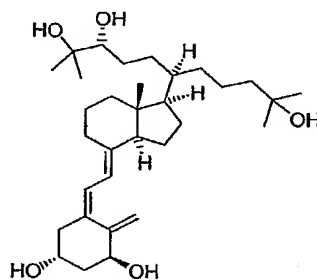


29

A solution of 2.5-M butyllithium in hexane (0.17 mL) was added to a solution of 28 in tetrahydrofuran (2 mL) at  $-70$  °C to produce a deep cherry-red color of the ylide. After 10 min a solution of ketone 27 (0.1415 g, 0.211 mmol) in tetrahydrofuran (2 mL) was added dropwise over a 15 min period. The reaction was quenched after 4 h by the addition of pH 7 phosphate buffer (2 mL). The temperature was allowed to increase to  $0$  °C then hexane (30 mL) was added. The aqueous layer was re-extracted with hexane (15 mL). The combined extracts were washed with of brine (5 mL), dried and evaporated to give a colorless oil that was purified by flash-chromatography (1:100 ethyl acetate – hexane) to yield 29 as colorless syrup, 0.155 g, 71%:  $^1\text{H}$  NMR  $\delta$ : 0.068 (15H, m), 0.103 (12H, s), 0.107 (9H, s), 0.53 (3H, s), 0.82 (1H, m), 0.84 (6H, s), 0.88 (18H, m), 0.89 (6H, m), 1.14 (3H, m), 1.20 (9H, s), 12-1.9 (22H, m), 1.97 (2H, m), 2.22 (1H, dd,  $J = 7.5$  and  $13$  Hz), 2.45 (1H, brd,  $J = 13$  Hz), 2.83 (1H, brd,  $J = 13$  Hz), 3.28 (1H, m), 4.20 (1H, m),

4.38 (1H, m), 4.87 (1H, d,  $J = 2$  Hz), 5.18 (1H, d,  $J = 2$  Hz), 6.02 (1H, d,  $J = 11.4$  Hz), 6.24 (1H, d,  $J = 11.4$  Hz); LR-FAB(+)  $m/z$  1033 (M+H), 1032 (M), 1031 (M-H), 901 (M-TBDMS).

- 5 **[1*R*,3*aR*,7*aR*, 4(*E*)]-6(*R*)-{4-[2(*Z*)-[3(*S*),5(*R*)-Dihydroxy-2-methylene-cyclohexylidene]-ethylidene]-7*a*-methyl-octahydro-inden-1-yl]-2,10-dimethyl-undecane-2,3(*R*),10-triol (3).**

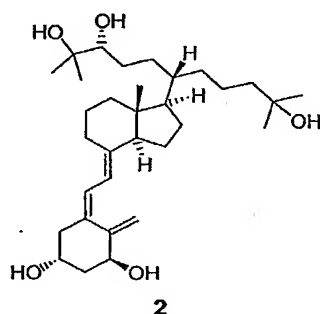


3

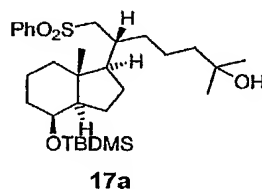
- 10 The residue of **29** (0.153 g, 0.148 mmol), as obtained in the previous experiment, was dissolved in a 1 M solution of tetrabutylammonium fluoride (3.5 mL). TLC (ethyl acetate) monitored reaction progress. Thus, the solution was diluted with brine (5 mL) after 24 h, stirred for 5 min then equilibrated with ethyl acetate (35 mL) and water (15 mL). The aqueous layer was re-extracted once with ethyl acetate (15 mL).
- 15 The combined organic layers were washed with water (5×10 mL), once with brine (5 mL) then dried and evaporated. The residue was purified by flash chromatography using a stepwise gradient of ethyl acetate and 1:100 methanol – ethyl acetate furnishing **3** as colorless, microcrystalline material from methyl formate – pentane, 70 mg, 91 %:  $[\alpha]_D + 34.3^\circ$  (methanol,  $c$  0.51);  $^1\text{H}$  NMR (DMSO- $d_6$ )  $\delta$ : 0.051 (3H, s), 0.98 (3H, s), 1.03 (3H, s), 1.05 (6H, s), 1.0–1.6 (17H, m), 1.64 (3H, m), 1.80 (2H, m), 1.90 (1H, d,  $J = 11.7$  Hz), 1.97 (1H, dd,  $J = J = 9.8$  Hz), 2.16 (1H, dd,  $J = 5.9$  and  $J = 13.7$  Hz), 2.36 (1H, brd), 2.79 (1H, brd), 3.00 (1H, dd,  $J = 5$  and 10 Hz), 3.99 (1H, brs), 4.01 (1H, s, OH), 4.04 (1H, s, OH), 4.54 (1H, OH, d,  $J = 3.9$  Hz), 4.76 (1H, brs), 4.87 (1H, OH, d,  $J = 4.9$  Hz), 5.22 (1H, brs), 5.99 (1H, d,  $J = 10.7$  Hz), 6.19 (1H, d,  $J = 10.7$  Hz); LR-ES(+)  $m/z$ : 519
- 20 (M+H), 518 (M), 517 (M-H), 501 (M-OH); HR-ES(+) calcd for  $\text{C}_{32}\text{H}_{54}\text{O}_5 + \text{Na}$ : 541.3863; found 541.3870;  $\text{UV}_{\text{max}}$  ( $\epsilon$ ): 213 (13554), 241sh (12801), 265 (16029) nm.
- 25

## EXAMPLE 2

Synthesis of [1*R*,3*aR*,7*aR*, 4(*E*)]-6(*S*)-{4-[2(*Z*)-[3(*S*),5(*R*)-Dihydroxy-2-methylene-cyclohexylidene]-ethylidene]-7*a*-methyl-octahydro-inden-1-yl]-2,10-dimethyl-undecane-2,3(*R*),10-triol (2).

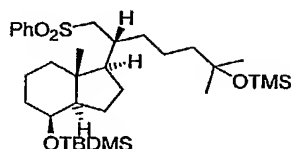


[1*R*,3*aR*,4*S*,7*aR*]-7-Benzenesulfonyl-6(*R*)-[4-(*tert*-butyl-dimethyl-silanyloxy)-7*a*-methyl-octahydro-inden-1-yl]-2-methyl-heptan-2-ol (17a).



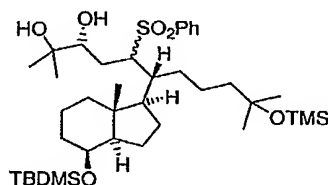
A solution of 8 and sodium benzenesulfinate (0.263 g, 1.6 mmol) in *N,N*-dimethyl formamide (5 mL) was stirred in a 77 °C bath for 3 h. The solution was equilibrated with 1:1 ethyl acetate – hexane (25 mL) and the organic layer washed with water (5×10 mL), dried and evaporated. The residue was flash-chromatographed with a stepwise gradient of 1:9, 1:4, and 1:3 ethyl acetate – hexane to furnish the sulfone as a colorless syrup: <sup>1</sup>H NMR δ -0.02 (3H, s), 0.005 (3H, s), 0.79 (3H, s), 0.87 (9H, s), 1.12 (1H, m), 1.19 (6H, s), 1.12 (1H, m), 1.20 (6H, s), 1.2-1.8 (18H, m), 2.08 (1H, m), 3.09 (1H, dd, *J* = 9.3 and 14.5 Hz), 3.31 (1H, dd, *J* = 3 and 14.5 Hz), 3.97 (1H, brs), 7.58 (3H, m), 7.66 (1H, m), 7.91 (2H, m); LR-ES(+) *m/z*: 600 (*M*+Na+MeCN), 559 (*M*+Na); LR-ES(-) *m/z*: 536 (*M*), 535 (*M*-H); HR-ES(+): Calcd for C<sub>30</sub>H<sub>52</sub>O<sub>4</sub>SSi + Na 559.3248; found 559.3253.

**[1*R*,3*aR*,4*S*,7*aR*]-1-(1(*R*)-Benzenesulfonylmethyl-5-methyl-5-trimethylsilyloxy-hexyl)-4-(tert-butyl-dimethyl-silanyloxy)-7*a*-methyl-octahydro-indene (17b).**

**17b**

1-(Trimethylsilyl)imidazole (0.146 mL) was added to a solution of **17a** (0.145 g, 0.27 mmol) in cyclohexane (2 mL). After 17 h the product was purified by flash chromatography using a stepwise gradient of 1:79 and 1:39 ethyl acetate – hexane to give **17b** as colorless residue, 0.157 g 0.258 mmol, TLC (1:9 ethyl acetate – hexane) R<sub>f</sub> 0.14. 300 MHz <sup>1</sup>H NMR: δ -0.02 (3H, s), 0.00 (3H, s), 0.87 (12H, s), 1.12 (1H, m), 1.17 (6H, s), 1.2-1.6 (15H, m), 1.6-1.9 (3H, m), 3.08 (2H, m), 3.97 (1H, brs), 7.53-7.70 (3H, m), 7.90 (2H, d, J = 7Hz).

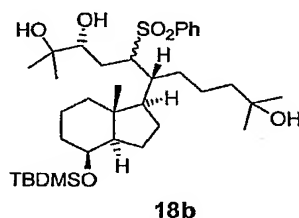
**[1*R*,3*aR*,4*S*,7*aR*]-5(*R,S*)-Benzenesulfonyl-6(*R*)-[4-(tert-butyl-dimethyl-silanyloxy)-7*a*-methyl-octahydro-inden-1-yl]-2,10-dimethyl-10-trimethylsilyloxy-undecane-2,3(*R*)-diol (18a)**

**18a**

A solution of **17b** (0.2589, 0.425 mmol) and diol **21** (0.176 g, 0.638 mmol) in tetrahydrofuran (9 mL) was cooled to -25 °C and 1.6 M butyllithium in hexane (1.4 mL) was added. The temperature was raised to -20 °C and maintained for 3 h then at -10 °C for 2.5 h and 0 °C for 10 min. The mixture was cooled again to -10 °C, saturated ammonium chloride solution (5 mL) was added, then equilibrated with ethyl acetate (50 mL) and enough water to dissolve precipitated salts. The aqueous layer was re-extracted with ethyl acetate (15 mL), the combined extracts were dried and evaporated and the residue purified by flash chromatography using a stepwise gradient of 1:6, 1:4, and 1:1 ethyl acetate – hexane to produce **18a** as a colorless syrup, 0.212 g, 70 %: 300 MHz <sup>1</sup>H NMR: δ 0.00 (3H, s), 0.017 (3H, s), 0.12 (9H, s), 0.81 (3H, s), 0.89 (9H, s), 1.16 (1H,

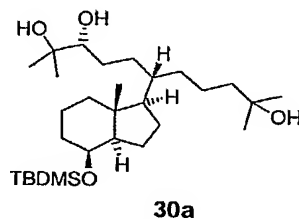
m), 1.19 (12H, m), 1.1-1.6 (20H, m), 1.6-1.8 (2H, m), 3.10 (1H, dd,  $J = 8.4$  and  $14.7$  Hz), 3.30 (1H, m), 3.99 (1H, brs), 7.61 (2H, m), 7.67 (1H, m), 7.93 (2H, m).

**[1*R*,3*aR*,4*S*,7*aR*]-6(*S*)-[4-(*tert*-Butyl-dimethyl-silanyloxy)-7*a*-methyl-octahydro-inden-1-yl]-2,10-dimethyl-10-trimethylsilanyloxy-undecane-2,3(*R*)-diol (18b).**



Compound **18a** (0.186 mg, 0.262 mmol) was dissolved in 0.5 M oxalic acid dihydrate in methanol (2.5 mL). The solution was stirred for 15 min then calcium carbonate was added (0.5 g) and the suspension stirred overnight then filtered. The filtrate was evaporated to give **18b** as a white foam, 0.188 g, 98 %: TLC (1:1 ethyl acetate – hexane)  $R_f$  0.06. This material was used in the next step without further purification.

**[1*R*,3*aR*,4*S*,7*aR*]-6(*S*)-[4-(*tert*-Butyl-dimethyl-silanyloxy)-7*a*-methyl-octahydro-inden-1-yl]-2,10-dimethyl-undecane-2,3(*R*),10-triol (triol 30a).**

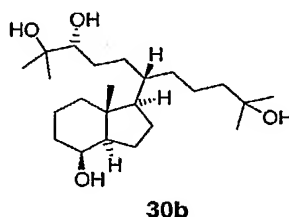


Sodium amalgam (5% sodium, 10.8 g) was added to a vigorously stirred solution of **18b** (0.426 g, 0.667 mmol) in a mixture of tetrahydrofuran (15 mL) and methanol (9 mL). The suspension was stirred for 24 h and the reaction monitored by TLC (1:1 ethyl acetate – hexane) to observe the production of **30a** ( $R_f$  0.17). The mixture was diluted with methanol (3 mL), stirred for 5 min then further diluted with water (10 mL), stirred for 2 min and decanted into saturated ammonium chloride solution (25 mL). The aqueous layer was extracted with ethyl acetate (2×20 mL). The combined extracts were washed with pH 7 phosphate buffer (5 mL) then brine (10 mL), dried and evaporated. The residue was purified by flash-chromatography using a stepwise gradient of 1:1 and 2:1 ethyl acetate – hexane to provide **30a** as a colorless syrup, 0.244 g, 73%:  $^1\text{H}$  NMR:  $\delta$



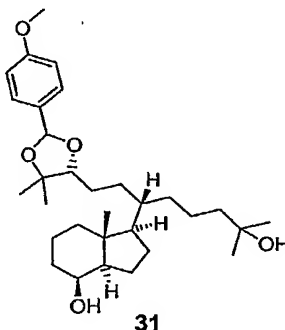
-0.006 (3H, s), 0.006 (3H, s), 0.86 (9H, s), 0.92 (3H, s), 1.11 (1H, m), 1.15 (3H, s), 1.21 (9H, s), 1.2-1.75 (21H, m), 1.7-1.85 (3H, m), 1.90 (1H, m), 3.29 (1H, brd), 3.99 (1H, brs); LR-ES(+) m/z: 521 (M+Na), 481 (M-OH); LR-ES(-): m/z 544: (M+CH<sub>2</sub>O<sub>2</sub>), 543 (M-H+CH<sub>2</sub>O<sub>2</sub>), 533 (M-Cl); HR-ES(+) m/z: Calcd for C<sub>29</sub>H<sub>58</sub>O<sub>4</sub>Si + Na: 521.3996, found 521.3999.

**[1R,3aR,4S,7aR]-6(S)-(4-Hydroxy-7a-methyl-octahydro-inden-1-yl)-2,10-dimethyl-undecane-2,3(R),10-triol (30b).**



10 An aqueous fluorosilicic acid solution (3 mL) was added to a stirred solution of 30a (0.240 g, 0.481 mmol) in acetonitrile (12 mL). TLC (ethyl acetate) monitored the reaction. After 2.5 h compound 30b (R<sub>f</sub> 0.37) was the predominating species, produced at the expense of less polar 30a. The mixture was equilibrated with ethyl acetate and water (10 mL), the aqueous layer was re-extracted with water (2×10 mL) and the  
15 combined extracts were washed with water (6 mL) and brine (2×10 mL) then dried and evaporated. The colorless residue was flash-chromatographed using a stepwise gradient of 1:2, 1:1 and 2:1 ethyl acetate – hexane to elute some unreacted 30a, followed by 30b, obtained as colorless syrup, 0.147 g, 79 %: <sup>1</sup>H NMR: 0.94 (3H, s), 1.12 (1H, m), 1.15 (3H, s), 1.21 (9H, s), 1.15-1.7 (20H, m), 1.7-1.9 (5H, m), 1.96 (1H, brd), 3.29 (1H, d, J =  
20 9.6 Hz), 4.08 (1H, brs); LR-ES(+): m/z 448: (M+Na+MeCN), 407 (M+Na); LR-ES(-): m/z 419 (M+Cl); HR-ES(+) m/z: Calcd for C<sub>23</sub>H<sub>44</sub>O<sub>4</sub> + Na: 407.3132, found 407.3135.

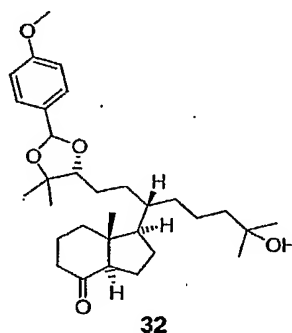
**[1R,3aR,4S,7aR]-1-(5-Hydroxy-1(S)-{2-[2-(4-methoxy-phenyl)-5,5-dimethyl-[1,3]dioxolan-4(R)-yl]-ethyl}-5-methyl-hexyl)-7a-methyl-octahydro-inden-4-ol (31).**



Attorney Docket No.: BXG-008-1

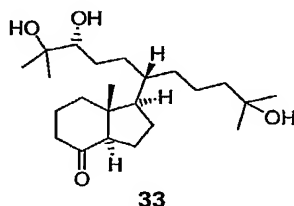
4-Methoxybenzaldehyde dimethyl acetal (60  $\mu$ L, 0.35 mmol) was added to a solution of **30b** (81.2 mg, 0.211 mmol) in dichloromethane (2 mL), followed by a solution (0.2 mL) containing pyridinium tosylate (200 mg) in dichloromethane (10 mL). Reaction progress was followed by TLC (1:2 ethyl acetate – hexane) which showed 4-methoxybenzaldehyde dimethyl acetal ( $R_f$  0.80), 4-methoxybenzaldehyde ( $R_f$  0.65), educt **30b** ( $R_f$  0.42) and product **31** ( $R_f$  0.26). After 5  $\frac{3}{4}$  h the mixture was stirred for 15 min with saturated sodium hydrogencarbonate solution (5 mL) then equilibrated with ethyl acetate (25 mL). The organic layer was washed with brine (5 mL), dried and evaporated. The residue was flash-chromatographed using a stepwise gradient of 1:3 and 1:2 ethyl acetate – hexane to yield **31** as colorless syrup, 0.106 mg (100 %):  $^1\text{H}$  NMR: 0.94 (3H, s), 1.19, 1.21 (6H, s each,  $\text{Me}_2\text{COH}$ ), 1.23, 1.35 and 1.24, 1.37 (6H, s each, major and minor 5,5-dimethyloxolane diastereomer), 1.1-1.7 (18H, m), 1.7-1.9 (5H, m), 1.9-2.0 (2H, m), 3.65 (1H, m), 3.81 (3H, s), 4.08 (1H, brs), 5.78 and 5.96 (1H, s each, major and minor acetal diastereomer), 6.89 (2H, m), 7.41 (2H, m).

**[1R,3aR,7aR]-1-(5-Hydroxy-1(S)-{2-[2-(4-methoxy-phenyl)-5,5-dimethyl-[1,3]dioxolan-4(R)-yl]-ethyl}-5-methyl-hexyl)-7a-methyl-octahydro-inden-4-one (32)**

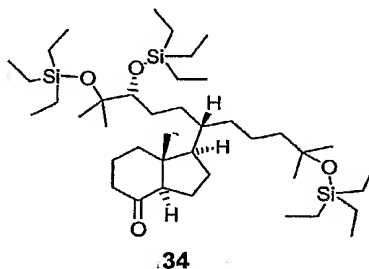


Pyridinium dichromate (230 mg, 0.61 mmol) was added to a stirred mixture containing **31** (0.0838, 0.167 mmol), Celite (185 mg), and dichloromethane (4 mL). The conversion of **31** ( $R_f$  0.31) to **32** ( $R_f$  0.42) was monitored by TLC (1:25 methanol – chloroform) The mixture was diluted with dichloromethane (10 mL) after 2.5 h, then filtered through a layer of silica gel. Filtrate and washings (1:1 dichloromethane – ethyl acetate) were evaporated and the residue chromatographed (1:4 ethyl acetate – hexane) to give ketone **32**, 0.0763 g, 91 %:  $^1\text{H}$  NMR: 0.63 (3H, s), 1.19, 1.21 and 1.23 (6H, s each,  $\text{Me}_2\text{COH}$ ), 1.25, 1.36, 1.38 (6H, m,s,s, 5,5-dimethyloxolane diastereomer), 1.1-1.9 (18H, m), 1.9-2.1 (3H, m), 2.1-2.4 (2H, m), 2.45 (1H, m), 3.66 (1H, m), 3.802 and 3.805 (3H, s each), 5.78 and 5.95 (1H, s each, major and minor acetal diastereomer), 6.89 (2H, m), 7.39 (2H, m).

**[1*R*,3*aR*,7*aR*]-1-[4(*R*),5-Dihydroxy-1(*S*)-(4-hydroxy-4-methyl-pentyl)-5-methyl-hexyl]-7*a*-methyl-octahydro-inden-4-one (33)**



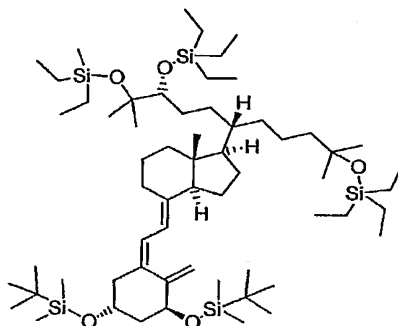
- 5 The ketone 32 was stirred in a 1 N oxalic acid solution in 90 % methanol. The mixture became homogeneous after a few min. TLC (ethyl acetate) suggested complete reaction after 75 min (R<sub>f</sub> 0.24 for 33). Thus, calcium carbonate (0.60 g) was added and the suspension stirred overnight, then filtered. The filtrate was evaporated and flash-
- 10 chromatographed using a stepwise gradient of 4:1:5 dichloromethane - ethyl acetate - hexane, 1:1 ethyl acetate - hexane, and neat ethyl acetate produce 33 as a colorless residue, 0.060 mg, 94%: <sup>1</sup>H NMR: 0.5 (3H, s), 1.17 (3H, s), 1.22 (6H, s), 1.23 (3H, s), 1.2-1.21 (23H, m), 2.15-2.35 (2H, m), 2.45 (1H, dd, J = 7 and 11 Hz), 3.30, 1H, brd).
- 15 **[1*R*,3*aR*,7*aR*]-7*a*-Methyl-1-[5-methyl-1(*S*)-(4-methyl-4-triethylsilanyloxy-pentyl)-4(*R*),5-bis-triethylsilanyloxy-hexyl]-octahydro-inden-4-one (34)**



- A mixture of 33 (0.055 g, 0.143 mmol), imidazole, (14.9 mg, 1.69 mmol), N,N-dimethylpyridine (6 mg), triethylchlorosilane (0.168 mL, 1 mmol) and N,N-dimethylformamide (1.5 mL) was stirred for 17 h. The reaction was followed by TLC (1:4 ethyl acetate - hexane) and showed rapid conversion to the disilyl intermediate (R<sub>f</sub> 0.47). Further reaction proceeded smoothly overnight to give the fully silylated 34 (R<sub>f</sub> 0.90). The solution was equilibrated with water (3 mL), equilibrated with ethyl acetate
- 20 (20 mL), the ethyl acetate layer was washed with water (3×4 mL), dried and evaporated. The residue was flash-chromatographed using a stepwise gradient of hexane and 1:100 ethyl acetate - hexane to yield 34 as a colorless syrup, 0.0813 g, 78.4%: <sup>1</sup>H
- 25

NMR  $\delta$  0.55-0.64 (21H, m), 0.92-0.97 (27H, m), 1.12 (3H, s), 1.18 (3H, s), 1.19 (3H, s), 1.21 (3H, s), 1.1-1.7 (18H, m), 1.9-2.15 (2H, m), 2.15-2.35 (2H, m), 2.43 (1H, dd,  $J$  = 7.7 and 11 Hz), 3.30 (1H, dd,  $J$  = 3 and 8.4 Hz).

- 5 **[1*R*,3*aR*,7*aR*,4*E*]-4-{2(*Z*)-[3(*S*),5(*R*)-Bis-(*tert*-butyl-dimethyl-silanyloxy)-2-methylene-cyclohexylidene]-ethylidene}-7*a*-methyl-1-[5-methyl-1(*S*)-(4-methyl-4-triethylsilanyloxy-pentyl)-4(*R*),5-bis-triethylsilanyloxy-hexyl]-octahydro-indene**  
(35)

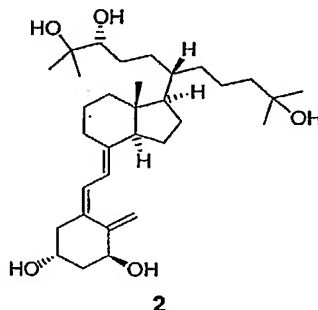


35

- 10 A solution of 1.6 M butyllithium in hexane (0.14 mL) was added to a solution of  
28 (0.1308 g, 0.224 mmol) in tetrahydrofuran (1.5 mL) at  $-70^{\circ}\text{C}$ . After 10 min a  
solution of ketone 34 (0.0813 g, 0.112 mmol) in tetrahydrofuran (1.5 mL) was added  
dropwise over a 15 min period. The ylide color had faded after 3 h so that pH 7  
phosphate buffer (2 mL) was added and the temperature allowed to increase to  $0^{\circ}\text{C}$ . The  
15 mixture was equilibrated with hexane (30 mL), the organic layer was washed with brine  
(5 mL), dried and evaporated to give a colorless oil that was purified by flash-  
chromatography (1:100 ethyl acetate – hexane). Only the band with  $R_f$  0.33 (TLC 1:39  
ethyl acetate – hexane) was collected. Evaporation of those fractions gave 35 as  
colorless syrup, 0.070 g, 57%:  $^1\text{H}$  NMR  $\delta$  0.06 (12H, brs), 0.53-0.64 (21H, m), 0.88  
20 (18H, s), 0.92-0.97 (27H, m), 1.11 (3H, s), 1.177 (3H, s), 1.184 (3H, s), 1.195 (3H, s), 1-  
1.9 (22H, m), 1.98 (2H, m), 2.22 (1H, m), 2.45 (1H, m), 2.83 (1H, brd,  $J$  = 13 Hz, 3.27  
(1H, d,  $J$  = 6 Hz), 4.19 (1H, m), 4.38 (1H, m), 4.87 (1H, brs), 5.18 (1H, brs), 6.02 (1H,  
d,  $J$  = 11 Hz), 6.24 (1H, d,  $J$  = 11 Hz).

**[1*R*,3*aR*,7*aR*, 4(*E*)]-6(*S*)-{4-[2(*Z*)-[3(*S*),5(*R*)-Dihydroxy-2-methylene-cyclohexylidene]-ethylidene]-7*a*-methyl-octahydro-inden-1-yl]-2,10-dimethyl-undecane-2,3(*R*),10-triol (2).**

5



The deprotection reaction of **35** (0.068 g, 0.06238 mmol) in 1M solution of tetrabutylammonium fluoride in tetrahydrofuran, followed by TLC (ethyl acetate), gradually proceeded to give **2** (*R<sub>f</sub>* 0.19). The mixture was diluted with brine (5 mL) after 25 h, stirred for 5 min the equilibrated with ethyl acetate (35 mL) and water (15 mL). The aqueous layer was re-extracted once with ethyl acetate (35 mL), the combined extracts were washed with water (5×10 mL) and brine (5 mL) then dried and evaporated. The residue was flash-chromatographed using a linear gradient of 1:1 and 2:1 ethyl acetate - hexane, and 2: 98 methanol – ethyl acetate to give a residue that was taken up in methyl formate and evaporated to a white foam, 30 mg, 93 %: [ $\alpha$ ]<sub>D</sub> + 29.3 ° (methanol, *c* 0.34); MHz <sup>1</sup>H NMR  $\delta$ : 0.55 (3H, s), 1.16 (3H, s), 1.21 (9H, s), 1.1-1.75 (22H, m), 1.80 (2H, m), 1.9-2.1 (5H, m), 2.31 (1H, dd, *J* = 7 and 13 Hz), 2.60 (1H, brd), 2.84 (1H, m), 3.29 (1H, d, *J* = 9.5 Hz), 4.22 (1H, m), 4.43 (1H, m), 5.00 (1H, s), 5.33 (1H, s), 6.02 (1H, d, *J* = 11 Hz), 6.02 (1H, d, *J* = 11Hz); LR-ES(-) *m/z*: 564 (M+H<sub>2</sub>CO<sub>2</sub>), 563 M-H+ H<sub>2</sub>CO<sub>2</sub>; HR-ES(+) calcd for C<sub>32</sub>H<sub>54</sub>O<sub>5</sub> + Na: 541.3863; found 541.3854; UV<sub>max</sub> ( $\epsilon$ ): 211 (15017), 265 (15850), 204 sh (14127), 245 sh (13747) nm.

### EXAMPLE 3

#### *Determination of Maximum Tolerated Dose (MTD) of Vitamin D<sub>3</sub> Analogs*

The maximum tolerated dose of the vitamin D<sub>3</sub> compounds of the invention were determined in eight week-old female C57BL/6 mice (3 mice/group) dosed orally (0.1 ml/mouse) with various concentrations of Vitamin D<sub>3</sub> analogs daily for four days. Analogs were formulated in miglyol for a final concentration of 10, 30, 100 and 300  $\mu$ g/kg when given at 0.1 ml/mouse p.o. daily. Blood for serum calcium assay was drawn by tail bleed on day five, the final day of the study. Serum calcium levels

were determined using a colorimetric assay (Sigma Diagnostics, procedure no. 597). The highest dose of analog tolerated without inducing hypercalcemia (serum calcium >10.7 mg/dl) was taken as the maximum tolerated dose (MTD). Table 1 shows the relative MTD for four vitamin D<sub>3</sub> compounds.

5

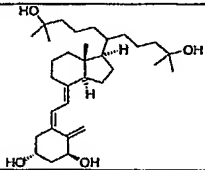
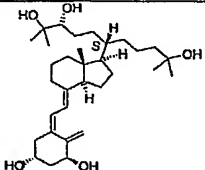
#### EXAMPLE 4

##### *Immunological Assay of Vitamin D<sub>3</sub> Compounds*

Immature dendritic cells (DC) were prepared as described in Romani, N. *et al.*, J. Immunol. Meth. 196:137. IFN- $\gamma$  production by allogeneic T cell activation in the mixed leukocyte response (MLR) was determined as described in Penna, G., *et al.*, J. Immunol., 164: 2405-2411 (2000).

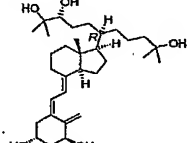
Briefly, peripheral blood mononuclear cells (PBMC) were separated from buffy coats by Ficoll gradient and the same number (3x10<sup>5</sup>) of allogeneic PBMC from 2 different donors were co-cultured in 96-well flat-bottom plates. After 5 days, IFN- $\gamma$  production in the MLR assay was measured by ELISA and the results expressed as amount (nM) of test compound required to induce 50% inhibition of IFN- $\gamma$  production (IC<sub>50</sub>). The results from the experiment are shown in Table 1. In Table 1, "\*" represents good down regulation of INF- $\gamma$  (e.g., less than 100 IC<sub>50</sub> pM), and "\*\*\*" represents very good down regulation of INF- $\gamma$  (e.g., greater than 100 IC<sub>50</sub> pM).

**Table 1**

	VDR Binding	HL-60(CD14) ED <sub>50</sub> nM	MTD (mice) $\mu$ g/kg	INF- $\gamma$ IC <sub>50</sub> pM
1,25(OH) <sub>2</sub> D <sub>3</sub>	100.0**	1.37*	1.0*	29.0*
	38.0**	0.34*	3.0*	22.0*
	0.95**	9.30*	30.0**	549.0

Attorney Docket No.: BXG-008-1

Table 1 (cont'd)

	42.10*	2.17**	30.0**	66.0*
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**EXAMPLE 5**5                    ***Soft Gelatin Capsule Formulation I***

	Item	Ingredients	mg/Capsule
	1.	Compound 3 from Example 1	10.001-0.02
	2.	Butylated Hydroxytoluene (BHT)	0.016
	3.	Butylated Hydroxyanisole (BHA)	0.016
10	4.	Miglyol 812 qs.	160.0

## Manufacturing Procedure:

1. BHT and BHA is suspended in Miglyol 812 and warmed to about 50 °C with stirring, until dissolved.
- 15        2. 1,25-dihydroxy-16-ene-24-oxo-5,6-trans-calcitriol is dissolved in the solution from step 1 at 50 °C.
3. The solution from Step 2 is cooled at room temperature.
4. The solution from Step 3 is filled into soft gelatin capsules.

Note: All manufacturing steps are performed under a nitrogen atmosphere and protected  
20    from light.

**EXAMPLE 6**25                    ***Soft Gelatin Capsule Formulation II***

	Item	Ingredients	mg/Capsule
	1.	Compound 3 from Example	10.001-0.02
	2.	di- $\alpha$ -Tocopherol	0.016
	3.	Miglyol 812 qs.	160.0

30

## Manufacturing Procedure:

Attorney Docket No.: BXG-008-1

1. Di- $\alpha$ -Tocopherol is suspended in Miglyol 812 and warmed to about 50 °C with stirring, until dissolved.
2. 1,25-dihydroxy-16-ene-24-oxo-5,6-trans-calcitriol is dissolved in the solution from step 1 at 50 °C.
- 5 3. The solution from Step 2 is cooled at room temperature.
4. The solution from Step 3 is filled into soft gelatin capsules.

#### EXAMPLE 7

#### 10 *Immunological Assay for Modulation of ILT3 by VDR Agonists*

##### I. Methods

Immature dendritic cells (DC) were prepared as described in Romani, N. *et al.*, (1996) *J. Immunol. Meth.* 196:137). Briefly, peripheral blood mononuclear cells (PBMCs) were obtained from a buffy coat by ficoll-hypaque gradient (Pharmacia Biotec AB, Upsala, Sweden) and monocytes isolated from PBMCs by negative selected with a monocyte isolation kit (Milteny, Biotech, Bergish Gladblach, Germany). After isolation, monocytes were cultured for 6 to 7 days at a cell density of  $1-2 \times 10^6$  in RPMI medium supplemented with 5% FetalClone I (Hyclone Laboratories, Logan, Utah) 2 mM L-glutamine, 50 mg/ml gentamicin, 1 mM sodium pyruvate and 1% nonessential amino acids, containing 800 U/ml GM-CSF (Mielogen 300, Schering-Plough) and 10 ng/ml interleukin (IL)-4 (PharMingen, San Diego, California). Every other day, approximately 20% of the medium was removed and replaced by the same volume of fresh medium containing GM-CSF and IL-4.

25 After 6 to 7 days of culture, non-adherent cells (representing immature Dendritic Cells) were harvested and cultured for 24 hours in the presence of graded doses of vitamin D compounds - 1,25(OH) $_2$ D $_3$  (i), 1,24R,25-trihydroxy-20R-21-(3-hydroxy-3-methylbutyl) cholecalciferol (ix) (referred to as compound (2) herein) and other vitamin D compounds (x) to (xv) ; and the compounds Mycophenolate Mofetil (MMF) and Dexamethasone (DEX).

35 Immunoglobulin-like transcript-3 upregulation was evaluated by flow cytometric analysis. Briefly, cells were preincubated with 200 mg/ml human IgG (Sigma Chemical, St. Louis, Missouri) and subsequently stained with anti-human ILT3 antibody (see, e.g., Cella, M. *et al.* (1997) *J. Exp. Med.* 185:1743) followed by anti-mouse IgG-phycoerithryn (Jackson). Cells were then analyzed with a FACScanR flow cytometer



using a Cell QuestR software program (both from Beckton Dickinson, Mountain View, California).

## II. Results

5                   Incubation of monocyte-derived immature dendritic cells with  
compounds (ix) to (xv) upregulated the expression of ILT3 on their cell surface (Figure  
1). These data show that all the VDR agonists tested upregulate ILT3 expression.  
Notably, treatment of cells with compound (ix) produced the greatest upregulation of  
ILT3, at low doses of compound, with a mean fluorescence intensity of 260 at 1 nM of  
10   compound.

### **EXAMPLE 8**

#### ***Comparison of VDR Agonists with MMF and DEX***

The results shown in Figure 1 demonstrate that all the VDR agonists  
15   tested upregulate ILT3 expression on monocyte-derived immature dendritic cells.  
Conversely, Mycophenolate Mofetil and Dexamethasone, agents that also target  
dendritic cells, fail to upregulate ILT3 expression at any concentration tested, up to 1000  
nM. In particular, compound 1,24R,25-trihydroxy-20R-21-(3-hydroxy-3-methylbutyl)  
herein) shows very favourable upregulation in relation to Mycophenolate Mofetil and  
20   Dexamethasone.

#### **Incorporation by Reference**

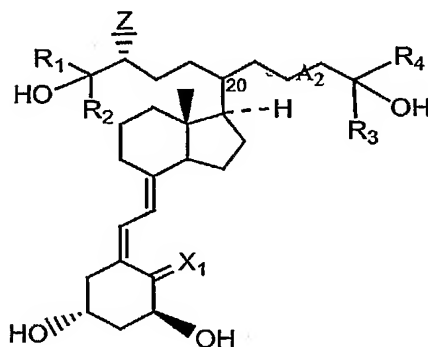
The contents of all references (including literature references, issued  
patents, published patent applications, and co-pending patent applications) cited  
25   throughout this application are hereby expressly incorporated herein in their entireties by  
reference.

#### **Equivalents**

Those skilled in the art will recognize, or be able to ascertain using no  
30   more than routine experimentation, many equivalents of the specific embodiments of the  
invention described herein. Such equivalents are intended with be encompassed by the  
following claims.

CLAIMS

1. A vitamin D<sub>3</sub> compound, wherein said compound is:



(I)

wherein:

X<sub>1</sub> is H<sub>2</sub> or CH<sub>2</sub>;

A<sub>2</sub> is a single, a double or a triple bond;

R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub> and R<sub>4</sub> are each independently C<sub>1</sub>-C<sub>4</sub> alkyl, hydroxyalkyl, or  
10 fluoroalkyl;

Z is -OH, -SH, or -NH<sub>2</sub>; and

the configuration at C<sub>20</sub> is R or S, and pharmaceutically acceptable esters, salts,  
and prodrugs thereof.

15 2. The compound of claim 1, wherein X<sub>1</sub> is CH<sub>2</sub>.

3. The compound of claim 1, wherein A<sub>2</sub> is a single bond.

4. The compound of claim 1, wherein R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are each  
20 independently methyl or ethyl.

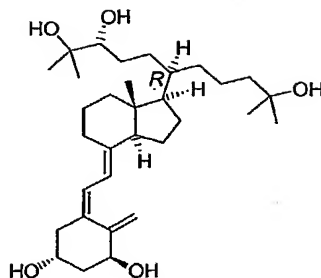
5. The compound of claim 1, wherein Z is -OH.

6. The compound of claim 1, wherein X<sub>1</sub> is CH<sub>2</sub>; A<sub>2</sub> is a single bond; R<sub>1</sub>,  
25 R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are each independently methyl or ethyl; and Z is -OH.

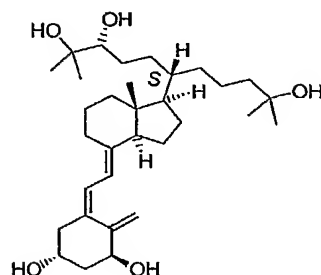
7. The compound of claim 6, wherein R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are each methyl.

8. The compound of claim 7, wherein said compound is:

Attorney Docket No.: BXG-008-1



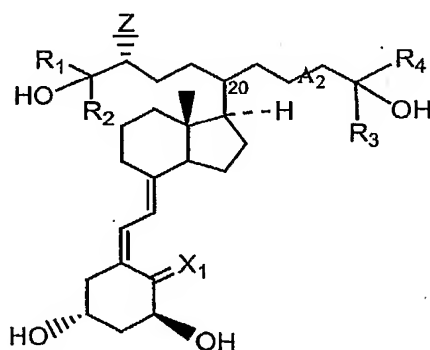
9. The compound of claim 7, wherein said compound is:



5

10. A method for treating a subject for a vitamin D<sub>3</sub> associated state, comprising administering to said subject an effective amount of a vitamin D<sub>3</sub> compound, such that said subject is treated for said vitamin D<sub>3</sub> associated state, wherein said compound is:

10



(I)

wherein:

X<sub>1</sub> is H<sub>2</sub> or CH<sub>2</sub>;

15 A<sub>2</sub> is a single, a double or a triple bond;

R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub> and R<sub>4</sub> are each independently C<sub>1</sub>-C<sub>4</sub> alkyl, hydroxyalkyl, or fluoroalkyl;

Z is -OH, -SH, or -NH<sub>2</sub>; and

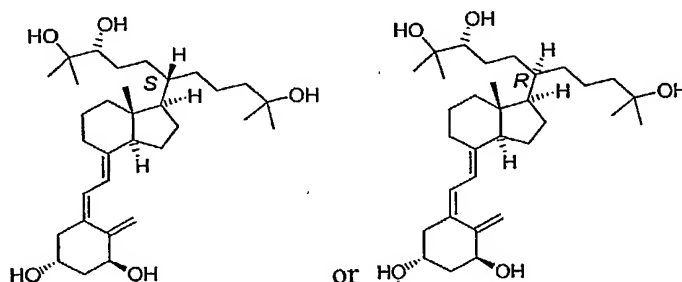
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the configuration at C<sub>20</sub> is R or S, and pharmaceutically acceptable esters, salts, and prodrugs thereof.

11. The method of claim 10, wherein X<sub>1</sub> is CH<sub>2</sub>; A<sub>2</sub> is a single bond; R<sub>1</sub>, R<sub>2</sub>,  
5 R<sub>3</sub>, and R<sub>4</sub> are each independently methyl or ethyl; and Z is -OH.

12. The method of claim 11, wherein R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are each methyl.

13. The method of claim 12, wherein said compound is:  
10



14. The method of claim 10, wherein said vitamin D<sub>3</sub> associated state is an  
15 ILT3-associated disorder.

15. The method of claim 14, wherein said ILT3-associated disorder is an  
immune disorder.

16. The method of claim 15, wherein said immune disorder is an  
20 autoimmune disorder.

17. The method of claim 16, wherein said autoimmune disorder is selected  
from the group consisting of type 1 insulin-dependent diabetes mellitus, adult  
respiratory distress syndrome, inflammatory bowel disease, dermatitis, meningitis,  
25 thrombotic thrombocytopenic purpura, Sjogren's syndrome, encephalitis, uveitis,  
leukocyte adhesion deficiency, rheumatoid arthritis, rheumatic fever, Reiter's syndrome,  
psoriatic arthritis, progressive systemic sclerosis, primary biliary cirrhosis, pemphigus,  
pemphigoid, necrotizing vasculitis, myasthenia gravis, multiple sclerosis, lupus  
erythematosus, polymyositis, sarcoidosis, granulomatosis, vasculitis, pernicious anemia,  
30 CNS inflammatory disorder, antigen-antibody complex mediated diseases, autoimmune  
haemolytic anemia, Hashimoto's thyroiditis, Graves disease, habitual spontaneous

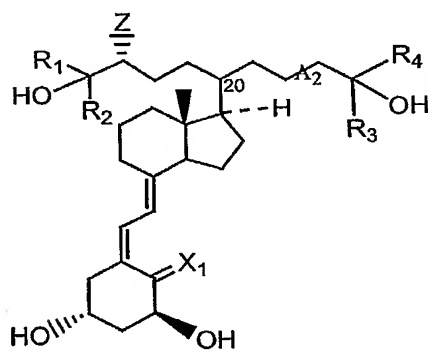
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abortions, Reynard's syndrome, glomerulonephritis, dermatomyositis, chronic active hepatitis, celiac disease, autoimmune complications of AIDS, atrophic gastritis, ankylosing spondylitis and Addison's disease.

- 5 18. The method of claim 16, wherein said immune disorder is transplant rejection.
19. The method of claim 10, wherein said vitamin D<sub>3</sub> associated state is a disorder characterized by an aberrant activity of a vitamin D<sub>3</sub>-responsive cell.
- 10 20. The method of claim 19, wherein said disorder comprises an aberrant activity of a hyperproliferative skin cell.
21. The method of claim 20, wherein said disorder is selected from psoriasis,  
15 basal cell carcinoma and keratosis.
22. The method of claim 19, wherein said disorder comprises an aberrant activity of an endocrine cell.
- 20 23. The method of claim 22, wherein said endocrine cell is a parathyroid cell and the aberrant activity is processing and/or secretion of parathyroid hormone.
24. The method of claim 19, wherein said disorder is secondary hyperparathyroidism.
- 25 25. The method of claim 19, wherein said disorder comprises an aberrant activity of a bone cell.
26. The method of claim 25, wherein said disorder is selected from  
30 osteoporosis, osteodystrophy, senile osteoporosis, osteomalacia, rickets, osteitis fibrosa cystica, and renal osteodystrophy.
27. The method of claim 19, wherein said disorder is cirrhosis or chronic renal disease.
- 35 28. The method of claim 10, wherein said subject is a mammal.

Attorney Docket No.: BXG-008-1

29. The method of claim 28, wherein said subject is a human.
30. The method of claim 10, wherein said vitamin D<sub>3</sub> compound is  
 5 administered in combination with a pharmaceutically acceptable carrier.
31. A pharmaceutical composition, comprising an effective amount a vitamin D<sub>3</sub> compound and a pharmaceutically acceptable carrier, wherein said compound is :

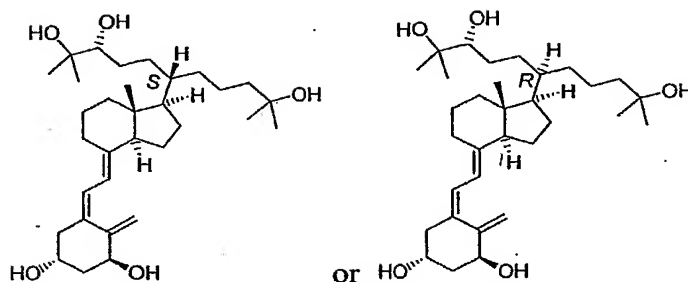


10

wherein:

- X<sub>1</sub> is H<sub>2</sub> or CH<sub>2</sub>;
- A<sub>2</sub> is a single, a double or a triple bond;
- R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub> and R<sub>4</sub> are each independently C<sub>1</sub>-C<sub>4</sub> alkyl, hydroxyalkyl, or  
 15 fluoroalkyl;
- Z is -OH, -SH, or -NH<sub>2</sub>; and
- the configuration at C<sub>20</sub> is R or S, and pharmaceutically acceptable esters, salts, and prodrugs thereof.
- 20 32. The pharmaceutical composition of claim 31, wherein X<sub>1</sub> is CH<sub>2</sub>; A<sub>2</sub> is a single bond; R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are each independently methyl or ethyl; and Z is -OH.
33. The pharmaceutical composition of claim 32, wherein said compound is:

Attorney Docket No.: BXG-008-1



34. The pharmaceutical composition of claim 31, wherein said effective amount is effective to treat a vitamin D<sub>3</sub> associated state.

5

35. The pharmaceutical composition of claim 34, wherein said vitamin D<sub>3</sub> associated state is an ILT3-associated disorder.

36. The pharmaceutical composition of claim 34, wherein said vitamin D<sub>3</sub> associated state is a disorder characterized by an aberrant activity of a vitamin D<sub>3</sub>-responsive cell.

37. The pharmaceutical composition of claim 36, wherein said disorder comprises an aberrant activity of a hyperproliferative skin cell.

15

38. The pharmaceutical composition of claim 37, wherein said disorder is selected from psoriasis, basal cell carcinoma and keratosis.

39. The pharmaceutical composition of claim 36, wherein said disorder comprises an aberrant activity of an endocrine cell.

20

40. The pharmaceutical composition of claim 39, wherein said endocrine cell is a parathyroid cell and the aberrant activity is processing and/or secretion of parathyroid hormone.

25

41. The pharmaceutical composition of claim 40, wherein said disorder is secondary hyperparathyroidism.

42. The pharmaceutical composition of claim 36, wherein said disorder comprises an aberrant activity of a bone cell.

30

Attorney Docket No.: BXG-008-1

43. The pharmaceutical composition of claim 42, wherein said disorder is selected from osteoporosis, osteodystrophy, senile osteoporosis, osteomalacia, rickets, osteitis fibrosa cystica, and renal osteodystrophy.

5 44. The pharmaceutical composition of claim 36, wherein said disorder is cirrhosis or chronic renal disease.

45. The pharmaceutical composition of claim 36, wherein said subject is a mammal.

10

46. The pharmaceutical composition of claim 36, wherein said subject is a human.

47. A method of ameliorating a deregulation of calcium and phosphate  
15 metabolism, comprising administering to a subject a therapeutically effective amount of a vitamin D<sub>3</sub> compound of any one of claims 1 to 8, so as to ameliorate the deregulation of the calcium and phosphate metabolism.

48. The method of claim 47, wherein the deregulation of the calcium and  
20 phosphate metabolism leads to osteoporosis.

49. A method of modulating the expression of an immunoglobulin-like  
transcript 3 (ILT3) surface molecule in a cell, comprising contacting said cell with a  
compound of any one of claims 1-9 in an amount effective to modulate the expression of  
25 an immunoglobulin-like transcript 3 (ILT3) surface molecule in said cell.

50. The method of claim 49, wherein said cell is within a subject.

51. A method of treating an ILT3-associated disorder in a subject,  
30 comprising administering to said subject a compound of any one of claims 1-9 in an amount effective to modulate the expression of an ILT3 surface molecule, thereby treating said ILT3-associated disorder in said subject.

52. The method of claim 51, wherein said ILT3-associated disorder is an  
35 immune disorder.



Attorney Docket No.: BXG-008-1

53. The method of claim 52, wherein said immune disorder is an autoimmune disorder.

54. The method of claim 53, wherein said autoimmune disorder is selected  
 5 from the group consisting of type 1 insulin-dependent diabetes mellitus, adult  
 respiratory distress syndrome, inflammatory bowel disease, dermatitis, meningitis,  
 thrombotic thrombocytopenic purpura, Sjogren's syndrome, encephalitis, uveitic,  
 leukocyte adhesion deficiency, rheumatoid arthritis, rheumatic fever, Reiter's syndrome,  
 psoriatic arthritis, progressive systemic sclerosis, primary biliary cirrhosis, pemphigus,  
 10 pemphigoid, necrotizing vasculitis, myasthenia gravis, multiple sclerosis, lupus  
 erythematosus, polymyositis, sarcoidosis, granulomatosis, vasculitis, pernicious anemia,  
 CNS inflammatory disorder, antigen-antibody complex mediated diseases, autoimmune  
 haemolytic anemia, Hashimoto's thyroiditis, Graves disease, habitual spontaneous  
 abortions, Reynard's syndrome, glomerulonephritis, dermatomyositis, chronic active  
 15 hepatitis, celiac disease, autoimmune complications of AIDS, atrophic gastritis,  
 ankylosing spondylitis and Addison's disease.

55. The method of claim 52, wherein said immune disorder is transplant  
 rejection.

20

56. A method of inducing immunological tolerance in a subject, comprising  
 administering to said subject a compound of any one of claims 1-9 in an amount  
 effective to modulate the expression of an ILT3 surface molecule, thereby inducing  
 immunological tolerance in said subject.

25

57. The method of claim 56, wherein said immunological tolerance is  
 induced in an antigen-presenting cell.

58. The method of claim 57, wherein said antigen-presenting cell is selected  
 30 from the group consisting of dendritic cells, monocytes, and macrophages.

59. A method of inhibiting transplant rejection in a subject comprising  
 administering to said subject a compound of any one of claims 1-9 in an amount  
 effective to modulate the expression of an ILT3 surface molecule, thereby inhibiting  
 35 transplant rejection in said subject.



Attorney Docket No.: BXG-008-1

70. The method of claim 69, wherein said antigen-presenting cell is selected from the group consisting of dendritic cells, monocytes, and macrophages.
- 5 71. The method of any one of claims 49, 51, 56 or 61, wherein the expression of said immunoglobulin-like transcript 3 (ILT3) surface molecule is upregulated.
72. The method of any one of claims 51, 56 or 61, wherein said vitamin D compound is administered orally.
- 10 73. The method of any one of claims 51, 56 or 61, wherein said compound is administered intravenously.
74. The method of any one of claims 51, 56 or 61, wherein said compound is  
15 administered topically
75. The method of any one of claims 51, 56 or 61, wherein said vitamin D compound is administered parenterally.
- 20 76. The method of any one of claims 51, 56 or 61, wherein said compound is administered at a concentration of 0.001 µg – 100 µg/kg of body weight.
77. The method of claim 76, where said compound is [1*R*,3*aR*,7*aR*, 4(*E*)]-6(*R*)-{4-[2(*Z*)-[3(*S*),5(*R*)-Dihydroxy-2-methylene-cyclohexylidene]-ethylidene]-7*a*-  
25 methyl-octahydro-inden-1-yl}-2,10-dimethyl-undecane-2,3(*R*),10-triol.
78. The method of claim 76, where the compound is [1*R*,3*aR*,7*aR*, 4(*E*)]-6(*S*)-{4-[2(*Z*)-[3(*S*),5(*R*)-Dihydroxy-2-methylene-cyclohexylidene]-ethylidene]-7*a*-methyl-octahydro-inden-1-yl}-2,10-dimethyl-undecane-2,3(*R*),10-triol.  
30
79. A packaged formulation comprising a pharmaceutical composition comprising a compound of any one of claims 1-9 and instructions for use in the treatment of a vitamin D3 associated associated state.
- 35 80. The method of claim 19, wherein the disorder comprises aberrant activity of a cell that expresses renin.

Attorney Docket No.: BXG-008-1

81. The method of claim 80, wherein the disorder is hypertension
82. The method of claims 81, wherein the compound of formula I suppresses  
5 expression of renin, thereby treating the subject for hypertension.
83. The method of claim 19, wherein the disorder is benign prostate hypertrophy.
- 10 84. The method of claim 19, wherein the disorder is neoplastic disease.
85. The method of claim 19, wherein the disorder is selected from the group consisting of leukemia, lymphoma, melanoma, osteosarcoma, colon cancer, rectal cancer, prostate cancer, and malignant tumors of the lung, breast, gastrointestinal tract,  
15 gentourinary tract.
86. The method of claim 19, wherein the disorder is neuronal loss.
87. The method of claim 86, wherein the disorder is selected from teh group  
20 consisting of Alzheimer's Disease, Pick's Disease, Parkinson's Disease, Vascular Disease, Huntington's Disease, and Age-Associated Memory Impairment.
88. The method of claim 19, wherein the disorder is characterized by an aberrant activity of a vitamin D<sub>3</sub>-responsive smooth muscle cell.  
25
89. The method of claim 88, wherein the disorder is hyperproliferative vascular disease selected from the group consisting of hypertension-induced vascular remodeling, vascular restenosis, and atherosclerosis.
- 30 90. The method of claim 88, wherein the disorder is characterized by an aberrant metabolism of a vitamin D<sub>3</sub>-responsive smooth muscle cell.
91. The method of claim 90, wherein the disorder is arterial hypertension.
- 35 92. The compound of claim 1, wherein the haloalkyl is fluoroalkyl.

Attorney Docket No.: BXG-008-1

93. The compound of claim 92, wherein the fluoroalkyl is fluoromethyl or trifluoromethyl.

94. The method of claim 10, wherein the haloalkyl is fluoroalkyl.

5

95. The method of claim 94, wherein the fluoroalkyl is fluoromethyl or trifluoromethyl.

Attorney Docket No.: BXG-008-1

### ABSTRACT

The invention provides geminal vitamin D<sub>3</sub> compounds of formula (I), methods  
5 for using the compounds to treat vitamin D<sub>3</sub> associated states and pharmaceutical  
compositions containing the compounds.

Figure 1

